

A solution to Problem No. 11186 of Monthly 112, Nov. 2005

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Problem (Proposed by A. J. Christino, Jr. and William C. Waterhouse)

Let R be a commutative ring and let G be the set of invertible matrices

$\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ over R with $a + b = c + d$.

(a) *Show that G is a group, and find a more familiar group to which it is isomorphic.*

(b) *Now suppose further that R has prime characteristic p , and let H be the set of invertible matrices $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ over R with $a^p + b^p = c^p + d^p$. Prove that H is a group and that $H = \{sg : s \in S, g \in G\}$, where S is a group of invertible 2×2 matrices over R that is isomorphic to the group of p th roots of unity in R and G is the group from part **(a)**.*

Solution. *We shall prove part **(a)** for an arbitrary ring R (not necessarily commutative) with identity. We also show that part (a) can be generalized in many directions (see the remark below). We shall denote by $\mathcal{U}(R)$ the group of units of a ring R and by $M_2(R)$ the ring of 2×2 matrices over ring R .*

(a). We shall regard elements of $M_2(R)$ as module endomorphisms of free right R -module $R^2 = \left\{ \begin{pmatrix} a \\ b \end{pmatrix} : a, b \in R \right\}$. Let B denote the subring of upper triangular matrices of $M_2(R)$ and let

$$A = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(R) : a + b = c + d \right\}.$$

Clearly $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is an eigen-vector of $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ in A and the matrix of T with respect to the basis $\begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ is the upper triangular matrix

$\begin{pmatrix} a+b & b \\ 0 & d-b \end{pmatrix}$. Thus if $U = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ then

$$U^{-1} \begin{pmatrix} a & b \\ c & d \end{pmatrix} U = \begin{pmatrix} a+b & b \\ 0 & d-b \end{pmatrix},$$

showing that $U^{-1}AU = B$ and $U^{-1}\mathcal{U}(A)U = \mathcal{U}(B)$. So A is a ring isomorphic to ring B and $G = \mathcal{U}(A)$ is a group isomorphic to group $\mathcal{U}(B)$, which is the subgroup of upper triangular matrices of $\text{GL}(2, R)$.

Remark. Note that the above result can be generalized in many directions. For instance, for any $t \in R$ the set $G = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : (a+bt)t = c+dt \right\}$ of invertible matrices over R is a group as $U^{-1}GU$ is the subgroup of all upper triangular matrices of $\text{GL}(2, R)$, where $U = \begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix}$. Another obvious direction for generalization is to look at $\text{GL}(n, R)$ for a general n . Here, for instance, we may take G to be the set of all matrices (a_{ij}) in $\text{GL}(n, R)$ such that for any $m \in \{1, \dots, n-1\}$, $\sum_{k=m}^n a_{ik} = \sum_{k=m}^n a_{jk}$ for all $i, j \geq m$. Then G can easily be shown to be a group by observing that $U^{-1}GU$ is the subgroup of all upper triangular matrices in $\text{GL}(n, R)$, where

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 & \dots & 0 \\ 1 & 1 & 0 & 0 & \dots & 0 \\ 1 & 1 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & 1 & \dots & 1 \end{pmatrix}.$$

(b). (In this part R will be a commutative ring with characteristic a prime p .) One can easily check that H is a subgroup of $\mathcal{U}(M_2(R))$ (for instance the inverse of $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in H$ is $\frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$, which clearly is in H .)

Suppose $h = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in H$ and let $h(p) = \begin{pmatrix} a^p & b^p \\ c^p & d^p \end{pmatrix}$. Then $h(p) \in G$ and $U^{-1}h(p)U = \begin{pmatrix} (a+b)^p & b^p \\ 0 & (d-b)^p \end{pmatrix}$, where $U = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$, implying that $(a+b)^p = (c+d)^p$ is a unit in R (this can also be seen directly by observing that $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is an eigenvector of $h(p)$ with eigenvalue $(a+b)^p$ and $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is

also an eigenvector of $h(p)^{-1}$. Let $r = (c + d)(a + b)^{-1}$. Then $r^p = 1$, $\begin{pmatrix} ra & rb \\ c & d \end{pmatrix} \in G$ and

$$\begin{pmatrix} r^{-1} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} ra & rb \\ c & d \end{pmatrix} = h.$$

So let $S = \left\{ \begin{pmatrix} r & 0 \\ 0 & 1 \end{pmatrix} : r \in R \text{ with } r^p = 1 \right\}$. Clearly, S is a group isomorphic to the group of p th roots of unity in R and we have shown that $H \subseteq SG$. But as $SG \subseteq H$, we get $SG = H$. \square