Panchugopal Bikram NISER, Bhubanesawar, India bikram@niser.ac.in

OTOA- ISI Bangalore, 17th Dec, 2018

Let *H* be a separable Hilbert space. A unital \*-subalgebra *M* ⊆ *B*(*H*) is von Neumann algebra (shortly vNa) if M is WOT closed (⇔ SOT closed ⇔ *M*" = *M*).

글 🕨 🛛 글

- Let *H* be a separable Hilbert space. A unital \*-subalgebra *M* ⊆ *B*(*H*) is von Neumann algebra (shortly vNa) if M is WOT closed (⇔ SOT closed ⇔ *M*" = *M*).
- Tomita-Takesaki modular Theory: Let  $\phi$  be a f.n state on M and  $L^2(M, \phi)$  be the GNS the Hilbert space.
- $S_{\phi}: L^2(M, \phi) \mapsto L^2(M, \phi)$  defined by  $S_{\phi}(\widehat{x}) = \widehat{x^*}$  will be a densely defined closed operator.

- Let *H* be a separable Hilbert space. A unital \*-subalgebra *M* ⊆ *B*(*H*) is von Neumann algebra (shortly vNa) if M is WOT closed (⇔ SOT closed ⇔ *M*" = *M*).
- Tomita-Takesaki modular Theory: Let  $\phi$  be a f.n state on M and  $L^2(M, \phi)$  be the GNS the Hilbert space.
- $S_{\phi} : L^2(M, \phi) \mapsto L^2(M, \phi)$  defined by  $S_{\phi}(\widehat{x}) = \widehat{x^*}$  will be a densely defined closed operator.
- $S_{\phi} = J_{\phi} \Delta_{\phi}$  (the polar decomposition of  $S_{\phi}$ ) and  $\sigma_t^{\phi}(\cdot) = \Delta_{\phi}^{it}(\cdot) \Delta_{\phi}^{-it}$ .

()  $J_{\phi}$  and  $\Delta_{\phi}$  are called modular conjugation and modular operator resp.

- 2  $J_{\phi}MJ_{\phi} = M'$  and  $\forall t \in \mathbb{R}, \sigma_t^{\phi}(M) = M$ .
- **(3)**  $\{\sigma_t^{\phi}\}_{t \in \mathbb{R}}$  is called modular automorphism group

(E)

- Let *H* be a separable Hilbert space. A unital \*-subalgebra *M* ⊆ *B*(*H*) is von Neumann algebra (shortly vNa) if M is WOT closed (⇔ SOT closed ⇔ *M*" = *M*).
- Tomita-Takesaki modular Theory: Let  $\phi$  be a f.n state on M and  $L^2(M, \phi)$  be the GNS the Hilbert space.
- $S_{\phi}: L^2(M, \phi) \mapsto L^2(M, \phi)$  defined by  $S_{\phi}(\widehat{x}) = \widehat{x^*}$  will be a densely defined closed operator.
- $S_{\phi} = J_{\phi} \Delta_{\phi}$  (the polar decomposition of  $S_{\phi}$ ) and  $\sigma_t^{\phi}(\cdot) = \Delta_{\phi}^{it}(\cdot) \Delta_{\phi}^{-it}$ .

()  $J_{\phi}$  and  $\Delta_{\phi}$  are called modular conjugation and modular operator resp.

- **(3**  $\{\sigma_t^{\phi}\}_{t \in \mathbb{R}}$  is called modular automorphism group
- A vNa algebra  $M \subseteq \mathcal{B}(\mathcal{H})$  is called factor if  $M \cap M' = \mathbb{C}1$ .

(문) 문

• From Spectral theorem it is known that *M* is generated by projections and depending on Murray-von Neumann equivalent of projections, we say a factor M is of:

글 🕨 🛛 글

- From Spectral theorem it is known that *M* is generated by projections and depending on Murray-von Neumann equivalent of projections, we say a factor M is of:
  - **1** type *I* (i.e., of type  $I_n$  for some  $1 \le n \le \infty$ ) if M contains a minimal projection.
  - (a) type II (i.e., of type  $II_1$ ,  $II_{\infty}$ ) if M contains non-zero finite projections but no minimal projections.
  - **③** type *III* contains no non-zero finite projections.

- From Spectral theorem it is known that *M* is generated by projections and depending on Murray-von Neumann equivalent of projections, we say a factor M is of:
  - **1** type *I* (i.e., of type  $I_n$  for some  $1 \le n \le \infty$ ) if M contains a minimal projection.
  - 2 type II (i.e., of type  $II_1$ ,  $II_{\infty}$ ) if M contains non-zero finite projections but no minimal projections.
  - **③** type *III* contains no non-zero finite projections.

#### • Further, let

 $S(M) = \cap \{ \text{Spec}(\Delta_{\phi}) : \text{ faithful normal semifinite weight } \phi \}$ , then any factor of type *III* belongs to one of the following three classes:

- From Spectral theorem it is known that *M* is generated by projections and depending on Murray-von Neumann equivalent of projections, we say a factor M is of:
  - **1** type *I* (i.e., of type  $I_n$  for some  $1 \le n \le \infty$ ) if M contains a minimal projection.
  - (a) type II (i.e., of type  $II_1$ ,  $II_{\infty}$ ) if M contains non-zero finite projections but no minimal projections.

**③** type *III* contains no non-zero finite projections.

#### Further, let

 $S(M) = \cap \{ \text{Spec}(\Delta_{\phi}) : \text{ faithful normal semifinite weight } \phi \}$ , then any factor of type *III* belongs to one of the following three classes:

**1** 
$$III_{\lambda}, \lambda \in (0, 1), \text{ if } S(M) = \{0\} \cup \{\lambda^n; n \in \mathbb{Z}\}$$
  
**2**  $III_0, \text{ if } S(M) = \{0, 1\}$   
**3**  $III_1, \text{ if } S(M) = [0, \infty)$ 

Let H<sub>ℝ</sub> be a real Hilbert space and let t → U<sub>t</sub>, t ∈ ℝ, be a strongly continuous orthogonal representation of ℝ on a real Hilbert space H<sub>ℝ</sub>.

글 🕨 🛛 글

- Let H<sub>ℝ</sub> be a real Hilbert space and let t → U<sub>t</sub>, t ∈ ℝ, be a strongly continuous orthogonal representation of ℝ on a real Hilbert space H<sub>ℝ</sub>.
- Let H<sub>C</sub> = H<sub>R</sub> ⊗<sub>R</sub> C be the complexification of H<sub>R</sub>. Write U<sub>t</sub> = A<sup>it</sup>, the analytic generator.

- Let H<sub>ℝ</sub> be a real Hilbert space and let t → U<sub>t</sub>, t ∈ ℝ, be a strongly continuous orthogonal representation of ℝ on a real Hilbert space H<sub>ℝ</sub>.
- Let H<sub>C</sub> = H<sub>R</sub> ⊗<sub>R</sub> C be the complexification of H<sub>R</sub>. Write U<sub>t</sub> = A<sup>it</sup>, the analytic generator.
- Define

$$\langle \xi,\eta 
angle_U = \langle rac{2}{1+A^{-1}}\xi,\eta 
angle_{\mathcal{H}_{\mathbb{C}}}, \quad \xi,\eta \in \mathcal{H}_{\mathbb{C}}.$$

Let  $\mathcal{H} = \overline{\mathcal{H}_{\mathbb{C}}}^{\|\cdot\|}$  and  $(\mathcal{H}_{\mathbb{R}}, \|\cdot\|) \ni \xi \stackrel{\imath}{\mapsto} \xi \in (\mathcal{H}, \|\cdot\|_U)$ , is an isometric embedding.

• Let -1 < q < 1. Then q-Fock space  $\mathcal{F}_q(\mathcal{H})$  is the completion of  $\mathbb{C}\Omega \oplus \bigoplus_{n=1}^{\infty} \mathcal{H}^{\otimes n}$  with respect to the inner product;

$$\langle \xi_1 \otimes \cdots \otimes \xi_n, \zeta_1 \otimes \cdots \otimes \zeta_m 
angle_q$$
  
=  $\delta_{m,n} \sum_{\pi \in S_n} q^{i(\pi)} \langle \xi_1, \zeta_{\pi(1)} \rangle_U \cdots \langle \xi_n, \zeta_{\pi(n)} \rangle_U,$ 

• Let -1 < q < 1. Then q-Fock space  $\mathcal{F}_q(\mathcal{H})$  is the completion of  $\mathbb{C}\Omega \oplus \bigoplus_{n=1}^{\infty} \mathcal{H}^{\otimes n}$  with respect to the inner product;

$$\langle \xi_1 \otimes \cdots \otimes \xi_n, \zeta_1 \otimes \cdots \otimes \zeta_m \rangle_q = \delta_{m,n} \sum_{\pi \in S_n} q^{i(\pi)} \langle \xi_1, \zeta_{\pi(1)} \rangle_U \cdots \langle \xi_n, \zeta_{\pi(n)} \rangle_U,$$

• In particular,  $\mathcal{F}_0(\mathcal{H})$  is the usual Fock space  $\mathcal{F}(\mathcal{H})$ 

 For every ξ ∈ H, the q-creation and q-annihilation operators on *F<sub>q</sub>*(H) are respectively defined by:

< ∃ >

۲

 For every ξ ∈ H, the q-creation and q-annihilation operators on *F<sub>q</sub>*(H) are respectively defined by:

 $c_q(\xi)\Omega = \xi, \quad c_q(\xi)(\xi_1 \otimes \cdots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \cdots \otimes \xi_n,$ 

→ 3 → 3

 For every ξ ∈ H, the q-creation and q-annihilation operators on *F<sub>q</sub>*(H) are respectively defined by:

 $c_q(\xi)\Omega = \xi, \quad c_q(\xi)(\xi_1 \otimes \cdots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \cdots \otimes \xi_n,$ 

#### ۹

۲

$$c_q(\xi)^*\Omega = 0,$$
  
$$c_q(\xi)^*(\xi_1 \otimes \cdots \otimes \xi_n) = \sum_{i=1}^n q^{i-1} \langle \xi, \xi_i \rangle_U \xi_1 \otimes \cdots \otimes \xi_{i-1} \otimes \xi_{i+1} \otimes \cdots \otimes \xi_n,$$

→ 3 → 3

 For every ξ ∈ H, the q-creation and q-annihilation operators on *F<sub>q</sub>*(H) are respectively defined by:

$$c_q(\xi)\Omega = \xi, \quad c_q(\xi)(\xi_1 \otimes \cdots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \cdots \otimes \xi_n,$$

#### ۲

۲

$$c_q(\xi)^*\Omega = 0,$$
  
$$c_q(\xi)^*(\xi_1 \otimes \cdots \otimes \xi_n) = \sum_{i=1}^n q^{i-1} \langle \xi, \xi_i \rangle_U \xi_1 \otimes \cdots \otimes \xi_{i-1} \otimes \xi_{i+1} \otimes \cdots \otimes \xi_n,$$

#### q-commutation relation

$$c_q(\xi)^* c_q(\eta) - qc_q(\eta)c_q(\xi)^* = \langle \xi, \eta \rangle, \ \forall \xi, \eta \in \mathcal{H}_{\mathbb{C}}.$$

• Write 
$$s_q(\xi) = c_q(\xi) + c_q(\xi)^*$$
.

2

• Write  $s_q(\xi) = c_q(\xi) + c_q(\xi)^*$ .

• 
$$\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t) =: C^*\{s_q(\xi) : \xi \in \mathcal{H}_{\mathbb{R}}\}$$

nar

문어 문

- Write  $s_q(\xi) = c_q(\xi) + c_q(\xi)^*$ .
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t) =: C^* \{ s_q(\xi) : \xi \in \mathcal{H}_{\mathbb{R}} \}$

• 
$$\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)'' = \mathsf{vNa}\{s_q(\xi) : \xi \in \mathcal{H}_{\mathbb{R}}\}$$

- Write  $s_q(\xi) = c_q(\xi) + c_q(\xi)^*$ .
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t) =: C^* \{ s_q(\xi) : \xi \in \mathcal{H}_{\mathbb{R}} \}$
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)'' = \mathsf{vNa}\{s_q(\xi) : \xi \in \mathcal{H}_{\mathbb{R}}\}$
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)''$  is known as the *q*-deformed Araki-Woods von Neumann algebra constructed by Hiai and  $\varphi := \langle \Omega, \cdot \Omega \rangle_q$  called the *q*-quasi free state, is a f.n state of  $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)''$  and  $\mathcal{F}_q(\mathcal{H})$  is the GNS Hilbert space of  $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)''$  associated to  $\varphi$ .

• why does the program of q-deformed Araki-Woods von Neumann algebras  $\Gamma_q(H_{\mathbb{R}}, U_t)''$  study is so exciting?

- why does the program of q-deformed Araki-Woods von Neumann algebras  $\Gamma_q(H_{\mathbb{R}}, U_t)''$  study is so exciting?
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)''$  are one of the interesting deformation of Voiculescu's free Gaussian functor, i.e, when q = 0 and  $U_t = id$ ,  $\Gamma_0(\mathcal{H}_{\mathbb{R}}, id_t)''$  is the Voiculescu free Gaussian functor and it is isomorphic to  $L(\mathbb{F}_{\dim(\mathcal{H}_{\mathbb{R}})})$ . It got three deformation.

- why does the program of q-deformed Araki-Woods von Neumann algebras  $\Gamma_q(H_{\mathbb{R}}, U_t)''$  study is so exciting?
- $\Gamma_q(\mathcal{H}_{\mathbb{R}}, U_t)''$  are one of the interesting deformation of Voiculescu's free Gaussian functor, i.e, when q = 0 and  $U_t = id$ ,  $\Gamma_0(\mathcal{H}_{\mathbb{R}}, id_t)''$  is the Voiculescu free Gaussian functor and it is isomorphic to  $L(\mathbb{F}_{\dim(\mathcal{H}_{\mathbb{R}})})$ . It got three deformation.

 Case 1: q ≠ 0 and U<sub>t</sub> = id. It is called Bozeko and Speicher von Neumann algebras. For dim(H<sub>R</sub>) ≥ 2, the q-Gaussian von Neumann algebras Γ<sub>q</sub>(H<sub>R</sub>) are non-injective, solid, strongly solid, non Γ factors with w\*-completely contractive approximation property. Further, Γ<sub>q</sub>(H<sub>R</sub>) ≅ L(F<sub>dim(H<sub>R</sub>)</sub>) for values of q sufficiently close to zero.

→ 3 → 3

• Case 2:  $\mathbf{q} = \mathbf{0}$  and  $\mathbf{U}_{\mathbf{t}} \neq \mathbf{id}$ .

This is the Shlyakhtenko functor. These von Neumann algebras are type III counterparts of the free group factors. In short, they satisfy the complete metric approximation property, lack Cartan subalgebras, are strongly solid, and, they satisfy Connes' bicentralizer problem when they are type  $III_1$ .

• Case 2:  $\mathbf{q} = \mathbf{0}$  and  $\mathbf{U}_{\mathbf{t}} \neq \mathbf{id}$ .

This is the Shlyakhtenko functor. These von Neumann algebras are type III counterparts of the free group factors. In short, they satisfy the complete metric approximation property, lack Cartan subalgebras, are strongly solid, and, they satisfy Connes' bicentralizer problem when they are type  $III_1$ .

 Case 3: q ≠ 0 and Ut ≠ id. This is the q-deformed functor due to Hiai for -1 < q < 1. Hiai's functor is the main topic of this paper. It is a combination of Bożejko-Speicher's functor and Shlyakhtenko's functor.</li>

#### • Centralizer:

$$(\Gamma(H_{\mathbb{R}}, U_t)'')^{\varphi} = \{ x \in \Gamma(H_{\mathbb{R}}, U_t)'' : \sigma_t^{\varphi}(x) = x, \quad \forall t \in \mathbb{R} \}$$

2

nar

#### • Centralizer:

$$(\Gamma(H_{\mathbb{R}}, U_t)'')^{\varphi} = \{ x \in \Gamma(H_{\mathbb{R}}, U_t)'' : \sigma_t^{\varphi}(x) = x, \quad \forall t \in \mathbb{R} \}$$

2

nar

#### • Centralizer:

$$(\Gamma(H_{\mathbb{R}}, U_t)'')^{\varphi} = \{x \in \Gamma(H_{\mathbb{R}}, U_t)'': \sigma_t^{\varphi}(x) = x, \quad \forall t \in \mathbb{R}\}$$

#### Bicentralizer:

$$B_{\psi} = \{ y \in M : \lim_{n \to \infty} |\rho([y, x_n])| = 0 , \forall \rho \in M_*, \\ \forall (x_n) \text{ with } \lim_{n \to \infty} \|(x_n \psi - \psi x_n)\| = 0 \}.$$

2

Sac

• Let 
$$M_{\xi} = \mathsf{vNa}(s_q(\xi))$$
 for  $\xi \in \mathcal{H}_{\mathbb{R}}$ . Write  $M_{q,U} = \Gamma(H_{\mathbb{R}}, U_t)''$ .

2

• Let 
$$M_{\xi} = \mathsf{vNa}(s_q(\xi))$$
 for  $\xi \in \mathcal{H}_{\mathbb{R}}$ . Write  $M_{q,U} = \Gamma(\mathcal{H}_{\mathbb{R}}, U_t)''$ .

#### Theorem (with K. Mukherjee)

Let  $\xi \in \mathcal{H}_{\mathbb{R}}$  with  $\|\xi\|_U = 1$ . Then;

**9**  $\exists ! \varphi$ -preserving f.n conditional expectation  $\mathbb{E}_{\xi} : M_{q,U} \to M_{\xi}$  if and only if  $s_q(\xi) \in M_{q,U}^{\varphi}$ , equivalently  $U_t \xi = \xi$  for all  $t \in \mathbb{R}$ .

• Let  $M_{\xi} = \mathsf{vNa}(s_q(\xi))$  for  $\xi \in \mathcal{H}_{\mathbb{R}}$ . Write  $M_{q,U} = \Gamma(\mathcal{H}_{\mathbb{R}}, U_t)''$ .

#### Theorem (with K. Mukherjee)

Let  $\xi \in \mathcal{H}_{\mathbb{R}}$  with  $\|\xi\|_U = 1$ . Then;

- **9**  $\exists ! \varphi$ -preserving f.n conditional expectation  $\mathbb{E}_{\xi} : M_{q,U} \to M_{\xi}$  if and only if  $s_q(\xi) \in M_{q,U}^{\varphi}$ , equivalently  $U_t \xi = \xi$  for all  $t \in \mathbb{R}$ .
- ② If U<sub>t</sub>ξ = ξ for all t ∈ ℝ and dim(H<sub>ℝ</sub>) ≥ 2. Then M<sub>ξ</sub> is a φ-strongly mixing diffuse masa in M<sub>q,U</sub> whose distribution obeys semicircular law and left-right measure is Lebesgue absolutely continuous. In particular, M<sub>ξ</sub> is singular masa in M<sub>q,U</sub>.

(A) (E) (A) (E) (A)

# Centralizer and factoriality

• Let  $t \mapsto U_t$  be a strongly orthogonal representation of  $\mathbb{R}$  on the real Hilbert space  $\mathcal{H}_{\mathbb{R}}$  as before. Then one can decompose as;

$$(\mathcal{H}_{\mathbb{R}}, U_t) = \left( \bigoplus_{j=1}^{N_1} (\mathbb{R}, \mathsf{id}) \right) \oplus \left( \bigoplus_{k=1}^{N_2} (\mathcal{H}_{\mathbb{R}}(k), U_t(k)) \right) \oplus (\widetilde{\mathcal{H}}_{\mathbb{R}}, \widetilde{U}_t),$$

where

۲

$$\mathcal{H}_{\mathbb{R}}(k) = \mathbb{R}^2, \quad U_t(k) = egin{pmatrix} \cos(t\log\lambda_k) & -\sin(t\log\lambda_k) \ \sin(t\log\lambda_k) & \cos(t\log\lambda_k) \end{pmatrix}, \; \lambda_k > 1,$$

 and (*H̃*<sub>ℝ</sub>, *Ũ*<sub>t</sub>) corresponds to the weakly mixing component of the orthogonal representation, thus *H̃*<sub>ℝ</sub> is either 0 or infinite dimensional. The factoriality and classification problem of  $M_{q,U}$  was open since 2003 for finite dimentional real orthogonal representation.

#### Theorem (with K. Mukherjee)

Let  $t \mapsto U_t$  be a strongly continuous orthogonal representation of  $\mathbb{R}$  on a real Hilbert space  $\mathcal{H}_{\mathbb{R}}$  (dim $(\mathcal{H}_{\mathbb{R}}) \geq 2$ ). Then we have;

- If weakly mixing part of (U<sub>t</sub>) is non-trivial, then M<sub>q,U</sub> is a factor and it is III<sub>1</sub> factor.
- **2** In-addition if  $\exists \xi \in \mathcal{H}_{\mathbb{R}}$  s.t  $U_t \xi = \xi$ ,  $\forall t \in \mathbb{R}$  and dimension of almost periodic part is at-least 2, then it has trivial bicentralizer.

The factoriality and classification problem of  $M_{q,U}$  was open since 2003 for finite dimentional real orthogonal representation.

#### Theorem (with K. Mukherjee)

Let  $t \mapsto U_t$  be a strongly continuous orthogonal representation of  $\mathbb{R}$  on a real Hilbert space  $\mathcal{H}_{\mathbb{R}}$  (dim $(\mathcal{H}_{\mathbb{R}}) \geq 2$ ). Then we have;

- If weakly mixing part of  $(U_t)$  is non-trivial, then  $M_{q,U}$  is a factor and it is  $III_1$  factor.
- In-addition if ∃ ξ ∈ H<sub>ℝ</sub> s.t U<sub>t</sub>ξ = ξ, ∀t ∈ ℝ and dimension of almost periodic part is at-least 2, then it has trivial bicentralizer.
- **③** Suppose  $\exists \xi \in \mathcal{H}_{\mathbb{R}}$  s.t  $U_t \xi = \xi$ ,  $\forall t \in \mathbb{R}$ . Then  $M_{q,U}$  is a factor.

▲ 글 ▶ - 글

#### Theorem (with K. Mukherjee)

Let  $(U_t)$  be real orthogonal representation on the real Hilbert space such  $(U_t)$  is almost periodic and dim $(\mathcal{H}_{\mathbb{R}}) \geq 2$  with an invariant real vector. Let G be the closed subgroup of  $\mathbb{R}^{\times}_+$  generated by the spectrum of A  $(U_t = A^{it})$ . Then

$$\Gamma(\mathcal{H}_{\mathbb{R}}, U_t)'' \text{ is } = \begin{cases} \text{type } III_1 & \text{if } G = \mathbb{R}_+ \\ \text{type } III_\lambda & \text{if } G = \lambda^{\mathbb{Z}}, \quad 0 < \lambda < 1 \\ \text{type } II_1 & \text{if } G = \{1\} \end{cases}$$

Of course, the type  $II_1$  case corresponds to trivial  $(U_t)$  and that case we get Bozejko-Speicher  $II_1$  factor. Notice that  $S(\Gamma(\mathcal{H}_{\mathbb{R}}, U_t)'')$  is the spectrum of the modular operator since the centralizer of the free quasi-free state is a factor

#### **Reference:**

P. Bikram and K. Mukherjee, "Generator masas in q-deformed Araki-Woods von Neumann algebras and factoriality", J. Funct. Anal. 273, 14431478.

글 🕨 🛛 글

#### THANK YOU

Panchugopal Bikram NISER Bhubaneswar q-deformed Araki-Woods von Neumann algebra

★ 문 ► ★ 문 ►

A.

≡ ∽ ९ ( )