

# Hyperrigid generators in $C^*$ -algebras

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# Hyperrigid generators in $C^*$ -algebras

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The classical theorems of Korovkin impressed several mathematicians since their discovery for the simplicity and the potential. Positive approximation process play a fundamental role in the approximation theory and it appears in a very natural way in several problems dealing with the approximation of continuous functions and qualitative properties such as monotonicity, convexity, shape preservation and so on.

# Korovkin Theorem

The classical approximation theorem due to Korovkin in 1953 unified many existing approximation processes

## Theorem

*If a sequence of positive linear maps  $\phi_n : C[0,1] \rightarrow C[0,1]$ ,  $n = 1, 2, 3, \dots$ , has the property*

$$\lim_{n \rightarrow \infty} \|\phi_n(f_k) - f_k\| = 0, \quad k = 0, 1, 2,$$

*for the three functions  $f_0(x) = 1, f_1(x) = x, f_2(x) = x^2$  then*

$$\lim_{n \rightarrow \infty} \|\phi_n(f) - f\| = 0, \quad \forall f \in C[0,1].$$

## Korovkin Set

The set  $\{1, x, x^2\}$  is called a *Korovkin set* or *test set*. Korovkin showed that, the set  $\{1, x\}$  is not a Korovkin set. Therefore, the set  $\{1, x, x^2\}$  is a minimal set to satisfy the above assertion.

Korovkin's theorem generated considerable activity among researchers in approximation theory. The generalizations make essential use of the *Choquet boundary* in one way or another.

# Choquet boundary and Saskin's theorem

## Definition

Let  $S \subset C(X)$  containing the constant function 1, where  $X$  is a compact Hausdorff space. The Choquet boundary  $\partial S$  of  $S$  is defined as  $\partial S = \{x \in X : \varepsilon_x|_S \text{ has a unique positive linear extension to } C(X), \text{ where } \varepsilon_x \text{ denotes the evaluation functional defined by } \varepsilon_x(f) = f(x), f \in C(X)\}$ .

## Theorem

*Let  $S$  be a subset of  $C(X)$  that separates points of  $X$  and contains constant function. Then  $S$  is a Korovkin set in  $C(X)$  if and only if the Choquet boundary  $\partial G = X$ . Where  $G = \text{linear span}(S)$*

In 2011, Arveson initiated the study of noncommutative approximation theory focusing on the question: How does one determine whether a set of generators of a  $C^*$ -algebra is hyperrigid?

### Definition

A finite or countably infinite set  $\mathcal{G}$  of generators of a  $C^*$ -algebra  $\mathcal{A}$  is said to be *hyperrigid* if for every faithful representation  $\mathcal{A} \subseteq \mathcal{B}(H)$  of  $\mathcal{A}$  on a Hilbert space  $H$  and every sequence of unital completely positive (UCP) maps  $\phi_n : \mathcal{B}(H) \rightarrow \mathcal{B}(H)$ ,  $n = 1, 2, \dots$ ,

$$\lim_{n \rightarrow \infty} \|\phi_n(g) - g\| = 0, \forall g \in \mathcal{G} \implies \lim_{n \rightarrow \infty} \|\phi_n(a) - a\| = 0, \forall a \in \mathcal{A}.$$

Note that, a set  $\mathcal{G}$  is hyperrigid if and only if  $\mathcal{G} \cup \mathcal{G}^*$  is hyperrigid if and only if the linear span of  $\mathcal{G}$  is hyperrigid. If  $\mathcal{A}$  is unital, then  $\mathcal{G}$  is hyperrigid if and only if  $\mathcal{G} \cup \{1\}$  is hyperrigid.

# Non-commutative Choquet boundary

In 1969 Arveson introduced the notion of boundary representation.

## Definition

Let  $\mathcal{S}$  be an operator system in a  $C^*$ -algebra  $\mathcal{A}$  such that  $\mathcal{A} = C^*(\mathcal{S})$ . A representation  $\pi : \mathcal{A} \rightarrow \mathcal{B}(H)$  is said to have unique extension property (UEP) for  $\mathcal{S}$ , if the only unital completely positive (UCP) map  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  that satisfies  $\phi|_{\mathcal{S}} = \pi|_{\mathcal{S}}$  is  $\phi = \pi$  itself.

## Definition

Let  $\mathcal{S}$  be an operator system in a  $C^*$ -algebra  $\mathcal{A}$  such that  $\mathcal{A} = C^*(\mathcal{S})$ . An irreducible representation  $\pi : \mathcal{A} \rightarrow \mathcal{B}(H)$  of  $\mathcal{A}$  is said to be a boundary representation for  $\mathcal{S}$  if  $\pi$  has unique extension property (UEP) for  $\mathcal{S}$ .



## Theorem

*For every separable operator system  $\mathcal{S}$  that generates a  $C^*$ -algebra  $\mathcal{A} = C^*(\mathcal{S})$ , such that  $\mathcal{S}$  is hyperrigid if and only if for every nondegenerate representation  $\pi : C^*(\mathcal{S}) \rightarrow \mathcal{B}(H)$  on a separable Hilbert space,  $\pi|_{\mathcal{S}}$  has unique extension property.*

## Theorem

*Let  $\mathcal{S}$  be a separable operator system generating a  $C^*$ -algebra  $\mathcal{A}$  such that  $\mathcal{A} = C^*(\mathcal{S})$ . If  $\mathcal{S}$  is hyperrigid, then every irreducible representation of  $\mathcal{A}$  is a boundary representation for  $\mathcal{S}$ .*

# Arveson's hyperrigidity conjecture

## Conjecture

If every irreducible representation of a  $C^*$ -algebra is a boundary representation for a separable operator system then the operator system is hyperrigid.

In 2011, Arveson showed that the hyperrigidity conjecture is true for  $C^*$ -algebras with countable spectrum. In 2014, Kleski established the hyperrigidity conjecture for all type-I  $C^*$ -algebras with additional assumptions on the co-domain. Davidson and Kennedy proved the conjecture for function systems. Clouatre established the hyperrigidity conjecture with assumption of unperforated pair of subspaces. The hyperrigidity conjecture is still open for general  $C^*$ -algebras. Namboodiri, Pramod, Shankar and Vijayarajan approached the hyperrigidity conjecture with weaker notions. They got the partial answers.

The interesting examples of hyperrigid generators are obtained by a direct application. Arveson established the noncommutative strengthening of a classical approximation-theoretic result of Korovkin.

### Theorem

Let  $X \in \mathcal{B}(H)$  be a self adjoint operator with at least 3 points in its spectrum and let  $\mathcal{A}$  be the  $C^*$ -algebra generated by  $X$ . Then

- (i)  $\mathcal{G} = \{X, X^2\}$  is a hyperrigid generator for  $\mathcal{A}$ , while
- (ii)  $\mathcal{G}_0 = \{X\}$  is not hyperrigid generator for  $\mathcal{A}$ .

### Theorem

Let  $V \in \mathcal{B}(H)$  be an isometry that generates a  $C^*$ -algebra  $\mathcal{A}$ . Then  $\mathcal{G} = \{V, VV^*\}$  is hyperrigid generator for  $\mathcal{A}$ .

Essential use of noncommutative Choquet boundary.

## Theorem

Let  $V \in \mathcal{B}(H)$  be an irreducible compact operator with cartesian decomposition  $V = A + iB$ , where  $A$  is a finite rank positive operator and  $B$  is essential with  $\text{Ker}B = \{0\}$ . Then

- (i)  $\mathcal{G} = \{V, V^2\}$  is hyperrigid generator for  $C^*$ -algebra  $\mathcal{K}(H)$  of compact operators. In particular every sequence of unital completely positive maps  $\phi_n : \mathcal{B}(H) \rightarrow \mathcal{B}(H)$  for which

$$\lim_{n \rightarrow \infty} \|\phi_n(V) - V\| = \lim_{n \rightarrow \infty} \|\phi_n(V^2) - V^2\| = 0,$$

one has

$$\lim_{n \rightarrow \infty} \|\phi_n(K) - K\| = 0$$

for every compact operator  $K \in \mathcal{B}(H)$ .

- (ii) The smaller generating set  $\mathcal{G}_0 = \{V\}$  of  $\mathcal{K}(H)$  is not hyperrigid.

Let  $S = (S_1, \dots, S_d)$  denote the compression of the  $d$ -shift to the complement of a homogeneous ideal  $I$  of  $\mathbb{C}[z_1, \dots, z_d]$ . Following the remark above, in 2016, Kennedy and Shalit proved that, if homogeneous ideals are sufficiently non-trivial then  $S$  is essentially normal if and only if it is hyperrigid as the generating set of a  $C^*$ -algebra.

# Essential Unitary and Hyperrigidity

Let  $\mathcal{B}(H)$  be the algebra of bounded linear operators on a separable complex Hilbert space  $H$  and  $\mathcal{K}(H)$  ideal of compact operators on  $H$ . Let  $\pi : \mathcal{B}(H) \rightarrow \mathcal{B}(H)/\mathcal{K}(H)$  be the natural surjection onto the Calkin algebra  $\mathcal{B}(H)/\mathcal{K}(H)$ . The operator  $T \in \mathcal{B}(H)$  is called essentially normal if  $\pi(T)$  is normal in the Calkin algebra, or equivalently,  $T^*T - TT^*$  is compact. The operator  $S \in \mathcal{B}(H)$  is called essentially unitary if  $\pi(S)$  is unitary in the Calkin algebra, or equivalently,  $I - S^*S$  and  $I - SS^*$  are compact.

Here, we will have the following assumptions to proceed. Let  $S$  be a irreducible and essential unitary but not unitary operator in  $\mathcal{B}(H)$  and let  $\mathcal{G} = \{S, SS^*\}$ . Let  $\mathcal{S}$  be a operator system generated by  $\mathcal{G}$ . Let  $\mathcal{T} = C^*(\mathcal{G})$  be the unital  $C^*$ -algebra generated by  $\mathcal{G}$ . The unital  $C^*$ -algebra  $\mathcal{T}$  contains the compact operators  $\mathcal{K}(H)$ .

## Definition

A representation  $\rho : \mathcal{T} \rightarrow \mathcal{B}(H)$  is said to be singular representation if it annihilates the compact operators  $\mathcal{K}(H)$ .

## Lemma

Let  $\rho : \mathcal{T} \rightarrow \mathcal{B}(H)$  be a representation, and let  $\pi : \mathcal{T} \rightarrow \mathcal{B}(K)$  be a representation such that  $\pi|_{\mathcal{S}}$  is a dilation of  $\rho|_{\mathcal{S}}$ . Then the subspace  $H$  is coinvariant for  $\pi(\mathcal{S})$ .

### Proof:

With respect to the decomposition  $K = H \oplus H^\perp$ . By assumption we have

$$\pi(S) = \begin{pmatrix} \rho(S) & X \\ Y & Z \end{pmatrix}$$

Note that  $X = P_H \pi(S)|_{H^\perp}$ . We must prove that  $X = 0$ . By assumption,



$$\pi(SS^*) = \begin{pmatrix} \rho(SS^*) & X_0 \\ Y_0 & Z_0 \end{pmatrix}$$

$$\pi(S)\pi(S)^* = \begin{pmatrix} \rho(S) & X \\ Y & Z \end{pmatrix} \begin{pmatrix} \rho(S)^* & Y^* \\ X^* & Z^* \end{pmatrix}.$$

We get,

$$\rho(SS^*) = \rho(S)\rho(S)^* + XX^*$$

Therefore,  $XX^* = 0$ , and hence  $X = 0$ .

## Proposition

Suppose that  $S$  is irreducible and essential unitary and  $\mathcal{G} = \{S, SS^*\}$ . Let  $\mathcal{S}$  be a operator system generated by  $\mathcal{G}$  and  $\mathcal{T} = C^*(\mathcal{G})$ . Let  $\rho : \mathcal{T} \rightarrow \mathcal{B}(H)$  be a singular representation. Then the restriction  $\rho|_{\mathcal{S}}$  has unique extension property.

### Proof:

We will use the fact that a UCP map  $\phi'$  has the unique extension property if and only if  $\phi'$  is *maximal*, meaning that every UCP map that dilates  $\phi'$  contains as a direct summand. Let  $K$  be a Hilbert space properly containing  $H$ . Let  $\pi : \mathcal{T} \rightarrow \mathcal{B}(K)$  be a representation such that the restriction  $\pi|_{\mathcal{S}}$  is a dilation of  $\rho|_{\mathcal{S}}$ . To show that the restriction  $\rho|_{\mathcal{S}}$  has unique extension property, it is enough to show that the dilation  $\pi$  is trivial, that is,  $\pi|_{\mathcal{S}} = \rho|_{\mathcal{S}} \oplus \phi$  for some UCP map  $\phi$ .

Using the Lemma, we can decompose  $K = H \oplus H^\perp$  and write

$$\pi(S) = \begin{pmatrix} \rho(S) & 0 \\ Y & Z \end{pmatrix}.$$

Since  $\rho$  is singular,  $\rho(S)$  is unitary, so it cannot be dilated to a compression. Therefore the dilation  $\pi$  must be trivial.

## Proposition

*Suppose that  $S$  is irreducible and essential unitary and  $\mathcal{G} = \{S, SS^*\}$ . Let  $\mathcal{S}$  be a operator system generated by  $\mathcal{G}$  and  $\mathcal{T} = C^*(\mathcal{G})$ . Then the identity representation of  $\mathcal{T}$  is a boundary representation for  $\mathcal{S}$ .*

### Proof:

Since  $S$  is irreducible and essential unitary. The unital  $C^*$ -algebra generated by  $\mathcal{G}$  contains the compact operators, that is,  $\mathcal{K}(H) \subseteq \mathcal{T} = C^*(\mathcal{G})$ . The operator system  $\mathcal{S} \subset \mathcal{T}$  is irreducible and contains the identity operator. By our assumption,  $0 \neq \mathcal{K} = I - SS^* \in \mathcal{S}$  is a compact operator, we have  $\|\mathcal{K} - \mathcal{K}\| < \|\mathcal{K}\|$ . Therefore, the quotient map  $q: \mathcal{B}(H) \rightarrow \mathcal{B}(H)/\mathcal{K}(H)$  is not completely isometric on  $\mathcal{S}$ . Hence by boundary theorem of Arveson, identity representation of  $\mathcal{T}$  is a boundary representation for  $\mathcal{S}$ .

## Theorem

*Let  $S$  be an irreducible and essential unitary and  $\mathcal{G} = \{S, SS^*\}$ . Let  $\mathcal{T} = C^*(\mathcal{G})$  be the unital  $C^*$ -algebra generated by  $\mathcal{G}$ . Then  $\mathcal{G}$  is a hyperrigid generator for  $\mathcal{T}$ .*

### Proof:

Let  $\mathcal{S}$  be the operator system generated by  $\mathcal{G}$ . Note that  $\mathcal{G}$  is hyperrigid if and only if  $\mathcal{S}$  is hyperrigid. It suffices to show that for every nondegenerate representation  $\rho$  of  $\mathcal{T}$ ,  $\rho|_{\mathcal{S}}$  has the unique extension property.

The Proposition implies that every singular nondegenerate representation  $\pi$  of  $\mathcal{T}$ ,  $\pi|_{\mathcal{S}}$  has the unique extension property. By Proposition, the restriction of the identity representation of  $\mathcal{T}$  to  $\mathcal{S}$  has the unique extension property. Since every nondegenerate representation of  $\mathcal{T}$  splits as the direct sum of a multiple of the identity representation and a singular nondegenerate representation and by the unique extension property passes to direct sums. Hence every nondegenerate representation of  $\mathcal{T}$  restricted to  $\mathcal{S}$  has the unique extension property.

# Hyperrigid Generators

Here, We discuss the hyperrigid generators for the  $C^*$ -algebras generated by a single operator.

## Theorem

*Let  $T$  be an operator in  $\mathcal{B}(H)$  that generate a unital  $C^*$ -algebra  $\mathcal{A}$  and let  $\mathcal{G} = \{T, T^*T, TT^*\}$ . Then  $\mathcal{G}$  is hyperrigid generators for unital  $C^*$ -algebra  $\mathcal{A}$ .*

## Proof:

Let  $\mathcal{S}$  be the operator system generated by  $\mathcal{G}$ . It suffices to show that for every nondegenerate representation  $\pi$  of  $\mathcal{A}$ ,  $\pi|_{\mathcal{S}}$  has the unique extension property.

Let  $\pi : \mathcal{A} \rightarrow \mathcal{B}(H)$  be a nondegenerate representation. Let  $\phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  be a UCP map satisfying  $\phi(T) = \pi(T)$ ,  $\phi(T^*T) = \pi(T^*T)$  and  $\phi(TT^*) = \pi(TT^*)$ . We have to show that  $\phi = \pi$  on  $\mathcal{A}$ .

Using Stinespring theorem, we can express  $\phi$  in the form

$$\phi(S) = V^* \sigma(S) V, \quad \forall S \in \mathcal{A}.$$

Where  $\sigma$  is a representation of  $A$  on a Hilbert space  $K$ ,  $V : H \rightarrow K$  is an isometry, and which is minimal in the sense that  $\overline{\sigma(A)VH} = K$ .

We first claim that  $\sigma(T)V = V\pi(T)$ , We have

$$V^* \sigma(T)^* V V^* \sigma(T) V = \phi(T)^* \phi(T) = \pi(T)^* \pi(T) = \pi(T^* T)$$

Hence,

$$\begin{aligned} & V^* \sigma(T)^* (1 - VV^*) \sigma(T) V \\ = & V^* \sigma(T)^* \sigma(T) V - V^* \sigma(T)^* V V^* \sigma(T) V \\ = & V^* \sigma(T^* T) V - \pi(T)^* \pi(T) \\ = & \pi(T^* T) - \pi(T^* T) = 0. \end{aligned}$$

$\sigma(T)$  leaves  $VH$  invariant.



Therefore  $\sigma(T)V = VV^*\sigma(T)V = V\phi(T) = V\pi(T)$ .

$$\begin{aligned}
 & VV^*\sigma(T)(1_K - VV^*)\sigma(T)^*VV^* \\
 = & VV^*\sigma(T)\sigma(T)^*VV^* \\
 & - VV^*\sigma(T)VV^*\sigma(T)^*VV^* \\
 = & VV^*\sigma(TT^*)VV^* - V\pi(T)\pi(T)^*V^* \\
 = & V\pi(TT^*)V^* - V\pi(TT^*)V^* = 0.
 \end{aligned}$$

Hence  $(1_K - VV^*)\sigma(T)^*VV^* = 0$ , we conclude that  $VH$  is invariant under both  $\sigma(T)$  and  $\sigma(T)^*$ . Since  $\mathcal{A}$  is generated by  $T$  it follows that  $\sigma(\mathcal{A})VH \subseteq VH$ . By minimality we must have  $VH = K$ , which implies that  $V$  is unitary and therefore  $\phi(S) = V^{-1}\sigma(S)V$  is a representation. Since  $\phi$  agrees with  $\pi$  on a generating set. Therefore  $\phi = \pi$  on  $\mathcal{A}$ .

## Corollary

Let  $T$  be a normal operator in  $\mathcal{B}(H)$  that generate a unital  $C^*$ -algebra  $\mathcal{A}$  and let  $\mathcal{G} = \{T, TT^*\}$ . Then  $\mathcal{G}$  is hyperrigid generator for unital  $C^*$ -algebra  $\mathcal{A}$ .

## Corollary

Let  $T$  be an unitary operator in  $\mathcal{B}(H)$  that generate a  $C^*$ -algebra  $\mathcal{A}$  and let  $\mathcal{G} = \{T\}$ . Then  $\mathcal{G}$  is hyperrigid generator for  $C^*$ -algebra  $\mathcal{A}$ .

## Proposition

Let  $V \in \mathcal{B}(H)$  be an isometry (not unitary) that generates a  $C^*$ -algebra  $\mathcal{A}$ . Then

- (i)  $\mathcal{G} = \{V, VV^*\}$  is hyperrigid generator for  $\mathcal{A}$ .
- (ii) The smaller generating set  $\mathcal{G}_0 = \{V\}$  is not hyperrigid.

### Proof:

Let  $\mathcal{S}$  be the operator system generated by  $\mathcal{G}_0$ . Let  $Id$  denote the identity representation of a  $C^*$ -algebra  $\mathcal{A}$ . Let  $V^*Id(\cdot)V$  be a completely positive map on the  $C^*$ -algebra  $\mathcal{A}$ . We have  $V^*IdV|_{\mathcal{S}} = Id|_{\mathcal{S}}$ , but  $V^*Id(VV^*)V = I \neq VV^* = Id(VV^*)$ . This implies that  $Id$  representation restricted to  $\mathcal{S}$  has two UCP map extensions  $V^*IdV$  and  $Id$ . Therefore the nondegenerate representation  $Id|_{\mathcal{S}}$  does not have unique extension property.  $\mathcal{S}$  is not hyperrigid operator system in a  $C^*$ -algebra  $\mathcal{A}$ . This will imply that  $\mathcal{G}_0$  is not hyperrigid in  $\mathcal{A}$ .

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# Thank You