

# ZEROES OF POLYNOMIALS

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*The Permanent*

$$\text{per}(A) = \sum_{\sigma \in S_n} \prod_{i=1}^n A[i, \sigma(i)]$$

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## why permanent?

Let us consider the problème des ménages: At a round table  $n$  couples are to be seated. The  $n$  wives have already occupied the seats  $1, 3, \dots, 2n - 1$ . No husband is allowed to seat next to his wife. In how many ways, the men can be seated?

Count the number of permutations  $\sigma$  of  $n$  symbols such that neither  $\sigma(i) = i$  nor  $\sigma(i + i) = i$  modulo  $n$ .



## the permanent again!

This is the permanent of the matrix  $J - I - I'$ , where

$J$  is the all 1 matrix,

$I$  is the identity matrix and

$I'$  is the matrix with 1 at  $(i, i + 1)$  position and  $(n, 1)$

This matrix is of the form

$$\begin{pmatrix} 0 & 0 & 1 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 1 \\ 1 & 1 & 0 & \cdots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 1 & 1 & \cdots & 0 \end{pmatrix}$$

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All entries are non-negative, and further, every row sums to one, and every column sums to one.

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What is the permanent of this matrix?

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$$\partial^{(1, \dots, n)} f_A = \text{per}(A).$$

# ZEROES OF POLYNOMIALS

*Real Stability*

A polynomial  $f$  in  $\mathbb{R}[z_1, \dots, z_n]$  is said to be **stable** with respect to a region  $\Omega \subseteq \mathbb{C}^n$  if no root of  $f$  lies in  $\Omega$ . Polynomials with no roots in the region

$$\mathcal{H}_n = \{(z_1, \dots, z_n) \in \mathbb{C}^n : \Im(z_i) > 0, i = 1, 2, \dots, n\}$$

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When  $f$  is a univariate polynomial, real stability amounts to saying that all the roots of  $f$  are real, or  $f$  is real-rooted.

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In the univariate case, if a real-rooted polynomial has coefficients that are non-negative, then all its roots have to be non-positive. It turns out that



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$$f'(0) \geq \left(\frac{d-1}{d}\right)^{d-1} \inf_{t>0} \frac{f(t)}{t},$$

where  $d$  is the degree of  $f$ .

## Restriction

It is easy to see that if  $f(z_1, \dots, z_n)$  is a stable polynomial, then

$f(\alpha, z_2, \dots, z_n)$  is also stable if  $\Re(\alpha) > 0$ .

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## Hurwitz's Theorem

Let  $\Omega$  be a connected, open set and  $\{f_n : n \geq 0\}$  be a sequence of holomorphic functions which converge uniformly on compact subsets of  $\Omega$  to a holomorphic function  $f$ .

If the  $f_n$ 's are not zero at any point in  $\Omega$ ,  
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If  $f(z_1, \dots, z_n)$  is real stable, then for all  $\alpha$  in the closure of  $\mathcal{H}_n$ , the polynomial  $f(\alpha, z_2, \dots, z_n)$  is also real stable.

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## Differentiation.

If  $f$  is real stable, then  $\partial_1 f$  is also real stable.

# ZEROES OF POLYNOMIALS

*Permanent: Continued*

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Moreover, for any  $0 \leq i \leq n$ , the polynomial

$$g_0() = \frac{\partial^n f_A}{\partial z_1 \dots \partial z_n}(0, \dots, 0)$$

is real stable.

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Moreover, for any  $0 \leq i \leq n$ , the polynomial

$$g_0() = \frac{\partial^n f_A}{\partial z_1 \dots \partial z_n}(0, \dots, 0) = \text{Per}(A)$$

is real stable.

This follows from a repeated application of the closure properties of stability (under restriction and differentiation).

Let  $b_1, \dots, b_{i-1}$  be fixed positive reals. Notice that:

$$g_{i-1}(b_1, \dots, b_{i-1}) = \partial_i g_i(b_1, \dots, b_{i-1}, 0).$$

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Now, since all entries of  $A$  are non-negative, then it follows, from the lower bound for  $f'(0)$  and closure under restriction of stability, that

$$\partial_i g_i(b_1, \dots, b_{i-1}, 0) \geq \left( \frac{d_i - 1}{d_i} \right)^{d_i - 1} \inf_{t > 0} \frac{g_i(b_1, \dots, b_{i-1}, b_i)}{b_i},$$

where  $d_i$  is the degree of the polynomial  $g_i(b_1, \dots, b_{i-1}, z_i)$ .

Fixing  $s_1, \dots, s_{i-1}$ , let  $s_i$  be defined to be

$$\arg \inf_{t>0} \frac{g_i(s_1, \dots, s_{i-1}, t)}{t}.$$

Set  $d = \max_{i=1}^n d_i$ .

Applying the inequality for  $g_i, i = 0, \dots, n - 1$ , we obtain that  $\text{per}(A) = g_0$ ,  
which is at least

$$\left(\frac{d-1}{d}\right)^{d-1} \frac{g_1(s_1)}{s_1} \geq \dots \geq \left(\frac{d-1}{d}\right)^{(d-1)n} \frac{g_n(s_1, \dots, s_n)}{\prod_{i=1}^n s_i}.$$

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On the other hand, we have

$$\frac{f_A(s_1, \dots, s_n)}{\prod_{i=1}^n s_i} \geq \inf_{b_1 > 0, \dots, b_n > 0} \frac{f_A(b_1, \dots, b_n)}{\prod_{i=1}^n b_i}.$$



## AM-GM Inequality

If  $\lambda_1, \dots, \lambda_n$  and  $x_1, \dots, x_n$  are positive real numbers with  $\sum_{i=1}^n \lambda_i = 1$ ,

$$\sum_{i=1}^n \lambda_i x_i \geq \prod_{i=1}^n x_i^{\lambda_i}.$$

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$$\frac{f_A(b_1, \dots, b_n)}{\prod_{j=1}^n b_j} \geq 1.$$

Putting everything together

$$\text{Per}(A) \geq \left(\frac{d-1}{d}\right)^{(d-1)n} \cdot \frac{f_A(s_1, \dots, s_n)}{\prod_{i=1}^n s_i}$$

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Putting everything together

$$\text{Per}(\mathbf{A}) \geq \left(\frac{d-1}{d}\right)^{(d-1)n} \cdot \inf_{b_1 > 0, \dots, b_n > 0} \frac{f_{\mathbf{A}}(b_1, \dots, b_n)}{\prod_{i=1}^n b_i}$$

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Noting that  $\left(\frac{d-1}{d}\right)^{d-1} \geq \frac{1}{e}$ , we have proved

$$\text{per}(\mathbf{A}) \geq \left(\frac{1}{e}\right)^n.$$

# ZEROES OF POLYNOMIALS

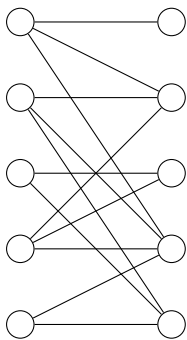
*Applications*

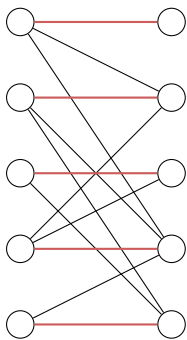
A **matching** in a graph is a collection of edges such that no pair of edges have any common end points.

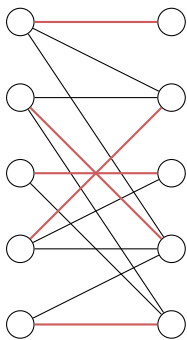


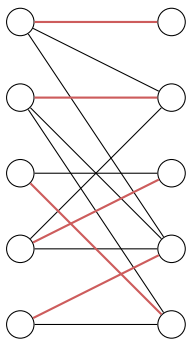
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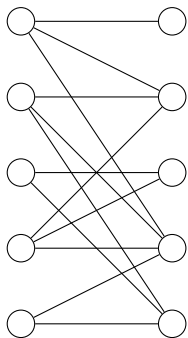
A matching  $M$  is **perfect** if every vertex of the graph is incident to some edge of  $M$ .



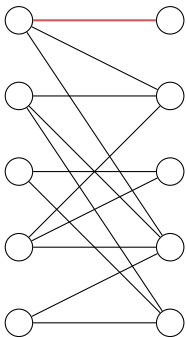




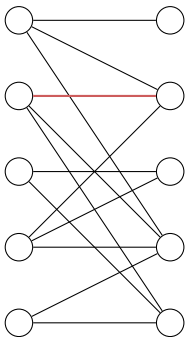




$$M = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

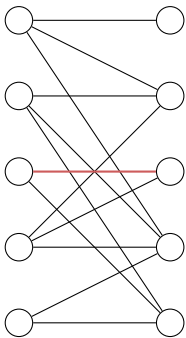


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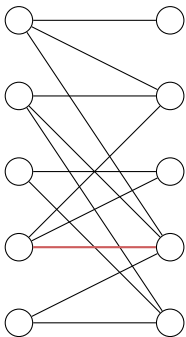


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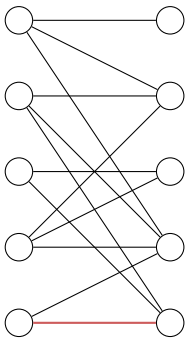




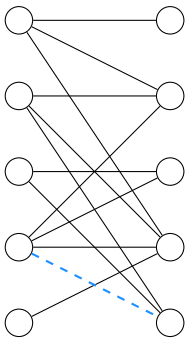
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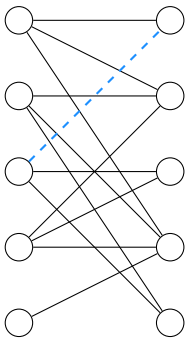
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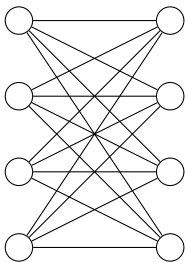
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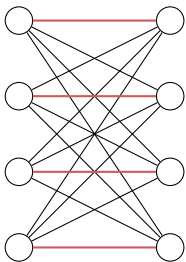
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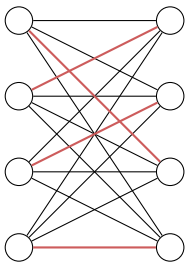
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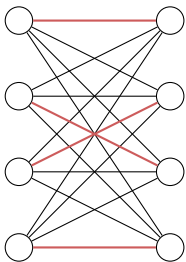


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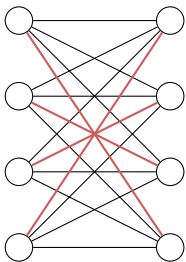


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$$\text{perm}(A) = \sum_{\sigma \in S_n} \left( \prod_{i=1}^n a_{i, \sigma(i)} \right).$$

Permanent of  $A(G) = \#$  of perfect matchings in  $G$ .

Note that every perfect matching  $M$  in  $G$  corresponds to a unique permutation  $\sigma_M \in S_n$  such that  $\sigma_M(i)$  is equal to  $k$  such that  $v_i$  is matched to  $u_j$  in  $M$ .

Conversely, every permutation  $\sigma \in S_n$  which is a perfect matching corresponds to a 1-term in  $\text{perm}(A(G))$ , and all other terms are 0.

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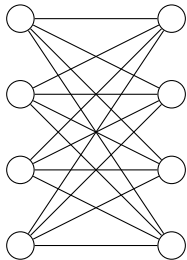
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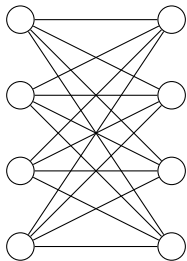
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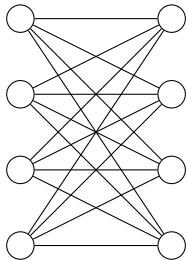
The graph G.

$$A(G) = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$



The graph  $G$ .

$$\frac{1}{n} \cdot A(G) = \begin{pmatrix} 1/n & 1/n & 1/n & 1/n \\ 1/n & 1/n & 1/n & 1/n \\ 1/n & 1/n & 1/n & 1/n \\ 1/n & 1/n & 1/n & 1/n \end{pmatrix}$$



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The matrix  $(1/n)A(G)$  is doubly stochastic.



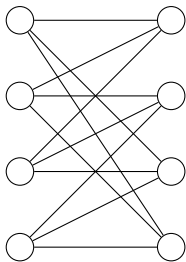
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$$\text{Per}(M) \geq \left(\frac{1}{e}\right)^n \cdot k^n = \left(\frac{k}{e}\right)^n$$

Number of matchings in a  $k$ -regular bipartite graph  $\geq \left(\frac{k}{e}\right)^n$



*Thank You!*