

Characteristic Functions of Hypercontractions

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(A joint work with Bata Krishna Das and Jaydeb Sarkar)

Invariant Subspaces of $H^2(E)$

Let E be a separable Hilbert space of infinite dimension and $H^2(E)$ be the E -valued Hardy space, that is,

$$H^2(E) := \left\{ f(z) = \sum_{n=0}^{\infty} a_n z^n \mid \sum_{n=0}^{\infty} \|a_n\|_E^2 < \infty, z \in \mathbb{D} \right\}.$$

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Theorem 2 (Beurling-Lax-Halmos)

A closed subspace $M \subseteq H^2(E)$ is **invariant** under M_z if and only if there exists a separable Hilbert space E_* and an **inner multiplier** Θ such that $M = \Theta H^2(E_*)$.

Definition 3

A bounded linear operator T on a Hilbert space \mathcal{H} is said to be in **C.0 class** if $\|T^{*n}h\| \rightarrow 0$ as $n \rightarrow \infty$ for all $h \in \mathcal{H}$, that is, if $T^{*n} \rightarrow 0$ as $n \rightarrow \infty$ in the strong operator topology.

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- **The Sz.-Nagy and Foias analytic model:** It says that if T is a contraction on a separable Hilbert space, and in C_0 class, then there exists a coefficient Hilbert space \mathcal{E}_* and an M_z^* -invariant closed subspace \mathcal{Q} of \mathcal{E}_* -valued Hardy space $H^2(\mathcal{E}_*)$ such that

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- **The Sz.-Nagy and Foias analytic model:** It says that if T is a contraction on a separable Hilbert space, and in C_0 class, then there exists a coefficient Hilbert space \mathcal{E}_* and an M_z^* -invariant closed subspace Q of \mathcal{E}_* -valued Hardy space $H^2(\mathcal{E}_*)$ such that

$$T \cong P_Q M_z|_Q.$$

Moreover, using Beurling-Lax-Halmos theorem, we have a Hilbert space \mathcal{E} and a $\mathcal{B}(\mathcal{E}, \mathcal{E}_*)$ -valued **inner multiplier** $\Theta \in H_{\mathcal{B}(\mathcal{E}, \mathcal{E}_*)}^\infty(\mathbb{D})$, such that

$$Q^\perp = \Theta H^2(\mathcal{E}).$$

Characteristic Function for contraction

For a contraction $T \in \mathcal{B}(\mathcal{H})$, consider the following contractive analytic function from \mathcal{D}_T to \mathcal{D}_{T^*} ,

$$\Theta_T(z) = [-T + zD_{T^*}(1 - zT^*)^{-1}D_T]|_{\mathcal{D}_T} \quad \text{for } z \in \mathbb{D},$$

where $D_T = (I - T^*T)^{\frac{1}{2}}$, $D_{T^*} = (I - TT^*)^{\frac{1}{2}}$, $\mathcal{D}_T = \overline{\text{ran}}D_T$ and $\mathcal{D}_{T^*} = \overline{\text{ran}}D_{T^*}$. It is known as the **Characteristic Function** for a contraction T . It helps to modify the Sz.-Nagy-Foias model more explicitly.

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- **Modified Sz.-Nagy-Foias model:** If T is a C_0 -contraction on a separable Hilbert space \mathcal{H} , then there exist a co-invariant subspace \mathcal{Q} of $H^2(\mathcal{D}_{T^*})$ such that

$$T \cong P_{\mathcal{Q}}M_z|_{\mathcal{Q}}.$$

And also the co-invariant subspace \mathcal{Q} can be expressed in terms of the characteristic function of T , that is,

$$\mathcal{Q}^\perp = \Theta_T H^2(\mathcal{D}_T).$$

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- (1) Given a (weighted-) Bergman shift invariant subspace M , can there be an analogous representation (like in the vector-valued Hardy space) in terms of multipliers from the Drury-Arveson space?

In this talk, we will address the following questions in multi-variable setting in the space of (weighted-) Bergman space over the Euclidean Ball in \mathbb{C}^n . More precisely,

- (1) Given a (weighted-) Bergman shift invariant subspace M , can there be an analogous representation (like in the vector-valued Hardy space) in terms of multipliers from the Drury-Arveson space?
- (2) Is there is any explicit description of that multiplier (analogous to the characteristic function for contraction)?

For an n -tuple of commuting bounded linear operators $T = (T_1, \dots, T_n) \in \mathcal{B}(\mathcal{H})^n$, we say T is a **row contraction** if the operator

$$\mathcal{H}^n \rightarrow \mathcal{H}, (h_1, \dots, h_n) \mapsto \sum_{i=1}^n T_i h_i$$

is a contraction. That is, the operator viewed as a row operator $T : \mathcal{H}^n \rightarrow \mathcal{H}$ is a contraction. Consider the associated **completely positive map**

$$\sigma_T : \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H}), X \mapsto \sum_{i=1}^n T_i X T_i^*.$$

Using the map σ_T , for each $k \in \mathbb{N}$, we are going to define the defect operators of different orders of T .

m -Hypercontraction Operators

Let us consider the operator

$$\Delta_T^{(k)} = (1 - \sigma_T)^k (1_{\mathcal{H}}) = \sum_{j=0}^k (-1)^j \binom{k}{j} \sum_{|\alpha|=j} \gamma_\alpha T^\alpha T^{*\alpha} \quad (k \in \mathbb{N}),$$

where $\gamma_\alpha = \frac{|\alpha|!}{\alpha!}$ for $\alpha \in \mathbb{N}^n$.

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Definition 4

An n -tuple of commuting bounded linear operators T is said to be a **m -hypercontraction** if $\Delta_T^{(1)} \geq 0$ and $\Delta_T^{(m)} \geq 0$.

For a m -hypercontraction, the defect operator of order m is $D_{m,T^*} = (\Delta_T^{(m)})^{\frac{1}{2}}$ and the defect space is $\mathcal{D}_{m,T^*} = \overline{\text{ran}}(\Delta_T^{(m)})^{\frac{1}{2}}$.

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- This is also well-known that for a m -hypercontraction T , $\Delta_T^{(k)} \geq 0$ for all $1 \leq k \leq m$.

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- This is also well-known that for a m -hypercontraction T , $\Delta_T^{(k)} \geq 0$ for all $1 \leq k \leq m$.
- An m -hypercontraction $T \in \mathcal{B}(\mathcal{H})^n$ is said to be **pure** if

$$\text{SOT} - \lim_{k \rightarrow \infty} \sigma_T^k (I_{\mathcal{H}}) = 0.$$

Weighted Bergman Space

For any positive integer $\ell \geq 0$ and a complex Hilbert space \mathcal{E} , we denote by $\mathbb{H}_\ell(\mathbb{B}^n, \mathcal{E})$ the \mathcal{E} -valued weighted Bergman space with domain \mathbb{B}^n , that is

$$\mathbb{H}_\ell(\mathbb{B}^n, \mathcal{E}) := \left\{ f = \sum_{\alpha \in \mathbb{N}^n} f_\alpha z^\alpha \in \mathcal{O}(\mathbb{B}^n, \mathcal{E}) : \|f\|^2 = \sum_{\alpha \in \mathbb{N}^n} \frac{\|f_\alpha\|^2}{\rho_\ell(\alpha)} < \infty \right\},$$

where $\rho_\ell(\alpha) = \frac{(\ell + |\alpha| - 1)!}{\alpha! (\ell - 1)!}$.

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where $\rho_\ell(\alpha) = \frac{(\ell + |\alpha| - 1)!}{\alpha! (\ell - 1)!}$.

- It is also a reproducing kernel Hilbert space with kernel

$$K_\ell : \mathbb{B}^n \times \mathbb{B}^n \rightarrow \mathcal{B}(\mathcal{E}), \quad K_\ell(z, w) = \frac{1_{\mathcal{E}}}{(1 - \langle z, w \rangle)^\ell}.$$

- In particular, if $\ell = 1$, $\mathbb{H}_1(\mathbb{B}^n, \mathcal{E})$ is known as the Drury-Arveson space and we use $H_n^2(\mathcal{E})$ to denote it.

Model for m -Hypercontraction operators

Muller and Vasilescu have shown that a pure m -hypercontraction can be dilated to the weighted-shift operators on $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_{m, T^*})$.
More precisely,

Theorem 5 (Muller and Vasilescu)

Let T be a pure m -hypercontraction on a Hilbert space \mathcal{H} . Then there exists a co-invariant subspace \mathcal{Q} of $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_{m, T^})$ such that $T \cong (P_{\mathcal{Q}}M_{z_1}|_{\mathcal{Q}}, \dots, P_{\mathcal{Q}}M_{z_n}|_{\mathcal{Q}})$.*

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They established this result with the help of the following dilation map, $\pi_m : \mathcal{H} \rightarrow \mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_m, T^*)$ defined by

$$\pi_m h(z) = \sum_{\alpha \in \mathbb{N}^n} \rho_m(\alpha) (D_{m, T^*} T^{*\alpha} h) z^\alpha = D_{m, T^*} (1 - ZT^*)^{-m} h,$$

where $Z : \mathcal{H}^n \rightarrow \mathcal{H}$ defined by $Z(h_1, \dots, h_n) = \sum_{i=1}^n z_i h_i$ and M_{z_i} is the shift on $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_m, T^*)$ for all $i = 1, \dots, n$ and $\mathcal{Q} = \text{ran} \pi_m$ which is a joint co-invariant subspace of $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_m, T^*)$.

Invariant Subspaces of Weighted Bergman space

Recently, Sarkar has produced a Beurling-Lax-Halmos type result for the general reproducing kernel Hilbert space. We will invoke this result for the weighted Bergman space.

Theorem 6 (Sarkar)

Let M be a closed subspace of $\mathbb{H}_m(\mathbb{B}^n, \mathcal{E})$. Then M is a joint $(M_{z_1} \otimes I_{\mathcal{E}}, \dots, M_{z_n} \otimes I_{\mathcal{E}})$ -invariant subspace if and only if there exists a Hilbert space \mathcal{E}_ and a partial isometric multiplier Φ from $H_n^2(\mathcal{E}_*)$ to $\mathbb{H}_m(\mathbb{B}^n, \mathcal{E})$ such that $M = \Phi H_n^2(\mathcal{E}_*)$.*

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- Combining above two results we have that given a m -hypercontraction T there exists a Hilbert space \mathcal{E}_* and a partial isometric multiplier Φ from $H_n^2(\mathcal{E}_*)$ to $\mathbb{H}_m(\mathbb{B}^n, \mathcal{E})$ such that $T_i \cong P_{(\Phi H_n^2(\mathcal{E}_*))^\perp} M_{z_i} |_{(\Phi H_n^2(\mathcal{E}_*))^\perp}$, for $i = 1, \dots, n$.

Construction of the Characteristic function

- We consider the operator $C_{m,T} : \mathcal{H} \rightarrow l^2(\mathbb{N}^n, \mathcal{D}_{m,T^*})$ defined by

$$h \mapsto (\rho_{m-1}(\alpha)^{\frac{1}{2}} D_{m,T^*} T^{*\alpha} h)_{\alpha \in \mathbb{N}^n}.$$

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- Here, $\begin{bmatrix} T^* \\ C_{m,T} \end{bmatrix} : \mathcal{H} \rightarrow \mathcal{H}^n \oplus l^2(\mathbb{N}^n, \mathcal{D}_{m,T^*})$ is an isometry.

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Definition 7 (Characteristic triple)

For a m -hypercontraction T on \mathcal{H} , a triple (\mathcal{E}, B, D) consists of a Hilbert space \mathcal{E} and operators $B \in \mathcal{B}(\mathcal{E}, \mathcal{H}^n)$, $D \in \mathcal{B}(\mathcal{E}, l^2(\mathbb{N}^n, \mathcal{D}_{m,T^*}))$ is a **characteristic triple** if the corresponding block operator matrix

$$\begin{bmatrix} T^* & B \\ C_{m,T} & D \end{bmatrix} : \mathcal{H} \oplus \mathcal{E} \rightarrow \mathcal{H}^n \oplus l^2(\mathbb{N}^n, \mathcal{D}_{m,T^*})$$

is a co-isometry.

Construction of the Characteristic function

For a characteristic triple (\mathcal{E}, B, D) of T , let us define an operator-valued analytic function $\Phi : \mathbb{B}^n \rightarrow \mathcal{B}(\mathcal{E}, \mathcal{D}_{m, T^*})$ by

$$\Phi(z) = \sum_{\alpha \in \mathbb{N}^n} \rho_{m-1}(\alpha)^{\frac{1}{2}} D_{\alpha} z^{\alpha} + D_{m, T^*} (1 - ZT^*)^{-m} ZB \quad (z \in \mathbb{B}^n).$$

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It satisfies the following identity

$$\frac{I_{\mathcal{D}_{m, T^*}}}{(1 - \langle z, w \rangle)^m} - \frac{\Phi(z)\Phi(w)^*}{1 - \langle z, w \rangle} = D_{m, T^*} (1 - ZT^*)^{-m} (1 - TW^*)^{-m} D_{m, T^*}.$$

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- Thus we have find a general recipe of constructing characteristic functions of m -hypercontractions as follows.

Theorem 8

Let T be a pure m -hypercontraction on \mathcal{H} . Suppose (\mathcal{E}, B, D) is a characteristic triple of T . Then the above defined function Φ defines a *partial isometric multiplier* from $H_n^2(\mathcal{E})$ to $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_{m, T^*})$ such that $Q^{\perp} = \Phi H_n^2(\mathcal{E})$, where Q is the model space for T .

Unitary Equivalence

Two row contractions $T = (T_1, \dots, T_n)$ and $R = (R_1, \dots, R_n)$ on \mathcal{H} are said to be **unitary equivalent** if there exist a unitary U on \mathcal{H} such that $T_i = UR_iU^*$, for all $i = 1, \dots, n$.

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Definition 9

The characteristic functions Φ_T and Φ_R of two pure m -hypercontractions T and R are said to **coincide** if there exists two unitary $\Gamma : (\text{Ker}M_{\Phi_R})^\perp \rightarrow (\text{Ker}M_{\Phi_T})^\perp$ and $\tau : \mathcal{D}_{m,T^*} \rightarrow \mathcal{D}_{m,R^*}$ such that

$$M_{\Phi_R}|_{(\text{Ker}M_{\Phi_R})^\perp} = (I \otimes \tau)M_{\Phi_T}\Gamma.$$

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$$M_{\Phi_R}|_{(\text{Ker}M_{\Phi_R})^\perp} = (I \otimes \tau)M_{\Phi_T}\Gamma.$$

Theorem 10

Two pure m -hypercontractions are unitarily equivalent if and only if their characteristic functions coincide.

Factorization w.r.t invariant subspace

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Theorem 11

Let $T = (T_1, \dots, T_n)$ be a pure m -hypercontraction on \mathcal{H} and $\mathcal{H}_1 \subseteq \mathcal{H}$ be a joint T -invariant subspace. Then there exists two Hilbert spaces \mathcal{E}_T and \mathcal{E} , and two multipliers Φ_1 from $H_n^2(\mathcal{E}_T)$ to $H_n^2(\mathcal{E}_2)$ and Φ_2 from $H_n^2(\mathcal{E}_2)$ to $\mathbb{H}_m(\mathbb{B}^n, \mathcal{D}_{m, T^*})$ such that the characteristic function of T has a factorization, that is,

$$\Phi_T = \Phi_2 \Phi_1.$$

Canonical Factorization

Consider $\Psi_\beta(z) = \left[\cdots, \frac{1}{\sqrt{\gamma|\alpha|}} \left(\frac{|\alpha|!}{\alpha!} \right)^{\frac{1}{2}} z^\alpha I_{\mathcal{D}_{m,T^*}}, \cdots \right]_{\alpha \in \mathbb{N}^n}$, where $\gamma_j^{-1} = \binom{m+j-2}{j}$ for $j \neq 0$ and $\gamma_0 = 0$.

Theorem 12

Let T be a m -hypercontraction, and let

$$S(z) = \sum_{\alpha \in \mathbb{N}^n} \rho_{m-1}(\alpha)^{\frac{1}{2}} D_\alpha z^\alpha + D_{m,T^*} (1 - ZT^*)^{-m} ZB$$

be a characteristic function of T corresponding to a triple (\mathcal{E}, B, D) . Then $S(z) = \Psi_\beta(z) \tilde{S}(z)$, where $\tilde{S}(z) = D + C_{m,T} (1 - ZT^*)^{-1} ZB$ is the transfer function of the canonical co-isometry (w.r.t. the triple (\mathcal{E}, B, D))

$$\begin{bmatrix} T^* & B \\ C_{m,T} & D \end{bmatrix} : \mathcal{H} \oplus \mathcal{E} \rightarrow \mathcal{H}^n \oplus l^2(\mathbb{N}^n, \mathcal{D}_{m,T^*}).$$

Relation between different order hypercontraction

Let T be a pure m_2 -hypercontraction. Then for $1 \leq m_1 \leq m_2$, T is also a m_1 -hypercontraction. For $i = 1, 2$, \tilde{S}_i be the the transfer function of the co-isometries

$$\begin{bmatrix} T^* & B_i \\ C_{m_i, T} & D_i \end{bmatrix} : \mathcal{H} \oplus \mathcal{E}_i \rightarrow \mathcal{H}^n \oplus l^2(\mathbb{N}^n, \mathcal{D}_{m_i, T^*}).$$

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Theorem 13

Let T be a pure m_2 -hypercontraction. Suppose that $(\mathcal{E}_1, B_1, D_1)$ and $(\mathcal{E}_2, B_2, D_2)$ are characteristic triples of T considered as a m_1 -hypercontraction and m_2 -hypercontraction ($1 \leq m_1 < m_2$). Then there exist isometries $Y \in \mathcal{B}(\overline{\text{ran}} C_{m_2, T}; l^2(\mathbb{N}^n, \mathcal{D}_{m_1, T^}))$ and $X \in \mathcal{B}(\overline{\text{ran}} B_1^*; \mathcal{E}_2)$ such that*

$$\tilde{S}_1(z)|_{(\text{Ker} B_1)^\perp} = Y \tilde{S}_2(z) X$$

for all $z \in \mathbb{B}^n$.

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**THANK YOU FOR YOUR
ATTENTION**