

## A NOTE ON THE SPECIAL UNITARY GROUP OF A DIVISION ALGEBRA

B. A. SETHURAMAN AND B. SURY

(Communicated by Martin Lorenz)

ABSTRACT. If  $D$  is a division algebra with its center a number field  $K$  and with an involution of the second kind, it is unknown if the group  $SU(1, D)/[U(1, d), U(1, D)]$  is trivial. We show that, by contrast, if  $K$  is a function field in one variable over a number field, and if  $D$  is an algebra with center  $K$  and with an involution of the second kind, the group  $SU(1, D)/[U(1, d), U(1, D)]$  can be infinite in general. We give an infinite class of examples.

### 1. INTRODUCTION

Let  $K$  be a number field, and let  $D$  be a division algebra with center  $K$ , with an involution of the second kind,  $\tau$ . Let  $U(1, D)$  be the unitary group of  $D$ , that is, the set of elements in  $D^*$  such that  $d\tau(d) = 1$ . Let  $SU(1, D)$  be the special unitary group, that is, the set of elements of  $U(1, D)$  with reduced norm 1. An old theorem of Wang [7] shows that for any central division algebra over a number field,  $SL(1, D)$  is the commutator subgroup of  $D^*$ . It is an open question (see [4, p. 536]) whether the group  $SU(1, D)$  equals the group  $[U(1, D), U(1, D)]$  generated by unitary commutators.

We show in this note that, by contrast, if  $K$  is a function field in one variable over a number field, and if  $D$  is an algebra with center  $K$  and with an involution of the second kind, the group  $SU(1, D)$  modulo  $[U(1, D), U(1, D)]$  can be infinite in general. More precisely, we prove:

**Theorem 1.1.** *Let  $n \geq 3$ , and let  $\zeta$  be a primitive  $n$ -th root of one. Then, there exists a division algebra  $D$  of index  $n$  with center  $\mathbb{Q}(\zeta)(x)$  which has an involution of the second kind such that the corresponding group  $SU(1, D)/[U(1, D), U(1, D)]$  is infinite.*

Our algebra will be the symbol algebra  $D = (a, x; \zeta, K, n)$  where  $K = \mathbb{Q}(\zeta)(x)$  and  $a \in \mathbb{Q}$  is such that  $[\mathbb{Q}(\zeta)(\sqrt[n]{a}) : \mathbb{Q}(\zeta)] = n$ . This is the  $K$ -algebra generated by two symbols  $r$  and  $s$  subject to the relations  $r^n = a$ ,  $s^n = x$ , and  $sr = \zeta rs$ . If we write  $L$  for the  $K$  subalgebra of  $D$  generated by  $r$ , it is clear that  $L$  is just the field  $\mathbb{Q}(\zeta, \sqrt[n]{a})(x)$ . The Galois group  $L/K$  is generated by  $\sigma$  that sends  $r$  to  $\zeta r$ : note that conjugation of  $L$  by  $s$  has the same effect as  $\sigma$  on  $L$ . An easy computation

---

Received by the editors April 19, 2004 and, in revised form, September 21, 2004.

2000 *Mathematics Subject Classification.* Primary 16K20, 12E15.

This work was done when the first-named author visited the Indian Statistical Institute, Bangalore. He thanks the Institute for the wonderful hospitality it showed during his stay there.

shows that  $x^n$  is the smallest power of  $x$  that is a norm from  $L$  to  $K$ , so standard results from cyclic algebras ([3, Chap. 15.1] for instance) show that  $D$  is indeed a division algebra. It is well known that  $D$  has a valuation on it that extends the  $x$ -adic valuation on  $K$ . This valuation will be crucial in proving our theorem.

2. THE VALUATION ON  $D$

We recall here how the  $x$ -adic valuation is defined on  $D$ . Recall first how the  $x$ -adic (discrete) valuation is defined on any function field  $E(x)$  over a field  $E$ : it is defined on polynomials  $f = \sum_i a_i x^i$  ( $a_i \in E$ ) by  $v(f) = \min\{i \mid a_i \neq 0\}$ , and on quotients of polynomials  $f/g$  by  $v(f/g) = v(f) - v(g)$ . The value group  $\Gamma_L$  is  $\mathbb{Z}$ , while the residue  $\bar{L}$  is  $E$ . This definition gives valuations on all three fields  $\mathbb{Q}(\zeta + \zeta^{-1})(x)$ ,  $K$ , and  $L$ , all of which we will refer to as  $v$ . These fields have residues (respectively)  $\mathbb{Q}(\zeta + \zeta^{-1})$ ,  $\mathbb{Q}(\zeta)$  and  $\mathbb{Q}(\zeta, \sqrt[n]{a})$  with respect to  $v$ . It is standard that the valuation  $v$  on  $\mathbb{Q}(\zeta + \zeta^{-1})(x)$  extends uniquely to  $K$ , a fact that will be crucial to us.

With  $v$  as above, we define a function, also denoted  $v$ , from  $D^*$  to  $(1/n)\mathbb{Z}$  as follows: first, note that each  $d$  in  $D^*$  can be uniquely written as  $d = l_0 + l_1 s + \dots + l_{n-1} s^{n-1}$ , for  $l_i \in L$ . (We will call each expression of the form  $l_i s^i$ ,  $i = 0, 1, \dots, n-1$ , a *monomial*.) Define  $v(s) = 1/n$ , and  $v(l_i s^i)$  as  $v(l_i) + i v(s)$ . Note that the  $n$  values  $v(l_i s^i)$ ;  $0 \leq i < n$  are all distinct, since they lie in different cosets of  $\mathbb{Z}$  in  $(1/n)\mathbb{Z}$ . Thus, exactly one of these  $n$  monomials has the least value among them, and we define  $v(d)$  to be the value of this monomial. It is easy to check that  $v$  indeed gives a valuation on  $D$ . We find  $\Gamma_D = (1/n)\mathbb{Z}$ , so  $\Gamma_D/\Gamma_K = \mathbb{Z}/n\mathbb{Z}$ . Also, the residue  $\bar{D}$  contains the field  $\mathbb{Q}(\zeta, \sqrt[n]{a})$ . The fundamental inequality ([5, p. 21])  $[D : K] \geq [\Gamma_D/\Gamma_K][\bar{D} : \bar{K}]$  shows that  $\bar{D} = \bar{L} = \mathbb{Q}(\zeta, \sqrt[n]{a})$ .

Note that since  $D$  is valued, the valuation  $v$  (restricted to  $K$ ) extends uniquely from  $K$  to  $D$  ([6]).

3. COMPUTATION OF  $SU(1, D)$  AND  $[U(1, D), U(1, D)]$

Write  $k$  for the field  $\mathbb{Q}(\zeta + \zeta^{-1})(x)$ , and  $\tau$  for the nontrivial automorphism of  $K/k$  that sends  $\zeta$  to  $\zeta^{-1}$ . Note that since  $a$  and  $x$  belong to the field  $k$ , we may define an involution on  $D$  that extends the automorphism of  $K/k$  by the rule  $\tau(fr^i s^j) = \tau(f)\zeta^{ij} r^i s^j$  for any  $f \in K$  (so  $\tau(r) = r$ ,  $\tau(s) = s$ ; see [2, Lemma 7].)

*Proof of the theorem.* Let  $d$  be in  $U(1, D)$ , so  $d\tau(d) = 1$ . Since  $v$  and  $v \circ \tau$  are two valuations on  $D$  that coincide on  $k$ , and since  $v$  extends uniquely from  $k$  to  $K$ , and then uniquely from  $K$  to  $D$ , we must have  $v \circ \tau = v$ . Thus, we find  $2v(d) = 0$ , that is,  $d$  must be a unit. Then, for any  $d$  and  $e$  in  $U(1, D)$ , we take residues to find  $\overline{ded^{-1}e^{-1}} = \overline{d\bar{e}d^{-1}\bar{e}^{-1}}$ . However,  $\bar{D} = \bar{L} = \mathbb{Q}(\zeta)(\sqrt[n]{a})$  is commutative, so  $\bar{d}$  and  $\bar{e}$  commute, so  $\overline{ded^{-1}e^{-1}} = 1$ .

Note that we have a natural inclusion of  $\bar{L}$  in the  $v$ -units of  $L$ ; we identify  $\bar{L}$  with its image in  $L$ . Under this identification, for any  $l \in \bar{L} \subseteq L$ ,  $\bar{l} = l$ . Since the commutator of two elements in  $U(1, D)$  has residue 1, it suffices to find infinitely many elements in  $SU(1, D) \cap \bar{L}$  to show that  $SU(1, D)$  modulo  $[U(1, D), U(1, D)]$  is infinite.

Write  $L_1$  and  $L_2$  (respectively) for the subfields  $\mathbb{Q}(\zeta + \zeta^{-1})(r)$  and  $\mathbb{Q}(\zeta)$  of  $\bar{L}$ ; note that  $L_2$  is the residue field of  $K$ . Then the involution  $\tau$  on  $D$  acts as the nontrivial automorphism of  $\bar{L}/L_1$ , so for any  $l \in \bar{L}$ ,  $l\tau(l)$  is the norm map from  $\bar{L}$

to  $L_1$ . The automorphism  $\sigma$  of  $L/K$  restricts to an automorphism (also denoted by  $\sigma$ ) of  $\overline{L}/L_2$ , and it is standard that the reduced norm of  $l$  viewed as an element of  $D$  is just the norm of  $l$  from  $L$  to  $K$  ([3, Chap. 16.2] for instance), and hence the norm of  $l$  from  $\overline{L}$  to  $L_2$ . We thus need to find infinitely many  $l \in \overline{L}$  such that  $N_{\overline{L}/L_1}(l) = N_{\overline{L}/L_2}(l) = 1$ .

Now, the set  $S_1 = \{l \in \overline{L} : N_{\overline{L}/L_1}(l) = 1\}$  is indexed by the  $L_1$  points of the torus  $T_1 = R_{\overline{L}/L_1}^{(1)} \mathbf{G}_m$  (see [4], §2.1). Similarly, the set  $S_2 = \{l \in \overline{L} : N_{\overline{L}/L_2}(l) = 1\}$  is indexed by the  $L_2$  points of the torus  $T_2 = R_{\overline{L}/L_2}^{(1)} \mathbf{G}_m$ . To show that  $S_1 \cap S_2$  is infinite, we switch to a common field by noting that the groups  $T_1(L_1)$  and  $T_2(L_2)$  are just the  $k_0$  points of the groups  $(R_{L_1/k_0} T_1)$  and  $(R_{L_2/k_0} T_2)$  respectively, where  $k_0 = \mathbb{Q}(\zeta + \zeta^{-1})$ . Thus, it suffices to check that  $(R_{L_1/k_0} T_1 \cap R_{L_2/k_0} T_2)(k_0)$  is infinite, and for this, it is sufficient to check that  $(R_{L_1/k_0} T_1 \cap R_{L_2/k_0} T_2)^0(k_0)$  is infinite. As both  $R_{L_1/k_0} T_1$  and  $R_{L_2/k_0} T_2$  are  $k_0$ -tori, the connected component  $(R_{L_1/k_0} T_1 \cap R_{L_2/k_0} T_2)^0$  is a  $k_0$ -torus as well, since it is a connected commutative group defined over  $k_0$  consisting of semisimple elements. So, its  $k_0$  points are Zariski dense in its  $\overline{\mathbb{Q}}$  points by a theorem of Grothendieck (see p. 120 of [1]). Hence, it suffices to check that there are infinitely many  $\overline{\mathbb{Q}}$  points in  $(R_{L_1/k_0} T_1 \cap R_{L_2/k_0} T_2)^0$ . But for this, it clearly suffices to check that there are infinitely many  $\overline{\mathbb{Q}}$  points in  $(R_{L_1/k_0} T_1 \cap R_{L_2/k_0} T_2)$ .

Write any  $l \in \overline{L}$  as  $l = X + (\zeta - \zeta^{-1})Y$  where  $X, Y \in L_1$ . Then,  $X = \sum_{i=0}^{n-1} x_i r^i$  and  $Y = \sum_{i=0}^{n-1} y_i r^i$  where  $x_i, y_i \in k_0$ . Consider the equations  $N_{\overline{L}/L_1}(l) = 1$  and  $N_{\overline{L}/L_2}(l) = 1$ . Rewrite these in terms of powers of  $r$ , invoking the actions of  $\sigma$  and  $\tau$  and using the fact that  $r^n = a$ . The first equation now involves the  $2n$  variables  $x_i, y_i$  and has coefficients in  $L_1$ . Equating the coefficients of  $r^i$  ( $i = 0, \dots, n - 1$ ) on both sides, we get  $n$  equations in the variables  $x_i, y_i$  with coefficients in  $k_0$ . Similarly, the second equation involves the variables  $x_i, y_i$  and has coefficients in  $L_2$ . Using the fact that  $(\zeta - \zeta^{-1})^2 \in k_0$  and equating the coefficients of 1 and  $\zeta - \zeta^{-1}$  on both sides, we get two equations in the variables  $x_i, y_i$  with coefficients in  $k_0$ . As  $n \geq 3$ , we have  $n + 2 < 2n$ , and these equations have infinitely many common solutions over  $\overline{\mathbb{Q}}$ . This proves the theorem.  $\square$

#### 4. CONCRETE ILLUSTRATION FOR $n = 3$

We illustrate the theorem for  $n = 3$  by concretely constructing infinitely many elements in  $SU(1, D)/[U(1, D), U(1, D)]$ . We take  $a = 2$  for simplicity. Write  $l = a + b\sqrt{-3}$ , where  $a$  and  $b$  are in  $L_1$ . Then  $N_{\overline{L}/L_1}(l) = a^2 + 3b^2 = 1$  has a parametrized set of solutions  $a = \frac{s^2 - 3}{s^2 + 3}$ ,  $b = \frac{2s}{s^2 + 3}$ , for  $s \in L_1$ . Write  $s = t_0 + t_1 r + t_2 r^2$  for  $t_i \in \mathbb{Q}$  and substitute in  $a$  and  $b$  above. Then compute  $N_{\overline{L}/L_2}(l)$ , noting that  $\sigma(s) = (t_0 + \omega t_1 r + \omega t_2 r^2)$ . We solve for the  $t_i$  so that  $N_{\overline{L}/L_2}(l) = 1$ . We claim that if we take  $t_0 = 1$  and  $t_1 = 0$ , then for arbitrary  $t_2 = t$ ,  $N_{\overline{L}/L_2}(l) = 1$ . Indeed,  $l = u/v$ , where

$$\begin{aligned} u &= 2\omega + t^2 r - 2t\omega^2 r^2, \\ v &= 2 + t^2 r + tr^2. \end{aligned}$$

Then, an easy computation, using  $r^3 = 2$ , shows that

$$N_{\overline{L}/L_2}(u) = (2\omega + t^2 r - 2t\omega^2 r^2)(2\omega + t^2 \omega r - 2t\omega r^2)(2\omega + t^2 \omega^2 r - 2tr^2) = -8t^3 + 2t^6.$$

Similarly,

$$N_{\overline{L}/L_2}(v) = (2 + t^2r + tr^2)(2 + t^2\omega r + t\omega^2r^2)(2 + t^2\omega^2r + t\omega r^2) = -8t^3 + 2t^6.$$

Thus, we have an infinite set of solutions and we are done. (Actually, the parametric solution above was first obtained using Mathematica<sup>TM</sup>. The program gives other parametric solutions as well, for instance,  $t_0 = 0, t_1 = -\frac{1}{2t_2}$ .)

#### REFERENCES

- [1] A. Borel, *Linear algebraic groups*, Springer-Verlag, 2nd edition, 1991. MR1102012 (92d:20001)
- [2] Patrick J. Morandi and B.A. Sethuraman, *Noncrossed product division algebras with a Baer ordering*, Proc. Amer. Math. Soc., **123** 1995, 1995–2003. MR1246532 (95i:16019)
- [3] Richard S. Pierce, *Associative Algebras*, Graduate Texts in Mathematics, 88, Springer-Verlag, 1982. MR0674652 (84c:16001)
- [4] V.P. Platonov and A.S. Rapinchuk, *Algebraic groups and number theory*, Academic Press, 1994. MR1278263 (95b:11039)
- [5] O.F.G. Schilling, *The Theory of Valuations*, Math Surveys, No. 4., Amer. Math. Soc., Providence, R.I., 1950. MR0043776 (13:315b)
- [6] Adrian R. Wadsworth, *Extending valuations to finite dimensional division algebras*, Proc. Amer. Math. Soc., **98** 1986, 20–22. MR0848866 (87i:16025)
- [7] S.Wang, *On the commutator group of a simple algebra*, Amer. J. Math., **72** 1950, 323–334. MR0034380 (11:577d)

DEPARTMENT OF MATHEMATICS, CALIFORNIA STATE UNIVERSITY NORTHRIDGE, NORTHRIDGE, CALIFORNIA 91330

*E-mail address:* [al.sethuraman@csun.edu](mailto:al.sethuraman@csun.edu)

*URL:* <http://www.csun.edu/~asethura/>

STAT-MATH UNIT, INDIAN STATISTICAL INSTITUTE, 8TH MILE MYSORE ROAD, BANGALORE 560 059, INDIA

*E-mail address:* [sury@isibang.ac.in](mailto:sury@isibang.ac.in)