

# Representations of matricial operator algebras

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*R. V. Kadison*

## 1. Introduction and preliminaries

In [10; Chapter IV], Murray and von Neumann introduce a class of factors of type  $II_1$  which appear as the ultraweak closure  $\mathcal{M}$  of the union of an ascending sequence  $\{\mathfrak{A}_i\}$  of distinct  $C^*$ -algebras, where  $\mathfrak{A}_i$  is  $*$  isomorphic to the algebra of all  $n_i \times n_i$  complex matrices, each containing the same unit element. We call the sequence  $\{\mathfrak{A}_i\}$  a *matricial nest*, their union a *matricial operator algebra*, a  $C^*$ -algebra in which such a union is norm dense a *uniformly matricial  $C^*$ -algebra*, and a von Neumann algebra in which such a union is ultraweakly dense a *matricial von Neumann algebra*. We say that  $\{\mathfrak{A}_i\}$  is a *generating (matricial) nest* for its union and its various closures.

Murray and von Neumann prove that all finite matricial factors are  $*$  isomorphic [10; Theorem XII, XIV]. They call such factors ‘approximately finite’ (the terms ‘hyperfinite’ and ‘approximately finite-dimensional’ are also used); and in the process of proving their uniqueness result, they establish various properties of finite matricial factors. In particular, they show that if the finite factor  $\mathcal{M}$  has the property that each finite set of operators  $A_1, \dots, A_n$  in  $\mathcal{M}$  can be approximated to within a preassigned positive  $\varepsilon$  in trace norm by operators  $B_1, \dots, B_n$  lying in a finite type I subfactor of  $\mathcal{M}$  containing the identity operator  $I$  (that is,  $B_1, \dots, B_n$  are such that  $\|A_j - B_j\| < \varepsilon$  for all  $j$  in  $\{1, \dots, n\}$ , where  $\|A\|^2 = \tau(A^*A)$  and  $\tau$  is the (unique) trace on  $\mathcal{M}$  normalized so that  $\tau(I) = 1$ ) then  $\mathcal{M}$  is matricial. We shall say, in this case, that  $\mathcal{M}$  has the (*trace-norm*) *finite approximation property*. More generally, we replace ‘trace-norm’ by other topologies and refer to this approximation property in these topologies. We apply this terminology to  $C^*$ -algebras as well.

In [5] it is proved that a properly-infinite von Neumann algebra that has the  $*$  ultrastrong finite approximation property and acts on a separable Hilbert space is matricial with a generating nest  $\{\mathfrak{A}_i\}$  such that  $\mathfrak{A}_i$  is isomorphic to all  $2^i \times 2^i$  complex matrices. In [4], [9], [11; Corollary 5.2],

and [11; §6.8], it is proved that the universal enveloping von Neumann algebras (the 'biduals') of all uniformly matricial  $C^*$ -algebras are  $*$  isomorphic; from which it follows that if a von Neumann algebra acting on a separable Hilbert space has one matricial generating nest it has all possible generating nests. Put in another way, this result states that if a uniformly matricial  $C^*$ -algebra has a separable representation on  $\mathcal{H}$  then every other uniformly matricial  $C^*$ -algebra has a representation on  $\mathcal{H}$  with the same commutant. If  $\mathfrak{A}$  and  $\mathfrak{B}$  are  $C^*$ -algebras such that when one has a (separable, cyclic, factor, etc.) representation on a Hilbert space  $\mathcal{H}$  the other has a representation on  $\mathcal{H}$  with the same commutant, we say that  $\mathfrak{A}$  and  $\mathfrak{B}$  are (*separable*-, *cyclic*-, *factor*-, etc.) *isoreductive*. Of course, isomorphic  $C^*$ -algebras are isoreductive (in all senses); but isoreductive  $C^*$ -algebras need not be isomorphic (as the example of uniformly matricial  $C^*$ -algebras illustrates). Glimm introduced the uniformly matricial  $C^*$ -algebras (which he called *uniformly hyperfinite*) in [6]. He proves that the prime power divisors of the orders of the algebras in a matricial generating nest determine such algebras up to algebraic isomorphism. He proves, too, that a countably generated  $C^*$ -algebra with the (norm) finite approximation property is uniformly matricial. It follows from Glimm's results that, for example, the uniformly matricial algebra with nest  $\{\mathfrak{A}_i\}$  where the 'order' of  $\mathfrak{A}_i$  is  $2^i$  (this is the CAR algebra) and that where the order of  $\mathfrak{A}_i$  is  $3^i$  are not isomorphic. But in a sense that one immediately feels, without its being made precise, all uniformly matricial  $C^*$ -algebras are very much alike. The isoreductivity is one important aspect of this 'sameness'. (Indeed, a tentative title for [11] was 'Similarities Between UHF Algebras', where, by 'similarities', Pedersen has in mind 'resemblances'.)

While it is not the subject proper of this article, we propose the study of isoreductivity (in various forms) as an important aspect of the general analysis of the structure of  $C^*$ -algebras. There are two broad features of this study that seem especially prominent. The first is the general nature of isoreductivity—including such questions as what forms of isoreductivity imply others or more extensive forms (for example, cyclic isoreductivity is the same as isoreductivity for representations with commutant having countably decomposable centres) and such as whether isoreductive  $C^*$ -algebras contain 'common dense approximate models'. The second feature involves establishing various types of isoreductivity for special classes of  $C^*$ -algebras. (Are the  $C^*$ -algebras generated by the regular representations of the free groups on more than one generator factor-isoreductive? finite-factor-isoreductive? cyclic-isoreductive?) It may be as sensible to develop structural results capable of telling us whether or not two  $C^*$ -algebras resemble one another as it is to try to decide whether or not they are algebraically identical (isomorphic). In this connection, compare Rieffel's closely related notion of Morita equivalence [14].

In proving the isoreductivity of uniformly matricial  $C^*$ -algebras Elliott, Maréchal and Pedersen pass through Glimm's results on type I  $C^*$ -algebras [7] and quote the Murray–von Neumann results for finite matricial factors. Elliott combines a direct quote of Glimm with the result of [5] while Pedersen reconstructs Glimm's techniques of quasi-matrix unit systems in a form suitable for his purposes.

Murray and von Neumann establish their results by what we might call the 'cutting and pasting' techniques of operator algebras (in conjunction with intricate approximations). These techniques are applied to systems of matrix units. A system of  $n \times n$  matrix units is a family  $\{E_{jk}\}$  of operators such that  $E_{jh}E_{hk} = E_{jk}$ ,  $E_{jh}E_{h'k} = 0$  when  $h \neq h'$ , and  $E_{jk} = E_{kj}^*$ , for  $j, k, h, h'$  in  $\{1, \dots, n\}$ . If  $\sum_{j=1}^n E_{jj} = I$ , we say that  $\{E_{jk}\}$  is a unital system of  $n \times n$  matrix units (for  $\mathcal{N}$ , if  $\{E_{jk}\}$  generates  $\mathcal{N}$ —so that  $\mathcal{N}$  is a factor of type  $I_n$ ). In general, we use 'unital' to refer to the presence of a unit in the appropriate sense. (So, for example, we refer to a unital subfactor when the subfactor contains 1.)

By employing these time-honoured techniques of matrix unit approximation and some new strategies, we prove the (cyclic) isoreductivity of uniformly matricial  $C^*$ -algebras directly (in Section 4) as well as recapturing the Murray–von Neumann uniqueness result and their finite-approximation-property characterization of finite matricial factors by simpler arguments. In Section 2, we prove some results on finite representations of  $C^*$ -algebras with a unique tracial state (that is, a state  $\tau$  such that  $\tau(AB) = \tau(BA)$  for each pair of elements  $A$  and  $B$  in the algebra); and we note, from these facts, that it suffices, for the uniqueness, to show that all finite matricial von Neumann algebras contain some one uniformly matricial  $C^*$ -algebra as an ultraweakly dense subalgebra. Any matricial  $C^*$ -algebra will do, but one is extremely well-suited to the needs of our argument. It is the uniformly matricial  $C^*$ -algebra (we denote by  $\mathfrak{U}_\infty$ ) that has a generating nest such that every power of every prime divides some order of an algebra in the nest. This is the point where the strategy changes from that of the Murray–von Neumann argument. A good deal of their technical effort is devoted to 'trimming away the excesses' gathered during their constructions. With our (elementary) representation results in place, and passing through  $\mathfrak{U}_\infty$ , we need not bother with 'trimming'—on the contrary, we must engage in 'stuffing' (which is technically very easy).

The properly-infinite case is quite different. To begin with, a properly-infinite matricial von Neumann algebra need not be a factor (even if it is of type I—as the direct sum of two inequivalent representations of the CAR algebra illustrates).

The major breakthrough of Powers [13] shows that uniqueness is no longer valid (for type III matricial factors); though Connes's classic result [3; Theorem 7.4] establishes uniqueness for matricial factors of type  $II_\infty$ .

The work of Araki–Woods [1] and Connes [3] parametrizes type III factors and establishes uniqueness for the matricial type III factors in many cases, when the additional parameter is taken into account. The work of Connes [2] employs the Tomita–Takesaki theory [15] in its parametrization of type III factors which extends the scope of the original parametrization of Araki–Woods [1]. At the same time, Connes replaces the internal characterization of finite matricial factors (the finite approximation property) by a deep and powerful ‘external’ characterization (‘injectivity’, or the existence of a ‘hypertrace’) [3; Remark 5.3.4, Theorem 5.1]—and, in the process, he answers virtually all of the older open question about matricial factors. Despite the important structural differences among the infinite matricial factors, the uniformly matricial  $C^*$ -algebras are factor-isoreductive—emphasizing the fact that isoreductivity tells us something about the common properties of uniformly matricial  $C^*$ -algebras rather than about the von Neumann algebras generated by the images of representations. The failure of general uniqueness in the infinite case denies us the luxury of using only  $\mathfrak{A}_\infty$ . Our argument, in this case, must produce an arbitrary generating nest. The strategy for this construction is described in the introduction to Section 4, and the techniques are those developed in Lemmas 4.4, 4.5, and 4.6.

In Section 2, we prove the finite factor representation results, mentioned, along with some other preparatory facts. In Section 3, we present a simplified version of Glimm’s basic theorems on uniformly matricial  $C^*$ -algebras.

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## 2. Preparatory results

If  $\mathfrak{A}$  is a uniformly matricial  $C^*$ -algebra and  $\{\mathfrak{A}_n\}$  is a generating nest for  $\mathfrak{A}$ , each  $\mathfrak{A}_n$  has a unique tracial state. If  $\mathfrak{A}_n \subseteq \mathfrak{A}_m$  the restriction of the tracial state of  $\mathfrak{A}_m$  to  $\mathfrak{A}_n$  is the (unique) tracial state of  $\mathfrak{A}_n$ ; so that there is a unique tracial functional  $\tau_0$  of norm 1 on  $\bigcup_{n=1}^\infty \mathfrak{A}_n (= \mathfrak{A}_0)$ . Thus  $\tau_0$  has a unique bounded extension  $\tau$  to  $\mathfrak{A}$ ,  $\tau(I) = 1 = \|\tau\|$ ; and  $\tau$  is a tracial state of  $\mathfrak{A}$ . If  $\tau'$  is another tracial state of  $\mathfrak{A}$ , the restriction of  $\tau'$  to each  $\mathfrak{A}_n$  is the unique tracial state of  $\mathfrak{A}_n$ . Thus  $\tau$  and  $\tau'$  agree on  $\mathfrak{A}_0$ , and, by norm continuity of  $\tau - \tau'$  on  $\mathfrak{A}$ ,  $\tau = \tau'$ . Each uniformly matricial  $C^*$ -algebra has a unique tracial state. Concerning  $C^*$ -algebras that admit a unique tracial state, we prove some results that will be of use to us in establishing the (algebraic) uniqueness of the finite, matricial von Neumann algebra.

**Proposition 2.1.** *If the  $C^*$ -algebra  $\mathfrak{A}$  acting on the Hilbert space  $\mathcal{H}$  admits at most one tracial state and the weak-operator closure,  $\mathfrak{A}^-$ , of  $\mathfrak{A}$  is a finite von Neumann algebra, then  $\mathfrak{A}^-$  is a factor.*

*Proof.* If  $\tau$  is the normalized, centre-valued trace on  $\mathfrak{A}^-$ ,  $P$  is a non-zero central projection in  $\mathfrak{A}^-$ ,  $x$  is a unit vector in the range of  $P$ , and  $y$  is a unit vector in  $\mathcal{H}$ , then  $\omega_x \circ \tau$  and  $\omega_y \circ \tau$  restrict to the unique trace on  $\mathfrak{A}$ . From the ultraweak continuity of  $\tau$  on  $\mathfrak{A}^-$ ,  $\omega_x \circ \tau$  and  $\omega_y \circ \tau$  agree on  $\mathfrak{A}^-$ . Thus  $\langle Py, y \rangle = \langle \tau(P)y, y \rangle = (\omega_y \circ \tau)(P) = (\omega_x \circ \tau)(P) = \langle Px, x \rangle = 1$ . Thus  $P = I$ , and  $\mathfrak{A}^-$  is a factor.  $\square$

In the theorem that follows, we speak of ‘finite representations’ of a  $C^*$ -algebra  $\mathfrak{A}$ . These are the representations  $\varphi$  of  $\mathfrak{A}$  such that  $\varphi(\mathfrak{A})^-$  is a finite von Neumann algebra.

**Theorem 2.2.** *If the  $C^*$ -algebra  $\mathfrak{A}$  admits at most one trace then all finite representations of  $\mathfrak{A}$  are quasi-equivalent.*

*Proof.* If  $\varphi$  is a finite representation of  $\mathfrak{A}$  on the Hilbert space  $\mathcal{H}$ , then  $\mathfrak{A}$  admits a trace. Let  $\varphi_0$  be the (cyclic) GNS representation of  $\mathfrak{A}$  on  $\mathcal{H}_0$  corresponding to this trace; and let  $\psi$  be the direct sum of  $\varphi_0$  and  $\varphi$ . Let  $E'_0$  be the projection of  $\mathcal{H}_0 \oplus \mathcal{H}$  onto  $\mathcal{H}_0$ ; and let  $x_0$  be a unit vector in  $\mathcal{H}_0$  such that  $[\psi(\mathfrak{A})x_0] = \mathcal{H}_0$  and  $\langle ABx_0, x_0 \rangle = \langle BAx_0, x_0 \rangle$  for all  $A$  and  $B$  in  $\psi(\mathfrak{A})^-$ . If  $P$  is a central projection in  $\psi(\mathfrak{A})^- E'_0$  and  $P$  is equivalent to a subprojection  $E$  in  $\psi(\mathfrak{A})^- E'_0$ , then  $(P - E)x_0 = 0$ ; so that  $(P - E)E_0 = 0$ , where  $E_0$  is the projection in  $\psi(\mathfrak{A})^- E'_0$  with range  $[E'_0 \psi(\mathfrak{A})' E'_0 x_0]$ . But then  $(P - E)$  is orthogonal to the central support,  $E'_0$ , of  $E_0$  relative to  $\psi(\mathfrak{A})^- E'_0$ ; and  $E = P$ . Hence  $\psi(\mathfrak{A})^- E'_0$  and  $\psi(\mathfrak{A})^- C_{E'_0}$  are finite von Neumann algebras (where  $C_{E'_0}$  is the central support of  $E'_0$  relative to  $\psi(\mathfrak{A})'$ ).

By assumption  $\psi(\mathfrak{A})^-(I - E'_0) (\cong \varphi(\mathfrak{A})^-)$  and, hence,  $\psi(\mathfrak{A})^- C_{I - E'_0}$  are finite. Thus  $\psi(\mathfrak{A})^-$  is finite. Since  $\psi(\mathfrak{A})$  admits at most one trace;  $\psi(\mathfrak{A})^-$  is a factor, from Proposition 2.1. Hence  $A \rightarrow AE'_0$  and  $A \rightarrow A(I - E'_0)$  are isomorphisms of  $\psi(\mathfrak{A})^-$  onto  $\psi(\mathfrak{A})^- E'_0 (\cong \varphi_0(\mathfrak{A})^-)$  and  $\omega(\mathfrak{A})^-(I - E'_0) (\cong \varphi(\mathfrak{A})^-)$ , respectively; so that  $\varphi$  and  $\varphi_0$  are quasi-equivalent.  $\square$

**Corollary 2.3.** *All finite representations of a uniformly matricial  $C^*$ -algebra are quasi-equivalent.*

**Corollary 2.4.** *If two finite von Neumann algebras  $\mathfrak{R}_1$  and  $\mathfrak{R}_2$  have ultraweakly-dense,  $*$  isomorphic, matricial subalgebras  $\mathfrak{A}_1$  and  $\mathfrak{A}_2$  then  $\mathfrak{R}_1$  and  $\mathfrak{R}_2$  are  $*$  isomorphic.*

*Proof.* The identity representation and the  $*$  isomorphism of  $\mathfrak{A}_1$  onto  $\mathfrak{A}_2$  are two finite representations of the uniformly matricial  $C^*$ -algebra  $\mathfrak{A}_1$ . From the preceding corollary, these representations are quasi-equivalent. Thus the given  $*$  isomorphism of  $\mathfrak{A}_1$  onto  $\mathfrak{A}_2$  extends to a  $*$  isomorphism of  $\mathfrak{R}_1$  onto  $\mathfrak{R}_2$ .  $\square$

**Corollary 2.5.** *Each uniformly matricial  $C^*$ -algebra  $\mathfrak{A}$  has a (faithful) representation as an ultraweakly dense  $C^*$ -subalgebra of a factor of type  $\text{II}_1$ .*

*Proof.* From the proof of Theorem 2.2, we see that the GNS representation corresponding to the (unique) tracial state of  $\mathfrak{A}$  is a (faithful) representation of  $\mathfrak{A}$  as an ultraweakly dense  $C^*$ -subalgebra of a factor of type  $\text{II}_1$ .  $\square$

**Lemma 2.6.** *A matricial von Neumann algebra,  $\mathfrak{R}$ , has no non-zero, finite-dimensional, central summands; and each unital subfactor  $\mathcal{N}_0$  of  $\mathfrak{R}$  of type  $\text{I}_n$  is contained in a unital subfactor  $\mathcal{N}_1$  of  $\mathfrak{R}$  of type  $\text{I}_{nm}$ , where  $m$  is a preassigned positive integer.*

*Proof.* Let  $Q$  be a non-zero central projection in  $\mathfrak{R}$  and  $\mathcal{M}$  be a unital subfactor of  $\mathfrak{R}$  of type  $\text{I}_k$ . Since  $\mathcal{M}$  is simple and contains  $I$ , the mapping,  $T \rightarrow TQ$ , is an isomorphism of  $\mathcal{M}$  onto  $\mathcal{M}Q$  in  $\mathfrak{R}Q$ . Hence  $\mathfrak{R}Q$  has (linear) dimension at least  $k^2$ . There are unital subfactors  $\mathcal{M}$  of  $\mathfrak{R}$  of type  $\text{I}_k$  with  $k$  arbitrarily large, since  $\mathfrak{R}$  is matricial. Hence  $\mathfrak{R}Q$  is not finite dimensional.

It follows that there is a unital subfactor  $\mathcal{N}$  of  $\mathfrak{R}$  of type  $\text{I}_k$  with  $k$  any preassigned positive integer. Choose  $k$  to be  $nm$ ; and let  $\{E_{jk}\}$  and  $\{F_{jk}\}$  be unital systems of  $n \times n$  matrix units in  $\mathcal{N}$  and for  $\mathcal{N}_0$ , respectively. If  $V$  is a partial isometry in  $\mathfrak{R}$  such that  $V^*V = E_{11}$  and  $VV^* = F_{11}$ , then  $\sum_{j=1}^n F_{j1}VE_{1j}$  is a unitary operator  $U$  in  $\mathfrak{R}$ ; and  $UE_{jk}U^* = F_{jk}$ . Thus  $UNU^* (= \mathcal{N}_1)$  is a unital subfactor of  $\mathfrak{R}$  of type  $\text{I}_{nm}$  containing  $\mathcal{N}_0$ .  $\square$

**Lemma 2.7.** *A matricial von Neumann algebra,  $\mathfrak{R}$ , with countably-decomposable centre  $\mathcal{C}$  is  $*$  isomorphic to a cyclic, matricial von Neumann algebra acting on a separable Hilbert space.*

*Proof.* Suppose  $\mathfrak{R}$  acts on  $\mathcal{H}$ . Since  $\mathcal{C}$  is countably decomposable; there is a cyclic projection  $E'$  in  $\mathfrak{R}'$  with central support  $I$  (see [8; Proposition 5.5.16]). The mapping  $T \rightarrow TE'$  is a  $*$  isomorphism of  $\mathfrak{R}$  onto  $\mathfrak{R}E'$  acting on  $E'(\mathcal{H}) (= \mathcal{H}_0)$ . Since  $\mathfrak{R}$  is matricial,  $\mathfrak{R}E'$  is matricial, hence, countably generated. As  $\mathfrak{R}E'$  has a generating vector and a countable generating family,  $\mathcal{H}_0$  is separable.  $\square$

### 3. The uniformly matricial $C^*$ -algebras

In this section, we prove Glimm's theorem classifying uniformly matricial  $C^*$ -algebras up to  $*$  isomorphism. Let  $\mathfrak{A}$  be a matricial  $C^*$ -algebra and  $\{\mathfrak{A}_n\}$  be a generating nest for  $\mathfrak{A}$ , where  $\mathfrak{A}_n$  is a (finite) unital factor of type  $I_{r_n}$ . If  $q_1^{k_1} \cdots q_m^{k_m}$  is the prime factorization of  $r_n$  then (from elementary Wedderburn theory)  $\mathfrak{A}_n$  is generated by a family of commuting unital factors,  $k_j$  of which are of type  $I_{q_j}$  ( $j \in \{1, \dots, m\}$ ). If we apply this form of decomposition, successively, to  $\mathfrak{A}_1$ , to  $\mathfrak{A}'_1 \cap \mathfrak{A}_2$ , to  $\mathfrak{A}'_2 \cap \mathfrak{A}_3, \dots$ , we construct a commuting family of type I subfactors of prime order that generates  $\mathfrak{A}$  as a  $C^*$ -algebra. These prime order subfactors can be grouped together to form a generating nest whose members are unital factors of types other than  $\{r_n\}$ ; but a 'prime factorization' of the new nest will yield the same primes as before and the same number of unital subfactors of that prime order. (That number may well be infinity.)

If  $\mathfrak{A}$  and  $\mathfrak{B}$  are uniformly matricial  $C^*$ -algebras with generating nests that yield the same prime factorization, subfactors of the same prime order can be put in correspondence (giving rise to a one-to-one correspondence between the total families) and a  $*$  isomorphism constructed between corresponding subfactors. Since finite, unital subfactors that commute are (tensor-product) independent, there is a  $*$  isomorphism between the subfactors generated by corresponding finite families in each of  $\mathfrak{A}$  and  $\mathfrak{B}$  that restricts to the given isomorphism on each factor in the family. In this way, we construct generating nests,  $\{\mathfrak{A}_n\}$  and  $\{\mathfrak{B}_n\}$  for  $\mathfrak{A}$  and  $\mathfrak{B}$ , respectively, and  $*$  isomorphisms  $\varphi_n$  (of  $\mathfrak{A}_n$  onto  $\mathfrak{B}_n$ ) that are extensions of one another. Since each  $\varphi_n$  is an isometry, the  $*$  isomorphism they define of  $\bigcup_{n=1}^{\infty} \mathfrak{A}_n$  onto  $\bigcup_{n=1}^{\infty} \mathfrak{B}_n$  is an isometry, and has a unique isometric extension mapping  $\mathfrak{A}$  onto  $\mathfrak{B}$  that is a  $*$  isomorphism.

From the discussion to this point, we see that the specific numbers  $r_n$  appearing as the types of a particular generating nest for  $\mathfrak{A}$  are not significant; but that the primes appearing in the factorizations of the numbers  $r_n$  may be significant in describing the algebraic structure of  $\mathfrak{A}$ . We have seen that if  $\mathfrak{A}$  and  $\mathfrak{B}$  have generating nests with the same prime factorization then  $\mathfrak{A}$  and  $\mathfrak{B}$  are  $*$  isomorphic. Nothing we have seen thus far rules out the possibility that all uniformly matricial  $C^*$ -algebras are  $*$  isomorphic.

Suppose  $\mathfrak{A}$  and  $\mathfrak{B}$  are  $*$  isomorphic, uniformly matricial  $C^*$ -algebras with generating nests  $\{\mathfrak{A}_n\}$  and  $\{\mathfrak{B}_n\}$ , respectively; and suppose that  $r$  divides the order of some  $\mathfrak{B}_n$  but of no  $\mathfrak{A}_n$ . Then  $\mathfrak{B}$  contains a unital subfactor of type  $I_r$ ; and, via the  $*$  isomorphism,  $\mathfrak{A}$  contains such a subfactor. In the theorem that follows, we show that  $\mathfrak{A}$  cannot have a unital subfactor of type  $I_r$  when  $r$  divides the order of no member of a given generating nest for  $\mathfrak{A}$ . Glimm's theorem follows directly from this result and our preceding discussion.

**Theorem 3.1.** *If  $\{\mathfrak{A}_n\}$  is a generating nest for a uniformly matricial  $C^*$ -algebra  $\mathfrak{A}$  and  $\mathcal{N}$  is a unital subfactor of  $\mathfrak{A}$  of type  $I_r$ , then some  $\mathfrak{A}_n$  is of type  $I_{kr}$ .*

**Proof.** Let  $E$  be a minimal projection in  $\mathcal{N}$ . Since  $\bigcup_{n=1}^{\infty} \mathfrak{A}_n$  is norm dense in  $\mathfrak{A}$ ; there is an  $A$  in some  $\mathfrak{A}_n$  such that  $\|A - E\|$  is small. Thus  $\|A\|$  is near 1; and, replacing  $A$  by  $\|A\|^{-1}A$ , we may assume that  $\|A\| = 1$ . Replacing  $A$  by  $\frac{1}{2}(A^* + A)$ , we may assume that  $A$  is self-adjoint. Since  $E - A^2 = E(E - A) + (E - A)A$ ; we may assume that  $A$  is a positive operator in the unit ball of  $\mathfrak{A}_n$ . For such an  $A$ ,  $\|A - F\| < \frac{1}{2}$ , where  $F$  is the spectral projection for  $A$  corresponding to  $[\frac{1}{2}, 1]$ ; so that  $\|E - F\| < 1$  (and  $F$  is a projection in  $\mathfrak{A}_n$ ). Thus  $(I - E) \wedge F$  and  $(I - F) \wedge E$  are 0. (If there were a unit vector in the range of either, then  $\|E - F\|$  would be at least 1.) Hence  $1 - \tau(E) + \tau(F) = \tau(I - E) + \tau(F) = \tau[(I - E) \wedge F] + \tau[(I - E) \vee F] = \tau[(I - E) \vee F] \leq 1$ , where  $\tau$  is the (unique) normalized tracial state on  $\mathfrak{A}$ . Thus  $\tau(F) \leq \tau(E)$ ; and, by symmetry,  $\tau(E) \leq \tau(F)$ . Hence  $\tau(E) = \tau(F)$ . Now  $k/m = \tau(F) = \tau(E) = 1/r$ , where  $F$  is the sum of  $k$  minimal projections in  $\mathfrak{A}_n$  (of type  $I_m$ ) and  $E$  is a minimal projection in a unital subfactor of type  $I_r$ . It follows that  $m = rk$ .  $\square$

It follows from this theorem that if  $\mathfrak{A}_0$  is, for example, the uniformly matricial  $C^*$ -algebra whose 'prime factorization' contains only unital subfactors of type  $I_2$  (this is the CAR algebra) then  $\mathfrak{A}_0$  contains no unital subfactor of type  $I_3$ . The information contained in the prime factorization of some generating nest for a uniformly matricial  $C^*$ -algebra  $\mathfrak{A}$  can be assembled in the expression,  $2^{n_1} 3^{n_2} \dots$ , which we call the *supernatural number* of  $\mathfrak{A}$ . The exponent  $n_j$  of the  $j$ th prime  $p_j$  in the supernatural number of  $\mathfrak{A}$  indicates the number of subfactors of type  $I_{p_j}$  appearing in the prime factorization of  $\mathfrak{A}$  derived from some generating nest for  $\mathfrak{A}$  (as described above). With this terminology, Glimm's result has the following form.

**Theorem 3.2.** *To each supernatural number there corresponds a uniformly matricial  $C^*$ -algebra. Two such algebras are  $*$  isomorphic if and only if they have the same supernatural number.*

**Proof.** The second assertion is a consequence of Theorem 3.1 and the discussion preceding it. The first assertion can be proved by using the argument of the last paragraph of the proof of Lemma 2.6, where  $\mathcal{R}$  is replaced by  $\mathcal{B}(\mathcal{H})$  and  $\mathcal{H}$  is infinite dimensional.  $\square$

We conclude this section by stating Glimm's criterion for a  $C^*$ -algebra to be uniformly matricial. (Detailed proofs of lemmas entirely analogous



to the norm approximations needed for this result will be given in the next section for the more difficult case of strong  $*$ -operator approximation.)

**Theorem 3.3.** *If the (unital)  $C^*$ -algebra  $\mathfrak{A}$  is countably generated and if each finite set of operators in  $\mathfrak{A}$  can be approximated in norm to a preassigned degree of accuracy by operators in a unital, finite, type I subfactor of  $\mathfrak{A}$ , then  $\mathfrak{A}$  is uniformly matricial.*

#### 4. The matricial von Neumann algebras

In this section, we establish our basic results on isoreductivity (Theorem 4.10 and Corollary 4.11) and complete our proof of the Murray-von Neumann uniqueness of finite matricial algebras (Theorem 4.9). The technical lemmas needed for these results involve matrix unit approximations in von Neumann algebras. In the case of matrix approximations in a finite matricial factor, Murray and von Neumann make use of the trace norm, which, after minor rearrangement, amounts to approximation on a single vector—a trace vector. In the case of a general von Neumann algebra, a trace and trace norm are not available; so that more care and some special devices are necessary. Our approximations are performed on prescribed finite sets of vectors; and we must not allow operators chosen at later stages of the arguments to act on vectors yielding vectors then needed in further approximations. We will usually be given a system of  $n \times n$  matrix units (or a part thereof) at the outset; and, to avoid the difficulty just mentioned, we will want to be able to apply these matrix units to the vectors on which the approximations are made. We introduce a technical device that permits us to do this.

A set  $\mathcal{S}$  of vectors in the unit ball  $(\mathcal{H})_1$  of a Hilbert space  $\mathcal{H}$  will be said to be *closed* with respect to a partial isometry  $V$  on  $\mathcal{H}$  whose initial projection  $E$  is orthogonal to its final projection  $F$  when  $Ex$ ,  $Fx$ ,  $Vx$ ,  $V^*x$ ,  $(I-E)x$ ,  $(I-F)x$ , and  $(I-E-F)x$  are in  $\mathcal{S}$  for each  $x$  in  $\mathcal{S}$ . For each  $x$  in  $\mathcal{H}$ , the seven vectors, just listed, together with 0 form a closed set with respect to  $V$  as does this same set with  $x$  adjoined.

If  $y$  in  $\mathcal{H}$  is such that  $Ey = Fy = 0$ , then  $\{0, y\}$  is closed with respect to  $V$ . The union and intersection of sets closed with respect to  $V$  are closed with respect to  $V$ . If  $\{E_{jk}\}$  is a system of  $n \times n$  matrix units then, for each  $x$  in  $\mathcal{H}$ ,  $\{0, x, E_{jk}x, (I-E)x : j, k = 1, \dots, n; E = E_{j_1j_1} + \dots + E_{j_mj_m}, 1 \leq j_1 < j_2 < \dots < j_m \leq n\}$  is the minimal set containing  $x$  and closed with respect to all  $E_{jk}$ ,  $j \neq k$ . Thus each finite set of vectors in  $(\mathcal{H})_1$  is contained in a finite set closed with respect to all  $E_{jk}$ ,  $j \neq k$ .

In loose terms, the programme that follows is aimed at showing that

each unital subfactor  $\mathcal{N}_0$  of type  $I_n$  of a matricial von Neumann algebra  $\mathcal{R}$  is contained in another unital subfactor  $\mathcal{N}$  of type  $I_{nr}$  where  $r$  is a preassigned positive integer, and that there are operators in the unit ball of  $\mathcal{N}$  that approximate a given finite set of operators in the unit ball of  $\mathcal{R}$  on a given finite set of vectors to a preassigned degree of accuracy. These approximations performed successively, with greater and greater accuracy, assure us that a generating nest for a uniformly matricial  $C^*$ -algebra  $\mathfrak{A}$  of  $\mathcal{R}$  can be constructed that has strong-operator closure containing a (countable) generating family for  $\mathcal{R}$ ; so that  $\mathfrak{A}^- = \mathcal{R}$ . The ability to introduce a given integer  $r$  as a divisor of the type at each stage, allows us to construct  $\mathfrak{A}$ ,  $*$  isomorphic to a given uniformly matricial  $C^*$ -algebra. In this form, the programme is most easily carried out when  $\mathcal{R}$  is properly infinite. When  $\mathcal{R}$  is finite, it will suffice, from Corollaries 2.4 and 2.5, to construct some one given  $\mathfrak{A}$  as a dense subalgebra. In the finite case, constructing  $\mathcal{N}$  to have type  $I_{nr}$  (and with the other properties described above) for a specified integer  $r$  is a more strenuous process; but it is relatively easy to make some (unspecified) multiple of  $r$  a divisor of the type of  $\mathcal{N}$ . Clearly, then, our goal in the finite case should be to construct  $\mathfrak{A}_\infty$  (described in Section 1) as a dense subalgebra. It is in this respect that our argument differs strategically from the Murray-von Neumann argument—and simplification occurs. We use the freedom afforded by basic representation results applied to the special situation of uniformly matricial  $C^*$ -algebras to indulge the ‘excesses’ permitted by constructing  $\mathfrak{A}_\infty$  rather than attempting the rigidly restrictive construction of a generating nest of unital, finite, type I factors each of whose types is specified. This last is what the Murray-von Neumann proof does (and is required for the properly-infinite case, where additional ‘space’ is available to allow this construction in simplified form).

In the first lemma, we show that if a  $2 \times 2$  matrix unit system is approximable (in the strong  $*$ -operator topology) by operators in the unit ball of a finite type I subfactor then an approximation can be made by a  $2 \times 2$  matrix unit system in the subfactor. Using this, we show the same, in the next lemma, for unital  $n \times n$  matrix systems (with the resulting approximation dependent on  $n$ ). The next three lemmas show that nearby matrix unit systems can be ‘rotated’ onto one another by a unitary operator in  $\mathcal{R}$  near  $I$ . (The first of the three deals with the finite and the next two with the properly-infinite cases.) The two lemmas that follow these construct  $\mathcal{N}$  (as described) in the properly-infinite and finite cases, respectively.

**Lemma 4.1.** *If  $V$  is a partial isometry in the von Neumann algebra  $\mathcal{R}$  acting on the Hilbert space  $\mathcal{H}$ ,  $V^*V = E$ ,  $VV^* = F$ ,  $EF = 0$ ,  $\mathcal{N}$  is a subfactor of  $\mathcal{R}$  of type  $I_n$ ,  $\mathcal{S}$  is a closed set of vectors with respect to  $V$ , and*

$A, B, T$  are operators in the unit ball of  $\mathcal{N}$  such that  $\|(A - E)x\| < b (< 10^{-11})$ ,  $\|(A^* - E)x\| < b$ ,  $\|(B - F)x\| < b$ ,  $\|(B^* - F)x\| < b$ ,  $\|(T - V)x\| < b$ ,  $\|(T^* - V^*)x\| < b$  for each  $x$  in  $\mathcal{S}$ , then there are orthogonal projections  $M$  and  $N$  and a partial isometry  $W$  in  $\mathcal{N}$  such that

$$W^*W = M, \quad WW^* = N, \quad \|(M - E)x\| < 4b^{1/32},$$

$$\|(N - F)x\| < 4b^{1/32}, \quad \|(W - V)x\| < 2b^{1/32}, \quad \|(W^* - V^*)x\| < 2b^{1/32}$$

for all  $x$  in  $\mathcal{S}$ . If  $A$  is a projection,  $M$  can be chosen so that  $M \leq A$ .

**Proof.** Replacing  $A$  by  $\frac{1}{2}(A + A^*)$  and  $B$  by  $\frac{1}{2}(B + B^*)$ , we may assume that  $A$  and  $B$  are self-adjoint. With  $x$  in  $\mathcal{S}$ , we have

$$\|(A - A^2)x\| \leq \|A(E - A)x\| + \|(A - E)(I - E)x\| < 2b.$$

Since  $A$  is a self-adjoint operator in the unit ball of  $\mathcal{N}$ ;  $A = \sum_{j=1}^m \lambda_j G_j$ , where  $|\lambda_j| \leq 1$  and  $\{G_j\}$  is an orthogonal family of projections in  $\mathcal{N}$ . If

$$d = b^{1/4}, \quad X_0 = \{j : \lambda_j \notin [-d, d] \cup [1 - d, d]\}$$

and  $j \in X_0$ ; then  $d^2 < |\lambda_j| \cdot |1 - \lambda_j|$ . Thus

$$\begin{aligned} d^4 \sum_{j \in X_0} \|G_j x\|^2 &\leq \sum_{j \in X_0} (\lambda_j - \lambda_j^2)^2 \|G_j x\|^2 = \sum_{j \in X_0} \|(\lambda_j - \lambda_j^2) G_j x\|^2 \\ &\leq \sum_{j=1}^m \|(\lambda_j - \lambda_j^2) G_j x\|^2 = \|(A - A^2)x\|^2 < 4b^2. \end{aligned}$$

If  $-d \leq \lambda_j \leq d$  then  $|\lambda_j| \leq d = b^{1/4} < 10^{-1}$  so that  $9|\lambda_j|/10 \leq |\lambda_j| - |\lambda_j|^2 \leq |\lambda_j - \lambda_j^2|$ . Similarly, if  $1 - d \leq \lambda_j \leq 1$  then  $|1 - \lambda_j| = 1 - \lambda_j \leq d < 10^{-1}$  so that  $9|1 - \lambda_j|/10 \leq 1 - \lambda_j - (1 - \lambda_j)^2 = |\lambda_j - \lambda_j^2|$ . Let  $M_0$  be the sum of those  $G_j$ 's for which  $1 - d \leq \lambda_j$ . Then

$$\begin{aligned} \|(A - M_0)x\|^2 &\leq \left(\frac{10}{9}\right)^2 \sum_{j \notin X_0} \|(\lambda_j - \lambda_j^2) G_j x\|^2 + \sum_{j \in X_0} \|G_j x\|^2 \\ &\leq \left(\frac{10}{9}\right)^2 \|(A - A^2)x\|^2 + 4b < 5b^2 + 4b. \end{aligned}$$

and (since  $b < 10^{-1}$ )

$$\|(M_0 - E)x\| \leq (5b^2 + 4b)^{1/2} + b < 3b^{1/2}.$$

If  $A$  is a projection, the preceding construction yields  $A$  as  $M_0$ . Now  $\|EBx\| = \|E(B - F)x\| < b$ ; and  $\|BEx\| = \|(B - F)Ex\| < b$ ; so that

$$\|BM_0x\| \leq \|B(M_0 - E)x\| + \|BEx\| < 3b^{1/2} + b$$

and

$$\begin{aligned}\|M_0 Bx\| &\leq \|(M_0 - E)Bx\| + \|EBx\| \\ &\leq \|(M_0 - E)Fx\| + \|(M_0 - E)(B - F)x\| + \|EBx\| \\ &< 3b^{1/2} + 3b.\end{aligned}$$

Thus (since  $b < 10^{-2}$ )

$$\|[B - (I - M_0)B(I - M_0)]x\| \leq \|M_0 Bx\| + 2\|BM_0 x\| < 9b^{1/2} + 5b$$

and

$$\|[(I - M_0)B(I - M_0) - F]x\| < 10b^{1/2} (< 10^{-4}).$$

Applying the previous argument with  $(I - M_0)B(I - M_0)$  and  $F$  in place of  $A$  and  $E$ , we can construct a projection  $N_0$  in  $(I - M_0)\mathcal{N}(I - M_0)$  such that

$$\|(N_0 - F)x\| < [5(10b^{1/2})^2 + 4(10b^{1/2})]^{1/2} + 10b^{1/2} < 7b^{1/4}$$

(for  $10b^{1/2} < \frac{1}{2}b^{1/4}$  and  $[5(10b^{1/2})^2 + 4(10b^{1/2})]^{1/2} < \frac{13}{2}b^{1/4}$ , since  $b < 10^{-6}$ ).

If  $N_0 T M_0 = S = W(S^* S)^{1/2}$ , where  $W$  is a partial isometry in  $\mathcal{N}$  such that  $W^* W = M \leq M_0$  and  $W W^* = N \leq N_0$ , then

$$\begin{aligned}\|(S - V)x\| &= \|(N_0 T M_0 - F V E)x\| \leq \|N_0(T M_0 - V E)x\| + \|(N_0 - F) V E x\| \\ &\leq \|T(M_0 - E)x\| + \|(T - V) E x\| + \|(N_0 - F) V x\| \\ &\leq 3b^{1/2} + b + 7b^{1/4} < 8b^{1/4},\end{aligned}$$

and  $\|(S^* - V^*)x\| < 8b^{1/4}$ . Thus

$$\begin{aligned}\|(S^* S - V^* V)x\| &= \|(S^* S - E)x\| \\ &\leq \|S^*(S - V)x\| + \|(S^* - V^*) V x\| < 16b^{1/4},\end{aligned}$$

and  $\|(SS^* - F)x\| < 16b^{1/4}$ . Hence

$$\|[S^* S - (S^* S)^2]x\| < 32b^{1/4} \quad \text{and} \quad \|[SS^* - (SS^*)^2]x\| < 32b^{1/4}.$$

Arguing, now, as at the beginning of this proof, with  $S^* S$  in place of  $A$ , let  $Y_0$  be  $\{j: \mu_j \notin [0, d_0] \cup [1 - d_0, 1]\}$ , where  $S^* S = \sum_{i=1}^h \mu_i G'_i$ ,  $\{G'_i\}$  is an orthogonal family of projections in  $\mathcal{N}$ , and  $d_0 = (16b^{1/4})^{1/4}$ . If  $j \in Y_0$ , then  $d_0^2 < |\mu_j| \cdot |1 - \mu_j|$ ; so that  $\sum_{j \in Y_0} \|G'_j x\|^2 < 64b^{1/4}$ . Thus  $\|[S^* S]^{1/2} - S^* S]x\|^2 = \sum_{j \notin Y_0} (\mu_j^{1/2} - \mu_j)^2 \cdot \|G'_j x\|^2 + \sum_{j \in Y_0} (\mu_j^{1/2} - \mu_j)^2 \|G'_j x\|^2 \leq d_0 \|x\|^2 + 64b^{1/4} < 3b^{1/16}$  (since  $b < 10^{-11}$ ); and  $\|[(SS^*)^{1/2} - SS^*]x\|^2 < 3b^{1/16}$ . Hence

$$\begin{aligned}\|(W - V)x\| &\leq \|(W - W M_0)x\| + \|W(M_0 - E)x\| + \|W(E - (S^* S)^{1/2})x\| \\ &\quad + \|(S - V)x\| < 3b^{1/2} + 16b^{1/4} + 3^{1/2}b^{1/32} + 8b^{1/4} < 2b^{1/32}\end{aligned}$$

(since  $b < 10^{-7}$ ); and  $\|(W^* - V^*)x\| < 31b^{1/4} + 3^{1/2}b^{1/32} < 2b^{1/32}$  (since  $b < 10^{-7}$ ). It follows that

$$\|(W^*W - V^*V)x\| = \|(M - E)x\| < 4b^{1/32},$$

and  $\|(N - F)x\| < 4b^{1/32}$ .  $\square$

**Lemma 4.2.** *For each positive integer  $n$  there is a positive integer  $m_n$  and a positive function  $m \rightarrow f(n, m)$  on the positive integers such that  $f(n, m) \rightarrow 0$  as  $m \rightarrow \infty$  and such that if  $\mathcal{R}$  is a von Neumann algebra,  $\{E_{jk}\}$  is a unital system of  $n \times n$  matrix units in  $\mathcal{R}$ ,  $\mathcal{N}$  is a unital subfactor of  $\mathcal{R}$  of type  $I_{nn}$ ,  $\mathcal{S}$  is a closed set of vectors with respect to all  $E_{jk}$ ,  $j \neq k$ , and  $\{A_{jk}\}$  is a family of operators in the unit ball of  $\mathcal{N}$  such that  $A_{jk}^* = A_{kj}$ ,  $\|(E_{jk} - A_{jk})x\| < m^{-1}$  for each  $x$  in  $\mathcal{S}$ , then there is a unital system of  $n \times n$  matrix units  $\{F_{jk}\}$  in  $\mathcal{N}$  such that  $\|(E_{jk} - F_{jk})x\| < f(n, m)$  when  $m_n < m$ , for each  $x$  in  $\mathcal{S}$ .*

**Proof.** From Lemma 4.1, there are mutually orthogonal projections  $M_{11}^1$ ,  $M_{22}^1$ , and a partial isometry  $M_{21}^1$  in  $\mathcal{N}$  such that  $M_{21}^1 M_{12}^1 = M_{22}^1$ ,  $M_{12}^1 M_{21}^1 = M_{11}^1$ , where  $M_{12}^1 = M_{21}^{1*}$ ,  $\|(M_{11}^1 - E_{11})x\| < 4m^{-1/32}$ ,  $\|(M_{22}^1 - E_{22})x\| < 4m^{-1/32}$ ,  $\|(M_{21}^1 - E_{21})x\| < 2m^{-1/32}$ ,  $\|(M_{12}^1 - E_{12})x\| < 2m^{-1/32}$ , for each  $x$  in  $\mathcal{S}$ , provided  $m^{-1} < 10^{-11}$ . For  $j, k$  in  $\{2, 3\}$ ,

$$\begin{aligned} & \|[E_{jk} - (I - M_{11}^1)A_{jk}(I - M_{11}^1)]x\| \\ &= \|[ (I - E_{11})E_{jk}(I - E_{11}) - (I - M_{11}^1)A_{jk}(I - M_{11}^1) ]x\| < 8m^{-1/32} + m^{-1}. \end{aligned}$$

We can apply Lemma 4.1, again, to the algebra  $(I - M_{11}^1)\mathcal{N}(I - M_{11}^1)$  with  $E_{22}$ ,  $E_{33}$ ,  $E_{32}$  in place of  $E$ ,  $F$ ,  $V$  of that lemma,  $M_{22}^1$ ,  $(I - M_{11}^1)A_{33}(I - M_{11}^1)$ ,  $(I - M_{11}^1)A_{32}(I - M_{11}^1)$  in place of  $A$ ,  $B$ ,  $T$  and  $8m^{-1/32} + m^{-1}$  in place of  $b$ . This application yields orthogonal projections  $M_{22}^2$ ,  $M_{33}^2$  and a partial isometry  $M_{32}^2$  (all in  $(I - M_{11}^1)\mathcal{N}(I - M_{11}^1)$ ) such that  $M_{32}^2 M_{23}^2 = M_{33}^2$  (where  $M_{23}^2 = M_{32}^{2*}$ ),  $M_{23}^2 M_{32}^2 = M_{22}^2 \leq M_{22}^1$  (since  $A$  is the projection  $M_{22}^1$ , in the present case) and such that

$$\|(E_{jh} - M_{jh}^2)x\| < 4(8m^{-1/32} + m^{-1})^{1/32} \quad (j, h = 2, 3)$$

for  $x$  in  $\mathcal{S}$ . Let  $M_{12}^2$  be  $M_{12}^1 M_{22}^2$ ,  $M_{21}^2$  be  $M_{12}^{2*} (= M_{22}^2 M_{21}^1)$ , and  $M_{11}^2$  be  $M_{12}^2 M_{21}^2$ . Then, since

$$\begin{aligned} \|(M_{22}^1 - M_{22}^2)x\| &\leq \|(M_{22}^1 - E_{22})x\| + \|(E_{22} - M_{22}^2)x\| \\ &< 4(8m^{-1/32} + m^{-1})^{1/32} + 4m^{-1/32}, \end{aligned}$$

we have

$$\begin{aligned} \|(M_{12}^2 - E_{12})x\| &\leq \|(M_{12}^1 M_{22}^2 - M_{12}^1 M_{22}^1)x\| + \|(M_{12}^1 M_{22}^2 - M_{12}^1 E_{22})x\| \\ &\quad + \|(M_{12}^1 E_{22} - E_{12} E_{22})x\| < 5(8m^{-1/32} + m^{-1})^{1/32}, \\ \|(M_{21}^2 - E_{21})x\| &\leq \|(M_{22}^2 M_{21}^1 - M_{22}^2 E_{21})x\| + \|(M_{22}^2 E_{21} - M_{12}^2 E_{21})x\| \\ &\quad + \|(M_{12}^2 E_{21} - E_{22} E_{21})x\| < 5(8m^{-1/32} + m^{-1})^{1/32} \end{aligned}$$

(provided  $m_n$  is suitably large). Thus

$$\|(M_{11}^2 - E_{11})x\| = \|(M_{12}^2 M_{21}^2 - E_{12} E_{21})x\| < 10(8m^{-1/32} + m^{-1})^{1/32};$$

so that, for each  $x$  in  $\mathcal{S}$ ,

$$\|(M_{jh}^2 - E_{jh})x\| < 10(8m^{-1/32} + m^{-1})^{1/32} \quad (j, h = 1, 2, 3, |j - h| \leq 1).$$

Continuing in this way (at the next stage, we construct  $M_{33}^3$ ,  $M_{44}^3$  and  $M_{43}^3$  such that  $M_{43}^3 M_{34}^3 = M_{44}^3$  and  $M_{34}^3 M_{43}^3 = M_{33}^3 \leq M_{33}^2$ ; and then we replace  $M_{23}^2$  by  $M_{23}^2 M_{33}^3$  labelled as  $M_{23}^3$ , then  $M_{22}^2$  by  $M_{23}^3 M_{32}^3$ , and so forth), we construct mutually orthogonal projections  $M_{11}, \dots, M_{nn}$  and partial isometries  $M_{j+1j}$  in  $\mathcal{N}$  such that  $M_{j+1j} M_{jj+1} = M_{j+1j+1}$ ,  $M_{jj+1} M_{j+1j} = M_{jj}$ , where  $M_{jj+1} = M_{j+1j}^*$ , and  $\|(E_{jj} - M_{jj})x\| < f_0(n, m)$ ,  $\|(E_{j+1j} - M_{j+1j})x\| < f_0(n, m)$ , where, for each  $n$ ,  $f_0(n, m)$  tends to 0 as  $m$  tends to infinity. To construct  $M_{33}^3$ ,  $M_{44}^3$  and  $M_{43}^3$ ,  $m^{-1}$  must have been chosen so small, at the outset, that  $41(8m^{-1/32} + m^{-1})^{1/32} < 10^{-11}$  (so that Lemma 4.1 applies). If  $1 \leq k < j \leq n$ , define  $M_{jk}$  to be  $M_{jj-1} \cdots M_{k+1k}$  and  $M_{kj}$  to be  $M_{jk}^*$ . Then  $\{M_{jk}\}$  is a self-adjoint system of  $n \times n$  matrix units in  $\mathcal{N}$ , and, for each  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|(E_{jk} - M_{jk})x\| &= \|(E_{jj-1} \cdots E_{k+1k} - M_{jj-1} \cdots M_{k+1k})x\| \\ &\leq \|(E_{jj-1} \cdots E_{k+1k} - M_{jj-1} E_{j-1j-2} \cdots E_{k+1k})x\| \\ &\quad + \cdots + \|(M_{jj-1} \cdots M_{k+2k+1} E_{k+1k} - M_{jj-1} \cdots M_{k+1k})x\| \\ &< n f_0(n, m). \end{aligned}$$

Now

$$\left\| \left( I - \sum_{j=1}^n M_{jj} \right) x \right\| \leq \sum_{j=1}^n \|(E_{jj} - M_{jj})x\| < n f_0(n, m).$$

Since  $M_{11}, \dots, M_{nn}$  are orthogonal equivalent projections in  $\mathcal{N}$  and  $\mathcal{N}$  is of type  $I_{nn}$ ;  $I - \sum_{j=1}^n M_{jj}$  is the sum of  $n$  orthogonal equivalent projections  $N_{11}, \dots, N_{nn}$  in  $\mathcal{N}$ . Let  $\{N_{rs}\}$  be a self-adjoint system of  $n \times n$  matrix units in  $\mathcal{N}$  (formed on  $\{N_{jj}\}$ ); and let  $F_{jk}$  be  $M_{jk} + N_{jk}$ . Then  $\{F_{jk}\}$  is a unital system of  $n \times n$  matrix units in  $\mathcal{N}$ . Since

$$\|N_{jk}x\| = \|N_{jk} \left( I - \sum_{h=1}^n M_{hh} \right) x\| \leq \left\| \left( I - \sum_{h=1}^n M_{hh} \right) x \right\| < n f_0(n, m);$$

we have, for each  $x$  in  $\mathcal{S}$ ,

$$\|(E_{jk} - F_{jk})x\| \leq \|(E_{jk} - M_{jk})x\| + \|N_{jk}x\| < 2n f_0(n, m).$$

Let  $f(n, m)$  be  $2n f_0(n, m)$ ; and let  $m_n$  be chosen so large that if  $m^{-1} \leq m_n^{-1}$  at the outset, then, at the last stage of the construction, Lemma 4.1 applies to allow us to find  $M_{nn}$  and  $M_{nn-1}$ .  $\square$

**Lemma 4.3.** *If  $\{E_{jk}\}$  and  $\{F_{jk}\}$  are unital systems of  $n \times n$  matrix units*

in the finite von Neumann algebra  $\mathcal{R}$  and  $\mathcal{S}$  is a closed set of vectors with respect to all  $E_{jk}$ ,  $j \neq k$ , such that  $\|(E_{jk} - F_{jk})x\| < a$  for each  $x$  in  $\mathcal{S}$  then there is a unitary operator  $U$  in  $\mathcal{R}$  such that  $UE_{jk}U^* = F_{jk}$  for  $j, k$  in  $\{1, \dots, n\}$  and  $\|(U - I)x\| < (10n - 1)a$  for each  $x$  in  $\mathcal{S}$ .

*Proof.* Let  $V_{11}(E_{11}F_{11}E_{11})^{1/2}$  be the polar decomposition of  $F_{11}E_{11}$ ; so that  $V_{11}$  is a partial isometry in  $\mathcal{R}$  with initial projection  $E$ , the range projection of  $E_{11}F_{11}$ , and final projection  $F$ , the range projection of  $F_{11}E_{11}$ . We have,

$$\begin{aligned} [(E_{11}F_{11}E_{11})^{1/2} - E_{11}]^2 &= [(E_{11}F_{11}E_{11})^{1/2} - E_{11}]^2 E_{11} \\ &\leq [(E_{11}F_{11}E_{11})^{1/2} - E_{11}]^2 [(E_{11}F_{11}E_{11})^{1/2} + E_{11}]^2 \\ &= [E_{11}F_{11}E_{11} - E_{11}]^2 = [E_{11}(F_{11} - E_{11})E_{11}]^2, \end{aligned}$$

and, with  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|[(E_{11}F_{11}E_{11})^{1/2} - E_{11}]x\| &\leq \|E_{11}(F_{11} - E_{11})E_{11}x\| \\ &\leq \|(F_{11} - E_{11})E_{11}x\| < a, \end{aligned}$$

since  $E_{11}x \in \mathcal{S}$ . Similarly,

$$\begin{aligned} \|[(F_{11}E_{11}F_{11})^{1/2} - F_{11}]x\| &\leq \|(E_{11} - F_{11})F_{11}x\| \leq \|(E_{11}F_{11} - E_{11})x\| \\ &\quad + \|(E_{11} - F_{11})x\| < 2a. \end{aligned}$$

Thus

$$\begin{aligned} \|(V_{11} - E_{11})x\| &= \|(V_{11}E_{11} - E_{11})x\| \leq \|[V_{11}E_{11} - V_{11}(E_{11}F_{11}E_{11})^{1/2}]x\| \\ &\quad + \|(F_{11}E_{11} - E_{11})x\| < 2a \end{aligned}$$

and

$$\begin{aligned} \|(V_{11}^* - F_{11})x\| &\leq \|[V_{11}^*F_{11} - V_{11}^*(F_{11}E_{11}F_{11})^{1/2}]x\| \\ &\quad + \|(E_{11}F_{11} - F_{11})x\| < 4a. \end{aligned}$$

It follows that,

$$\begin{aligned} \|(E_{11} - E)x\| &\leq \|(E_{11} - V_{11}^*E_{11})x\| + \|(V_{11}^*E_{11} - V_{11}^*V_{11})x\| \\ &\leq \|(E_{11} - V_{11}^*)E_{11}x\| + \|(E_{11} - V_{11})x\| \\ &< \|(E_{11} - F_{11})E_{11}x\| + \|(F_{11} - V_{11}^*)E_{11}x\| + 2a < 7a. \end{aligned}$$

Since  $E \sim F$  and  $E_{11} \sim F_{11}$  in  $\mathcal{R}$ , we have  $E_{11} - E \sim F_{11} - F$ . (At this point, we use our present assumption that  $\mathcal{R}$  is finite.) Let  $W_{11}$  be a partial isometry in  $\mathcal{R}$  with initial projection  $E_{11} - E$  and final projection  $F_{11} - F$ . If  $U_1 = W_{11} + V_{11}$ , then  $U_1$  is a partial isometry in  $\mathcal{R}$  with initial projection  $E_{11}$  and final projection  $F_{11}$ . Moreover, with  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|(U_1 - E_{11})x\| &\leq \|W_{11}x\| + \|(V_{11} - E_{11})x\| \\ &= \|W_{11}(E_{11} - E)x\| + \|(V_{11} - E_{11})x\| < 9a. \end{aligned}$$

Let  $U_i$  be  $F_{i1}U_iE_{i1}$ . Then  $U_i^*U_i = E_{ij}$ ,  $U_iU_i^* = F_{ij}$ ; and, with  $j$  in  $\{2, 3, \dots, n\}$  and  $x$  in  $\mathcal{S}$ , we have

$$\|(U_i - E_{ij})x\| = \|(F_{i1}U_iE_{i1} - E_{i1}E_{i1}E_{i1})x\| < 10a.$$

If  $U = \sum_{j=1}^n U_i$  then  $U$  is a unitary operator in  $\mathcal{R}$  fulfilling the conditions in the statement of this lemma:  $\|(U - I)x\| \leq (10n - 1)a$  and  $UE_{jk}U^* = F_{i1}U_iE_{i1}E_{jk}E_{k1}U_i^*F_{1k} = F_{jk}$ .  $\square$

**Lemma 4.4.** *If  $E_0$  and  $F_0$  are equivalent, properly-infinite projections in the von Neumann algebra  $\mathcal{R}$  and  $x_1, \dots, x_m$  are vectors in  $\mathcal{H}$ , the Hilbert space on which  $\mathcal{R}$  acts, such that  $\|(E_0 - F_0)x_j\| < a_j$  for each  $x_j$ , then there is a partial isometry  $W$  in  $\mathcal{R}$  such that  $W^*W = E_0$ ,  $WW^* = F_0$ , and  $\|(W - E_0)x_j\| < 25a_j$ .*

*Proof.* Using the Halving Lemma, we can find orthogonal families  $\{E_n\}$  and  $\{F_n\}$  with sums  $E_0$  and  $F_0$ , respectively, such that each  $E_n$  is equivalent to  $E_0$  and each  $F_n$  is equivalent to  $F_0$ . Let  $\varepsilon_j$  be  $(a_j - a')/50$  where  $\|(E_0 - F_0)x_j\| < a' < a_j$ . Since  $\sum_{n=1}^{\infty} \|E_n x_j\|^2 \leq \|x_j\|^2$  and  $\sum_{n=1}^{\infty} \|F_n x_j\|^2 \leq \|x_j\|^2$ , we can find  $E'$  in  $\{E_n\}$  and  $F'$  in  $\{F_n\}$  such that  $\|E' x_j\| < \varepsilon$  ( $\varepsilon = \min \varepsilon_j$ ) and  $\|F' x_j\| < \varepsilon$ . If  $E = E_0 - E'$  and  $F = F_0 - F'$  then  $\|(E - F)x_j\| < a_j + 2\varepsilon (= a)$ .

Let  $V(EFE)^{1/2}$  be the polar decomposition of  $FE$ . Since

$$\begin{aligned} [(EFE)^{1/2} - E]^2 &= [(EFE)^{1/2} - E]^2 E \leq [(EFE)^{1/2} - E]^2 [(EFE)^{1/2} + E]^2 \\ &= [EFE - E]^2 = [E(F - E)E]^2, \end{aligned}$$

we have

$$\begin{aligned} \|[(EFE)^{1/2} - E]x_j\| &\leq \|E(F - E)Ex_j\| \leq \|(F - E)Ex_j\| \\ &= \|(F - F^2 + FE - E)x_j\| \leq 2\|(F - E)x_j\| < 2a. \end{aligned}$$

Thus

$$\|(V - E)x_j\| \leq \|VE - V(EFE)^{1/2}\|x_j\| + \|(FE - E)x_j\| < 4a,$$

and  $\|(V - F)x_j\| < 5a$ .

Similarly  $\|[(FEF)^{1/2} - F]x_j\| < 2a$ . Since  $V^*(FEF)^{1/2}$  is the polar decomposition of  $EF$ ,  $\|(V^* - F)x_j\| < 4a$  and  $\|(V^* - E)x_j\| < 5a$ . Thus, if  $V^*V = E''$  and  $VV^* = F''$ , then  $E'' \sim F''$  and

$$\begin{aligned} \|(E'' - E)x_j\| &= \|(V^*V - E)x_j\| = \|(V^*V - V^*F + V^*F - E)x_j\| \\ &\leq \|(V - F)x_j\| + \|(V^* - E)x_j\| < 10a. \end{aligned}$$

Since  $E_0 \sim E' \leq E_0 - E''$  and  $F_0 \sim F' \leq F_0 - F''$ ; we have  $E_0 - E'' \sim E_0 \sim F_0 \sim F_0 - F''$ . Let  $V_0$  be a partial isometry in  $\mathcal{R}$  with initial projection



$E_0 - E''$  and final projection  $F_0 - F''$ . If  $W = V_0 + V$  then  $W^*W = E_0$ ,  $WW^* = F_0$  and

$$\begin{aligned} \|(W - E_0)x_j\| &\leq \|(V_0 - E')(E_0 - E'')x_j\| + \|(V - E)x_j\| \\ &< 2(\|E'x_j\| + \|(E - E'')x_j\|) + 4a < 2\varepsilon + 24a < 25a_j. \quad \square \end{aligned}$$

**Lemma 4.5.** *If  $\{E_{jk}\}$  and  $\{F_{jk}\}$  are unital systems of  $n \times n$  matrix units in a properly-infinite von Neumann algebra  $\mathcal{R}$  acting on a Hilbert space  $\mathcal{H}$  and  $\mathcal{S}$  is a finite set of vectors in  $\mathcal{H}$  such that, for each  $x$  in  $\mathcal{S}$ ,  $\|(E_{jk} - F_{jk})x\| < a$ , then there is a unitary operator  $U$  in  $\mathcal{R}$  such that  $UE_{jk}U^* = F_{jk}$  for all  $j$  and  $k$  in  $\{1, \dots, n\}$  and  $\|(U - I)x\| < 52na$ .*

*Proof.* Note that, for each  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|(E_{jk} - F_{jk})E_{kh}x\| &= \|(E_{jh} - F_{jk}F_{kh} + F_{jk}F_{kh} - F_{jk}E_{kh})x\| \\ &\leq \|(E_{jh} - F_{jh})x\| + \|(F_{kh} - E_{kh})x\| < 2a. \end{aligned}$$

Since  $\|(E_{11} - F_{11})E_{1j}x\| < 2a$  and  $\|(E_{11} - F_{11})x\| < a$ , Lemma 4.4. provides us with a partial isometry  $U_1$  in  $\mathcal{R}$  with initial projection  $E_{11}$  and final projection  $F_{11}$  such that  $\|(U_1 - E_{11})x\| < 25a$  and  $\|(U_1 - E_{11})E_{1j}x\| < 50a$  for  $j$  in  $\{1, \dots, n\}$  and  $x$  in  $\mathcal{S}$ . Let  $U_j$  be  $F_{j1}U_1E_{1j}$  for  $j$  in  $\{2, \dots, n\}$ . Then  $U_j^*U_j = E_{jj}$ ,  $U_jU_j^* = F_{jj}$ , and, for  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|(U_j - E_{jj})x\| &= \|(F_{j1}U_1E_{1j} - E_{j1}E_{11}E_{1j})x\| \\ &\leq \|(F_{j1}U_1E_{1j} - F_{j1}E_{11}E_{1j})x\| + \|(F_{j1}E_{11}E_{1j} - E_{j1}E_{11}E_{1j})x\| \\ &\leq \|(U_1 - E_{11})E_{1j}x\| + \|(F_{j1} - E_{j1})E_{1j}x\| < 52a. \end{aligned}$$

Let  $U$  be  $\sum_{j=1}^n U_j$ . Then  $U$  is a unitary operator in  $\mathcal{R}$ ,  $UE_{jk}U^* = F_{jk}$  for all  $j$  and  $k$  in  $\{1, \dots, n\}$ , and, for  $x$  in  $\mathcal{S}$ ,  $\|(U - I)x\| = \|\sum_{j=1}^n (U_j - E_{jj})x\| \leq 52na$ .  $\square$

**Lemma 4.6.** *If  $\mathcal{R}$  is a properly-infinite, matricial von Neumann algebra acting on a Hilbert space  $\mathcal{H}$ ,  $\mathcal{N}_0$  is a unital subfactor of  $\mathcal{R}$  of type  $I_n$ ,  $\mathcal{S}_0$  is a finite set of vectors in  $(\mathcal{H})_1$ ,  $\{A_1, \dots, A_h\}$  is a finite set of operators in the unit ball of  $\mathcal{R}$ ,  $\{r_j\}$  is a strictly increasing sequence of positive integers, and  $\varepsilon$  is a positive number, then there is a subfactor  $\mathcal{N}$  of  $\mathcal{R}$  of type  $I_{nr}$ , for some  $j$ , containing  $\mathcal{N}_0$  and containing operators  $\{B_1, \dots, B_h\}$  in its unit ball such that  $\|(A_j - B_j)x\| < \varepsilon$  for all  $x$  in  $\mathcal{S}_0$  and  $j$  in  $\{1, \dots, h\}$ .*

*Proof.* Let  $\{E_{jk}\}$  be a unital system of  $n \times n$  matrix units for  $\mathcal{N}_0$ . As described at the beginning of this section, we can enlarge  $\mathcal{S}_0$  to a (finite) set  $\mathcal{S}$  containing  $A_1x, \dots, A_hx$  for each  $x$  in  $\mathcal{S}_0$  and closed with respect to each  $E_{jk}$ ,  $j \neq k$ . Let  $r$  be a positive integer chosen sufficiently large that  $r^{-1} < \varepsilon/3$  and that  $f(n, r) \leq \varepsilon/3(52n)$ , where  $r \rightarrow f(n, r)$  is the function

introduced in Lemma 4.2. Since  $\mathcal{R}$  is matricial, there is a unital subfactor  $\mathcal{N}_1$  of  $\mathcal{R}$  of type  $I_m$  containing operators  $\{A_{jk}\}$  and  $\{T_1, \dots, T_h\}$  in its unit ball such that  $A_{jk}^* = A_{kj}$ ,

$$\|(A_{jk} - E_{jk})x\| < \frac{1}{2}r^{-1} \quad \text{and} \quad \|(T_i - A_i)x\| < \frac{1}{2}r^{-1} \quad (*)$$

for all  $j$  and  $k$  in  $\{1, \dots, n\}$ ,  $i$  in  $\{1, \dots, h\}$ , and  $x$  in  $\mathcal{S}$ . Let  $\{M_{jk}\}$  be a unital system of  $m \times m$  matrix units for  $\mathcal{N}_1$ . Since  $M_{11}, \dots, M_{mm}$  are equivalent, finite in number, have sum  $I$ , and  $I$  is properly infinite; each  $M_{ji}$  is properly infinite in  $\mathcal{R}$  and each  $M_{ji}$  is equivalent to  $I$ .

Repeated use of the Halving Lemma permits us to express  $M_{11}$  as the sum of a countable, orthogonal family  $\{M_k\}$  of projections equivalent to  $M_{11}$ . As  $\sum_{k=1}^{\infty} \|M_k x\|^2 \leq \|x\|^2$  and  $\sum_{k=1}^{\infty} \|M_k M_{1j} x\|^2 \leq \|x\|^2$ , for  $j$  in  $\{1, \dots, m\}$ ; there are orthogonal projections  $M$  and  $N$  in  $\{M_k\}$  such that  $\|MM_{1j}x\| < r^{-1}/14m + 2$ ,  $\|Mx\| < r^{-1}/14m + 2$ ,  $\|NM_{1j}x\| < r^{-1}/14m + 2$ , and  $\|Nx\| < r^{-1}/14m + 2$ , for  $j$  in  $\{1, \dots, m\}$  and  $x$  in  $\mathcal{S}$ . With  $V$  a partial isometry in  $\mathcal{R}$  such that  $V^*V = M + N$  and  $VV^* = N$ , we have that  $M_{11} - M - N + V (= W)$  is a partial isometry in  $\mathcal{R}$  such that  $W^*W = M_{11}$  and  $WW^* = M_{11} - M$ . Moreover, if  $j$  is in  $\{1, \dots, m\}$  and  $x \in \mathcal{S}$ , then

$$\|(W - M_{11})M_{1j}x\| \leq \|MM_{1j}x\| + \|NM_{1j}x\| + \|V(M + N)M_{1j}x\| \leq \frac{4r^{-1}}{14m + 2}$$

and

$$\|(W^* - M_{11})x\| \leq \|Mx\| + \|Nx\| + \|V^*Nx\| \leq \frac{3r^{-1}}{14m + 2}.$$

Let  $N_{11}$  be  $M_{11} - M$ ,  $N_{12}$  be  $WM_{12}$ ,  $N_{jk}$  be  $M_{jk}$  when  $j \neq 1 \neq k$ ,  $N_{1j}$  be  $N_{12}N_{2j} (= WM_{1j})$  when  $j \neq 1$ , and  $N_{j1}$  be  $N_{1j}^* (= M_{1j}W^*)$ . Then  $\{N_{jk}\}$  is a system of  $m \times m$  matrix units and  $\sum_{j=1}^m N_{jj} = I - M$ .

If  $A$  is in the unit ball of  $\mathcal{N}_1$ , then there are scalars  $a_{jk}$  such that  $A = \sum_{j,k=1}^m a_{jk}M_{jk}$  and  $|a_{jk}| \leq 1$ . Let  $B$  be  $\sum_{j,k=1}^m a_{jk}N_{jk}$ . Then  $1 \geq \|A\| = \|B\|$  and, with  $x$  in  $\mathcal{S}$ ,

$$\begin{aligned} \|(A - B)x\| &= \left\| \sum_{j=2}^m [a_{j1}(M_{j1} - N_{j1}) + a_{1j}(M_{1j} - N_{1j})]x + a_{11}(M_{11} - N_{11})x \right\| \\ &\leq \sum_{j=2}^m (\|(M_{j1} - N_{j1})x\| + \|(M_{1j} - N_{1j})x\|) + \|Mx\| \\ &= \sum_{j=2}^m (\|M_{j1}(M_{11} - W^*)x\| + \|(M_{1j} - N_{1j})x\|) + \|Mx\| \\ &\leq m \frac{3r^{-1}}{14m + 2} + m \frac{4r^{-1}}{14m + 2} + \frac{r^{-1}}{14m + 2} = \frac{1}{2}r^{-1}. \end{aligned}$$

Thus there are operators  $B_{jk}$  and  $S_j$  in the unit ball of  $\mathcal{R}$ , that are linear combinations of  $\{N_{jk}\}$  such that  $B_{jk}^* = B_{kj}$ ,

$$\|(B_{jk} - A_{jk})x\| < \frac{1}{2}r^{-1} \quad \text{and} \quad \|(S_j - T_j)x\| < \frac{1}{2}r^{-1}. \quad (**)$$

Combining (\*) and (\*\*), we have

$$\|(B_{jk} - E_{jk})x\| < r^{-1} \quad \text{and} \quad \|(S_j - A_j)x\| < r^{-1} < \frac{\varepsilon}{3} \quad (***)$$

for all  $x$  in  $\mathcal{S}$ . Choosing  $r_j$  so that  $nr_j - m$  is positive, use the Halving Lemma to find  $nr_j - m$  mutually orthogonal projections equivalent to  $M$  with sum  $M$ ; and adjoin these projections, together with suitable partial isometries between them and the projections  $N_{ij}$ , to  $\{N_{jk}\}$  to form a unital system of  $nr_j \times nr_j$  matrix units and its generated unital subfactor  $\mathcal{N}_2$  of  $\mathcal{R}$  of type  $I_{nr_j}$ . Since  $\mathcal{N}_2$  contains the operators  $B_{jk}$  and  $S_j$ , and the type of  $\mathcal{N}_2$  is divisible by  $n$ ; Lemma 4.2 applies (see (\*\*\*), above, and recall the choice of  $r$ ), and there is a unital system  $\{F_{jk}\}$  of  $n \times n$  matrix units in  $\mathcal{N}_2$  such that, for each  $x$  in  $\mathcal{S}$ ,  $\|(E_{jk} - F_{jk})x\| < \varepsilon/3(52n)$ . From Lemma 4.5, there is a unitary operator  $U$  in  $\mathcal{R}$  such that  $U^*F_{jk}U = E_{jk}$  and, for each  $x$  in  $\mathcal{S}$

$$\|(U - I)x\| < 52n \frac{\varepsilon}{3(52n)} = \frac{\varepsilon}{3}.$$

In particular  $\|(U - I)A_jx\| < \varepsilon/3$  for each  $j$  in  $\{1, \dots, h\}$  and each  $x$  in  $\mathcal{S}_0$ , since  $A_jx \in \mathcal{S}$  for each such  $x$ . It follows that  $U^*\mathcal{N}_2U$  is a unital subfactor,  $\mathcal{N}$ , of  $\mathcal{R}$  of type  $I_{nr_j}$  containing  $\mathcal{N}_0$  and operators  $U^*S_jU (= B_j)$  such that

$$\begin{aligned} \|(A_j - B_j)x\| &= \|(A_j - U^*S_jU)x\| = \|(UA_j - S_jU)x\| \\ &\leq \|(U - I)A_jx\| + \|(A_j - S_j)x\| + \|S_j(U - I)x\| < \varepsilon \end{aligned}$$

for all  $x$  in  $\mathcal{S}_0$  and  $j$  in  $\{1, \dots, h\}$ .  $\square$

The lemma that follows is a simpler consequence of Lemmas 4.2, 4.3 and 4.5, than the preceding lemma; but is applicable to *finite*, matricial von Neumann algebras as well. It suffices, when combined with the easy observations on finite representations of uniformly, matricial  $C^*$ -algebras (contained in Section 2), for the proof of the Murray-von Neumann theorem on the uniqueness of the finite, matricial von Neumann algebra (Theorem 4.9).

**Lemma 4.7.** *If  $\mathcal{R}$  is a matricial von Neumann algebra acting on a Hilbert space  $\mathcal{H}$ ,  $\mathcal{N}_0$  is a unital subfactor of  $\mathcal{R}$  of type  $I_n$ ,  $\{A_1, \dots, A_h\}$  is a finite set of operators in the unit ball of  $\mathcal{R}$ ,  $\mathcal{S}_0$  is a finite set of vectors in*

$(\mathcal{H})_1$ ,  $\varepsilon$  is a positive number, and  $r_0$  is a positive integer, there is a unital subfactor  $\mathcal{N}$  of  $\mathcal{R}$  of type  $I_{mn r_0}$  containing  $\mathcal{N}_0$  and containing operators  $\{B_1, \dots, B_h\}$  in its unit ball such that  $\|(A_j - B_j)x\| < \varepsilon$  for all  $j$  in  $\{1, \dots, h\}$  and  $x$  in  $\mathcal{S}_0$ .

**Proof.** As in the argument of Lemma 4.6, we choose a unital system  $\{E_{jk}\}$  of  $n \times n$  matrix units for  $\mathcal{N}_0$  and enlarge  $\mathcal{S}_0$  to a finite set  $\mathcal{S}$  of vectors in  $\mathcal{H}$  containing  $A_1x, \dots, A_hx$ , for each  $x$  in  $\mathcal{S}_0$ , and closed with respect to each  $E_{jk}$ ,  $j \neq k$ . Again, choose a positive integer,  $r$ , so large that  $f(n, r) \leq \varepsilon/3(52n) (< \varepsilon/3(10n-1))$  and  $r^{-1} < \varepsilon/3$ ; and choose a unital subfactor  $\mathcal{N}_1$  of  $\mathcal{R}$  of type  $I_m$  containing operators  $\{A_{jk}\}$  and  $\{T_1, \dots, T_h\}$  in its unit ball such that  $A_{jk}^* = A_{kj}$ ,

$$\|(A_{jk} - E_{jk})x\| < r^{-1} \quad \text{and} \quad \|(T_i - A_i)x\| < r^{-1} \quad (*)$$

for all  $j$  and  $k$  in  $\{1, \dots, n\}$ ,  $i$  in  $\{1, \dots, h\}$ , and  $x$  in  $\mathcal{S}$ .

From Lemma 2.6, there is a unital subfactor  $\mathcal{N}_2$  of  $\mathcal{R}$  of type  $I_{mn r_0}$  containing  $\mathcal{N}_1$ . Since  $\{A_{jk}\}$  are in  $\mathcal{N}_2$  and the type of  $\mathcal{N}_2$  is divisible by  $n$ , we have, from  $(*)$  and the choice of  $r$ , by application of Lemma 4.2, that  $\mathcal{N}_2$  contains a unital system  $\{F_{jk}\}$  of  $n \times n$  matrix units such that for each  $x$  in  $\mathcal{S}$ ,  $\|(E_{jk} - F_{jk})x\| < f(n, r) \leq \varepsilon/3(52n)$ .

Now  $\mathcal{R}$  is a direct sum of its finite and properly-infinite central summands. Applying Lemmas 4.3 and 4.5 to the appropriate summand and to the suitably restricted matrix unit systems  $\{E_{jk}\}$  and  $\{F_{jk}\}$ , we find a unitary operator  $U$  in  $\mathcal{R}$  such that  $U^*F_{jk}U = E_{jk}$  and, for each  $x$  in  $\mathcal{S}$ ,  $\|(U - I)x\| < \varepsilon/3$ . In particular,  $\|(U - I)A_jx\| < \varepsilon/3$  for each  $j$  in  $\{1, \dots, h\}$  and each  $x$  in  $\mathcal{S}_0$ . It follows that  $U^*\mathcal{N}_2U$  is a unital subfactor,  $\mathcal{N}$ , of  $\mathcal{R}$  of type  $I_{mn r_0}$  containing  $\mathcal{N}_0$  and operators  $U^*T_jU (= B_j)$  such that

$$\begin{aligned} \|(A_j - B_j)x\| &= \|(A_j - U^*T_jU)x\| \\ &= \|(UA_j - T_jU)x\| \leq \|(U - I)A_jx\| + \|(A_j - T_j)x\| + \|T_j(U - I)x\| < \varepsilon, \end{aligned}$$

for all  $x$  in  $\mathcal{S}_0$  and  $j$  in  $\{1, \dots, h\}$ .  $\square$

**Lemma 4.8.** *If  $\mathcal{R}$  is a matricial von Neumann algebra with a countably decomposable centre, there is a representation of the uniformly matricial  $C^*$ -algebra  $\mathfrak{A}_\infty$  as an ultraweakly dense subalgebra of  $\mathcal{R}$ .*

**Proof.** From Lemma 2.7, we may assume that  $\mathcal{R}$  acts on a separable Hilbert space  $\mathcal{H}$ . Let  $\{A_1, \dots, A_h\}$  be a countable generating family of operators for  $\mathcal{R}$  (as a von Neumann algebra) in the unit ball of  $\mathcal{R}$ ; and let  $\{x_1, x_2, \dots\}$  be a denumerable dense subset of  $(\mathcal{H})_1$ . From Lemma 4.7, we can find an ascending sequence  $\{\mathcal{N}_h\}$  of unital subfactors of  $\mathcal{R}$  such that  $\mathcal{N}_h$  contains operators  $\{B_1, \dots, B_h\}$  in its unit ball for which

$\|(A_j - B_i)x_k\| < h^{-1}$  for all  $j$  and  $k$  in  $\{1, \dots, h\}$  and such that  $\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_3, \dots$  are of types  $I_{2m_1}, I_{2^2 3^2 m_2}, I_{2^3 3^3 5^3 m_3}, \dots$ , respectively. It follows that  $\bigcup_{n=1}^{\infty} \mathcal{N}_n$  has norm closure isomorphic to  $\mathfrak{A}_{\infty}$  and ultraweakly dense in  $\mathcal{R}$ .  $\square$

**Theorem 4.9.** *All finite matricial von Neumann algebras with countably-decomposable centres are factors and are  $*$  isomorphic.*

**Proof.** Our assertion follows from Lemma 4.8, Proposition 2.1, and Corollary 2.4.  $\square$

**Theorem 4.10.** *If  $\mathcal{R}$  is a matricial von Neumann algebra with a countably-decomposable centre  $\mathcal{C}$  then each uniformly matricial  $C^*$ -algebra has a (faithful) representation as an ultraweakly-dense subalgebra of  $\mathcal{R}$ .*

**Proof.** Let  $P$  be the central projection in  $\mathcal{R}$  such that  $\mathcal{R}P$  is finite and  $\mathcal{R}(I - P)$  is properly infinite. Then  $\mathcal{R}P$  and  $\mathcal{R}(I - P)$  have countably-decomposable centres and are matricial, provided  $0 \neq P \neq I$ . It follows from Corollary 2.5 that each uniformly matricial  $C^*$ -algebra  $\mathfrak{A}$  has a (faithful) representation as an ultraweakly-dense subalgebra of a finite matricial von Neumann algebra. From Theorem 4.9, all such matricial von Neumann algebras are  $*$  isomorphic. Thus there is a (faithful) representation  $\varphi_1$  of  $\mathfrak{A}$  as an ultraweakly-dense subalgebra of  $\mathcal{R}P$ , provided  $P \neq 0$ . If we can prove that there is such a representation  $\varphi_2$  of  $\mathfrak{A}$  in  $\mathcal{R}(I - P)$  (provided  $P \neq I$ ) then, with  $\psi(A)$  defined as  $\varphi_1(A) + \varphi_2(A)$  for each  $A$  in  $\mathfrak{A}$ ,  $\psi$  is a (faithful) representation of  $\mathfrak{A}$  in  $\mathcal{R}$ . Now  $\psi$  is (unitarily equivalent to)  $\varphi_1 \oplus \varphi_2$ ; and  $\varphi_1$  and  $\varphi_2$  are disjoint, since each subrepresentation of  $\varphi_1$  is finite while no subrepresentation of  $\varphi_2$  is finite. From [8; Corollary 10.3.4, Theorem 10.3.5],  $\psi(\mathfrak{A})^- = \varphi_1(\mathfrak{A})^- \oplus \varphi_2(\mathfrak{A})^- = \mathcal{R}P \oplus \mathcal{R}(I - P) = \mathcal{R}$ .

It suffices, therefore, to deal with the case where  $\mathcal{R}$  is properly infinite; and, applying Lemma 2.7, we may assume, in addition, that  $\mathcal{R}$  acts on a separable Hilbert space  $\mathcal{H}$ . Let  $\{A_j\}$  be a countable set of operators in the unit ball of  $\mathcal{R}$  that generates  $\mathcal{R}$  (as a von Neumann algebra); and let  $\{x_i\}$  be a countable, dense subset of  $(\mathcal{H})_1$ . If  $2^n \cdot 3^n \cdot \dots$  is a supernatural number, let  $\{r_i\}$  (of Lemma 4.6) be  $\{2^n \cdot 3^n \cdot \dots \cdot p_i^n\}$  and apply Lemma 4.6 to find a unital subfactor  $\mathcal{N}_1$  of  $\mathcal{R}$  of type  $I_r$ , and an operator  $B_{11}$  in the unit ball of  $\mathcal{N}_1$  such that  $\|(A_1 - B_{11})x_1\| < 1$ . Replace  $\{r_i\}$ , now, by  $\{p_{i+1}^n \cdot \dots \cdot p_{i+k}^n\} (= \{r'_k\})$  and apply Lemma 4.6, again, to find a unital subfactor  $\mathcal{N}_2$  of  $\mathcal{R}$  of type  $I_{r'_k}$  for some  $k$ , containing  $\mathcal{N}_1$  and operators  $B_{12}, B_{22}$  in its unit ball such that  $\|(A_j - B_{j2})x_k\| < \frac{1}{2}$  for each  $j$  and  $k$  in  $\{1, 2\}$ . Continuing in this way, we construct a generating nest for an ultraweakly-dense, uniformly matricial  $C^*$ -subalgebra in  $\mathcal{R}$  with  $2^n \cdot 3^n \cdot \dots$  as its supernatural number.  $\square$

**Corollary 4.11.** *All uniformly matricial  $C^*$ -algebras are factor- and cyclic-isoreductive.*

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R. V. KADISON  
 Department of Mathematics, E1  
 University of Pennsylvania  
 Philadelphia PA 19104  
 USA

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