

# Homomorphisms of noncommutative Hardy algebras

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# Introduction

Given two operator algebras  $\mathcal{A}$  and  $\mathcal{B}$ , every completely contractive homomorphism  $\alpha : \mathcal{A} \rightarrow \mathcal{B}$  induces a map  $Z$  from the (c.c) representations of  $\mathcal{B}$  to the (c.c.) representations of  $\mathcal{A}$  by composition.

For the class of Hardy algebras associated with correspondences we can describe the set of (c.c) representations.

We use this to study the some of the properties of  $Z$  when  $\mathcal{A}$  and  $\mathcal{B}$  are such Hardy algebras.

# Preliminaries

For the basic constructs we need the following setup:

- ◇  $M$  - a  $W^*$ -algebra.
- ◇  $E$  - a  $W^*$ -correspondence over  $M$ . This means that  $E$  is a **bimodule** over  $M$  which is endowed with an  **$M$ -valued inner product** (making it a right-Hilbert  $C^*$ -module that is self dual). The left action of  $M$  on  $E$  is given by a unital, normal,  $*$ -homomorphism  $\varphi$  of  $M$  into the  $(W^*$ -) algebra of all bounded adjointable operators  $\mathcal{L}(E)$  on  $E$ .

## Examples

- (Basic Example)  $M = \mathbb{C}$ ,  $E = \mathbb{C}^d$ ,  $d \geq 1$ .
- $G = (G^0, G^1, r, s)$ - a finite directed graph.  $M = \ell^\infty(G^0)$ ,  $E = \ell^\infty(G^1)$ ,  $a\xi b(e) = a(r(e))\xi(e)b(s(e))$ ,  $a, b \in M, \xi \in E$   
 $\langle \xi, \eta \rangle(v) = \sum_{s(e)=v} \overline{\xi(e)}\eta(e)$ ,  $\xi, \eta \in E$ .
- $M$ - arbitrary,  $\alpha : M \rightarrow M$  a normal unital, endomorphism.  
 $E = M$  with right action by multiplication, left action by  $\varphi = \alpha$  and inner product  $\langle \xi, \eta \rangle := \xi^* \eta$ . Denote it  ${}_\alpha M$ .
- $\Phi$  is a normal, contractive, CP map on  $M$ .  $E = M \otimes_\Phi M$  is the completion of  $M \otimes M$  with  $\langle a \otimes b, c \otimes d \rangle = b^* \Phi(a^* c) d$  and  $c(a \otimes b)d = ca \otimes bd$ .

**Note:** If  $\sigma$  is a representation of  $M$  on  $H$ ,  $E \otimes_\sigma H$  is a Hilbert space with  $\langle \xi_1 \otimes h_1, \xi_2 \otimes h_2 \rangle = \langle h_1, \sigma(\langle \xi_1, \xi_2 \rangle_E) h_2 \rangle_H$ .

Similarly, given two correspondences  $E$  and  $F$  over  $M$ , we can form the (internal) tensor product  $E \otimes F$  by setting

$$\langle e_1 \otimes f_1, e_2 \otimes f_2 \rangle = \langle f_1, \varphi(\langle e_1, e_2 \rangle_E) f_2 \rangle_F$$

$$\varphi_{E \otimes F}(a)(e \otimes f)b = \varphi_E(a)e \otimes fb$$

and applying an appropriate completion.  
In particular we get “tensor powers”  $E^{\otimes k}$ .

Also, given a sequence  $\{E_k\}$  of correspondences over  $M$ , the direct sum  $E_1 \oplus E_2 \oplus E_3 \oplus \cdots$  is also a correspondence (after an appropriate completion).

For a correspondence  $E$  over  $M$  we define the Fock correspondence

$$\mathcal{F}(E) := M \oplus E \oplus E^{\otimes 2} \oplus E^{\otimes 3} \oplus \dots$$

For every  $a \in M$  define the operator  $\varphi_\infty(a)$  on  $\mathcal{F}(E)$  by

$$\varphi_\infty(a)(\xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n) = (\varphi(a)\xi_1) \otimes \xi_2 \otimes \dots \otimes \xi_n$$

and  $\varphi_\infty(a)b = ab$ .

For  $\xi \in E$ , define the “shift” (or “creation”) operator  $T_\xi$  by

$$T_\xi(\xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n.$$

and  $T_\xi b = \xi b$ . So that  $T_\xi$  maps  $E^{\otimes k}$  into  $E^{\otimes(k+1)}$ .

## Definition

- (1) The norm-closed algebra generated by  $\varphi_\infty(M)$  and  $\{T_\xi : \xi \in E\}$  will be called the **tensor algebra** of  $E$  and denoted  $\mathcal{T}_+(E)$ .
- (2) The ultra-weak closure of  $\mathcal{T}_+(E)$  will be called the **Hardy algebra** of  $E$  and denoted  $H^\infty(E)$ .

## Examples

1. If  $M = E = \mathbb{C}$ ,  $\mathcal{F}(E) = \ell^2$ ,  $\mathcal{T}_+(E) = A(\mathbb{D})$  and  $H^\infty(E) = H^\infty(\mathbb{D})$ .
2. If  $M = \mathbb{C}$  and  $E = \mathbb{C}^d$  then  $\mathcal{F}(E) = \ell^2(\mathbb{F}_d^+)$ ,  $\mathcal{T}_+(E)$  is Popescu's  $\mathcal{A}_d$  and  $H^\infty(E)$  is  $F_d^\infty$  (Popescu) or  $\mathcal{L}_d$  (Davidson-Pitts). These algebras are generated by  $d$  shifts.

# Representations

## Theorem

Every completely contractive representation of  $\mathcal{T}_+(E)$  on  $H$  is given by a pair  $(\sigma, \mathfrak{z})$  where

- ①  $\sigma$  is a normal representation of  $M$  on  $H = H_\sigma$ .  
( $\sigma \in \text{NRep}(M)$ )
- ②  $\mathfrak{z} : E \otimes_\sigma H \rightarrow H$  is a contraction that satisfies

$$\mathfrak{z}(\varphi(\cdot) \otimes I_H) = \sigma(\cdot)\mathfrak{z}.$$

We write  $\sigma \times \mathfrak{z}$  for the representation and we have  $(\sigma \times \mathfrak{z})(\varphi_\infty(a)) = \sigma(a)$  and  $(\sigma \times \mathfrak{z})(T_\xi)h = \mathfrak{z}(\xi \otimes h)$  for  $a \in M$ ,  $\xi \in E$  and  $h \in H$ .

Write  $\mathcal{I}(E, \sigma)$  for the intertwining space and  $\mathbb{D}(E, \sigma)$  for the open unit ball there. Thus the c.c. representations of the tensor algebra are parameterized by the family  $\{\overline{\mathbb{D}(E, \sigma)}\}_{\sigma \in \text{NRep}(M)}$ :



## Examples

- (1)  $M = E = \mathbb{C}$ . So  $\mathcal{T}_+(E) = A(\mathbb{D})$ ,  $\sigma$  is the trivial representation on  $H$ ,  $E \otimes H = H$  and  $\mathbb{D}(E, \sigma)$  is the (open) unit ball in  $B(H_\sigma)$ .
- (2)  $M = \mathbb{C}$ ,  $E = \mathbb{C}^d$ .  $\mathcal{T}_+(E) = \mathcal{A}_d$  (Popescu's algebra) and  $\mathbb{D}(E, \sigma)$  is the (open) unit ball in  $B(\mathbb{C}^d \otimes H, H)$ . Thus the c.c. representations are parameterized by row contractions  $(T_1, \dots, T_d)$ .
- (3)  $M$  general,  $E = {}_\alpha M$  for an automorphism  $\alpha$ .  
 $\mathcal{T}_+(E) =$  the analytic crossed product.  
The intertwining space can be identified with  $\{X \in B(H) : \sigma(\alpha(T))X = X\sigma(T), T \in B(H)\}$  and the c.c. representations are  $\sigma \times \mathfrak{z}$  where  $\mathfrak{z}$  is a contraction there.

## Representations of $H^\infty(E)$

The representations of  $H^\infty(E)$  are given by the representations of  $\mathcal{T}_+(E)$  that extend to an ultraweakly continuous representations of  $H^\infty(E)$ .

For a given  $\sigma$ , we write  $\mathcal{AC}(E, \sigma)$  for the set of all  $\mathfrak{z} \in \overline{\mathbb{D}(E, \sigma)}$  such that  $\sigma \times \mathfrak{z}$  is a representation of  $H^\infty(E)$ .

We have

### Theorem

$$\mathbb{D}(E, \sigma) \subseteq \mathcal{AC}(E, \sigma) \subseteq \overline{\mathbb{D}(E, \sigma)}.$$

### Example

When  $M = E = \mathbb{C}$ ,  $H^\infty(E) = H^\infty(\mathbb{D})$  and  $\mathcal{AC}(E, \sigma)$  is the set of all contractions in  $B(H_\sigma)$  that have an  $H^\infty$ -functional calculus.

Fix  $\sigma$ . Given  $\mathfrak{z} \in \mathcal{AC}(E, \sigma)$  and  $X \in H^\infty(E)$ , we write

$$\widehat{X}(\mathfrak{z}) = (\sigma \times \mathfrak{z})(X).$$

In this way we view elements of  $H^\infty(E)$  as (operator valued) functions (on  $\mathcal{AC}(E, \sigma)$ ).

(If  $\sigma$  is not fixed, we write  $\widehat{X}_\sigma$  instead of  $\widehat{X}$ .)

# NP for homomorphisms

From now on we consider the following **SETUP**.

$M$  is a  $W^*$ -algebra and  $M_0 \subseteq M$  is a sub  $W^*$ -algebra. Let  $E$  be a  $W^*$ -correspondence over  $M$  and  $F$  is a  $W^*$ -correspondence over  $M_0$ . Fix a normal representation  $\sigma$  of  $M$  and  $\sigma_0$  is the restriction of  $\sigma$  to  $M_0$ .

**Then**

Every completely contractive ultraweakly continuous homomorphism  $\alpha : H^\infty(F) \rightarrow H^\infty(E)$  that maps  $\varphi_{F_\infty}(a)$  to  $\varphi_{E_\infty}(a)$  ( $a \in M_0$ ) gives rise to a map from the c.c. uw-c representations of  $H^\infty(E)$  to the c.c. uw-c representations of  $H^\infty(F)$  (by composition). I. e.,

$$\sigma \times \mathfrak{z} \mapsto (\sigma \times \mathfrak{z}) \circ \alpha = \sigma_0 \times \mathfrak{w}$$

where  $\mathfrak{z} \in \mathcal{AC}(E, \sigma)$  and  $\mathfrak{w} \in \mathcal{AC}(F, \sigma_0)$ . **What can be said about the map  $Z : \mathfrak{z} \mapsto \mathfrak{w}$ ?**

## Theorem

Suppose that  $n$  distinct points  $\mathfrak{z}_1, \dots, \mathfrak{z}_n$  are given in  $\mathbb{D}(E, \sigma)$  and  $n$  points  $\mathfrak{w}_1, \dots, \mathfrak{w}_n$  are given in  $\mathcal{I}(F, \sigma_0)$ . Define the map  $\Phi_\eta$  on  $M_n(\sigma(M)')$  by the formula  $\Phi_{\mathfrak{z}}((a_{ij})) = (\mathfrak{z}_i(I_E \otimes a_{ij})\mathfrak{z}_j^*)$  and, similarly,  $\Phi_{\mathfrak{w}}((a_{ij})) = (\mathfrak{w}_i(I_F \otimes a_{ij})\mathfrak{w}_j^*)$  (on  $M_n(\sigma_0(M_0))'$ ).

Then the Pick operator  $P := (I - \Phi_{\mathfrak{w}}) \circ (I - \Phi_{\mathfrak{z}})^{-1}$  is completely positive if and only if there is a completely contractive homomorphism  $\alpha : \mathcal{T}_+(F) \rightarrow H^\infty(E)$  such that  $\alpha(\varphi_{F^\infty}(a)) = \varphi_{E^\infty}(a)$  for  $a \in M_0$  and, for every  $X \in \mathcal{T}_+(F)$ ,

$$\widehat{\alpha(X)}(\mathfrak{z}_j) = \widehat{X}(\mathfrak{w}_j)$$

(Equivalently,  $Z$  maps  $\mathfrak{z}_j$  to  $\mathfrak{w}_j$ ).

for every  $1 \leq j \leq n$ . Moreover, if  $\mathfrak{w}_j \in \mathcal{AC}(F, \sigma_0)$  and  $(I - r\Phi_{\mathfrak{w}}) \circ (I - \Phi_{\mathfrak{z}})^{-1}$  is completely positive for some  $r > 1$ , then  $\alpha$  extends to a completely contractive ultraweakly homomorphism on  $H^\infty(F)$ .

## Theorem

Let  $H$  be a Hilbert space and fix an operator  $b \in B(H)$  that has an  $H^\infty$ -calculus (e.g.  $\|b\| < 1$ ) and a row contraction  $A = (a_1, \dots, a_d)$  where all  $a_i$  are operators in  $B(H)$ . Then the following statements are equivalent.

- ① (1) There are functions  $f_1, \dots, f_d$  in  $H^\infty(\mathbb{D})$  such that  $\sum_k |f_k|^2 \leq 1$  and, for every  $k$ ,  $f_k(b) = a_k$ .
- ② (2) Whenever  $p \geq 1$  and  $x = (x_{ij})$ , in  $M_p(B(H))$ , satisfies  $x \geq 0$ ,  $(bx_{ij}b^*) \leq x$  and  $(b^n x_{ij} b^{*n}) \searrow 0$ , we have  $\sum_k (a_k x_{ij} a_k^*) \leq x$ .  
**If**  $\|b\| < 1$ , the condition  $(b^n x_{ij} b^{*n}) \rightarrow 0$  (in (2)) is automatic and (1) and (2) are also equivalent to
- ③ (3) The map  $(id - AdA) \circ (id - Adb)^{-1} : B(H) \rightarrow B(H)$  is completely positive.

In particular, condition (2) (or (3)) implies that all the  $a_k$ 's are contained in the ultraweakly closed algebra generated by  $b$  (since this, clearly, follows from (1)).

**The proof:** Let  $M = M_0 = \mathbb{C}$ ,  $F = \mathbb{C}^d$ ,  $E = \mathbb{C}$  (for  $d < \infty$ ) and  $\sigma$  is the representation of  $\mathbb{C}$  on a Hilbert space  $H$ . It follows that  $\mathcal{I}(E, \sigma) = B(H)$  and  $\mathcal{I}(F, \sigma_0) = B(\mathbb{C}^d \otimes H, H)$ .

We apply the theorem to this case.

Since  $\mathcal{T}_+(F)$  is the algebra  $\mathcal{T}_+(\mathbb{C}^d)$ , generated by the  $d$  shifts,  $S_1, \dots, S_d$  on the Fock space of  $\mathbb{C}^d$ , and  $H^\infty(E) = H^\infty(\mathbb{D})$ , the existence of a unital completely contractive homomorphism  $\alpha$  from  $\mathcal{T}_+(F)$  to  $H^\infty(E)$  is equivalent to the existence of a row contraction of elements in  $H^\infty(\mathbb{D})$ ,  $(f_1, \dots, f_d)$  (where  $\alpha(S_i) = f_i$ ).

The condition  $\widehat{\alpha(X)}(\mathfrak{z}) = \widehat{X}(\mathfrak{w})$  in the theorem (note that  $m = 1$  here), when applied to  $X = S_i$ ,  $\mathfrak{z} = b$  and  $\mathfrak{w} = A$ , is  $f_i(b) = a_i$ .

Note also that  $\Phi_\eta = Adb$  (i.e.  $\Phi_\eta(x) = bxb^*$ ) and

$$\Phi_\xi(x) = AdA(x) = \sum_{i=1}^d a_i x a_i^*.$$

Thus, applying the theorem, completes the proof.

## Theorem

Let  $H_0$  and  $H_1$  be two Hilbert spaces and fix  $b \in B(H_1)$  and  $A = (a_1, \dots, a_d)$  with  $a_i \in B(H_0 \otimes H_1)$  and such that  $\|b\| < 1$ . Then the following statements are equivalent.

- ① (1) There are  $f_1, \dots, f_d$  in  $H^\infty(\mathbb{D}, B(H_0))$  such that  $\sum_k f_k f_k^* \leq I$  and such that, for every  $k$ ,  $\widehat{f}_k(b) = a_k$ .
- ② (2) For every  $p \geq 1$  and  $x = (x_{ij}) \geq 0$  in  $M_p(B(H_1))$  that satisfies  $(bx_{ij}b^*) \leq x$ , we have  $\sum_k (a_k(I_{H_0} \otimes x_{ij})a_k^*) \leq I_{H_0} \otimes x$ .



# Schur class maps

For  $\mathfrak{z}, \mathfrak{w} \in \mathbb{D}(E, \sigma)$  we write  $\theta_{\mathfrak{z}, \mathfrak{w}} : \sigma(M)' \rightarrow \sigma(M)'$  for the map  $\theta_{\mathfrak{z}, \mathfrak{w}}(a) = \mathfrak{z}(I_E \otimes a)\mathfrak{w}^*$ .

## Definition

A map  $Z : \Omega \subseteq \mathbb{D}(E, \sigma) \rightarrow \mathcal{I}(F, \sigma_0)$  is said to be a **Schur class map** if the kernel

$$k_Z(\mathfrak{z}, \mathfrak{w}) = (id - \theta_{Z(\mathfrak{z}), Z(\mathfrak{w})}) \circ (id - \theta_{\mathfrak{z}, \mathfrak{z}})^{-1}$$

is a CP kernel; i.e., for every  $\mathfrak{z}_1, \dots, \mathfrak{z}_k \in \Omega$ , the map from  $M_k(\sigma(M)')$  to  $M_k(\sigma_0(M_0)')$  defined by the  $k \times k$  matrix of maps

$$(id - \theta_{Z(\mathfrak{z}_i), Z(\mathfrak{z}_j)}) \circ (id - \theta_{\mathfrak{z}_i, \mathfrak{z}_i})^{-1}$$

is completely positive.

## Theorem

Let  $M, M_0, E, F, \sigma$  and  $\sigma_0$  be as above with  $\sigma$  faithful. Suppose  $\Omega$  is a subset of  $\mathbb{D}(E, \sigma)$  and  $Z : \Omega \rightarrow \mathcal{I}(F, \sigma_0)$  is a **Schur class map**. Then there is a Hilbert space  $H$  and a normal representation  $\tau$  of  $\sigma(M)'$  on  $H$  and operators  $A, B, C$  and  $D$  satisfying appropriate intertwining properties and such that the operator matrix

$$W = \begin{pmatrix} A & B \\ C & D \end{pmatrix} : \begin{pmatrix} H \\ F \otimes_{\sigma_0} H_{\sigma} \end{pmatrix} \rightarrow \begin{pmatrix} \mathcal{I}(E, \sigma)^* \otimes_{\tau} H \\ H_{\sigma} \end{pmatrix}$$

is a co-isometry (and, if  $E$  is full, it is a unitary operator) and, for every  $\mathfrak{z} \in \Omega$ ,  $Z$  satisfies the **realization formula**

$$Z(\mathfrak{z}) = D + C(I - \mathfrak{z}A)^{-1}\mathfrak{z}B.$$

## Theorem

Suppose  $E$  is a full  $W^*$ -correspondence over the  $W^*$ -algebra  $M$  and  $F$ ,  $M_0$ ,  $\sigma$  and  $\sigma_0$  be as above with  $\sigma$  faithful. Suppose  $Z : \mathbb{D}(E, \sigma) \rightarrow \mathcal{I}(F, \sigma_0)$  has the **realization property** (in particular, if it is a Schur class map). Then **there is a completely contractive homomorphism**  $\alpha : \mathcal{T}_+(F) \rightarrow H^\infty(E)$  such that, for every  $X \in \mathcal{T}_+(F)$  and every  $\mathfrak{z} \in \mathbb{D}(E, \sigma)$ ,

$$\widehat{\alpha(X)}(\mathfrak{z}) = \widehat{X}(Z(\mathfrak{z}))$$

## Theorem

With  $M, M_0, E, F, \sigma, \sigma_0$  as above, if  $\alpha : \mathcal{T}_+(F) \rightarrow H^\infty(E)$  is a **completely contractive homomorphism** whose restriction to  $\varphi_{F^\infty}(M_0)$  maps  $\varphi_{F^\infty}(a)$  to  $\varphi_{E^\infty}(a)$  ( $a \in M_0$ ) then **there is a Schur class function**  $Z : \mathbb{D}(E^\sigma) \rightarrow \mathcal{I}(F, \sigma_0)$  such that for every  $X \in \mathcal{T}_+(F)$  and every  $\mathfrak{z} \in \mathbb{D}(E, \sigma)$ ,

$$\widehat{\alpha(X)}(\mathfrak{z}) = \widehat{X}(Z(\mathfrak{z})).$$

## Corollary

Every Schur class map  $Z_0$  defined on a finite subset of  $\mathbb{D}(E, \sigma)$  can be extended to a Schur class map on  $\mathbb{D}(E, \sigma)$ .

## Consider all representations: Matricial maps

Given  $X \in H^\infty(E)$ , we define a family  $\{\widehat{X}_\sigma\}_{\sigma \in N\text{Rep}(M)}$  of (operator valued) functions.

Each function  $\widehat{X}_\sigma$  is defined on  $\mathcal{AC}(E, \sigma)$  (or on  $\mathbb{D}(E, \sigma)$ ) and takes values in  $B(H_\sigma)$  :

$$\widehat{X}_\sigma(\mathfrak{z}) = (\sigma \times \mathfrak{z})(X).$$

Here  $N\text{Rep}(M)$  is the set of all normal representations of  $M$ .

Note that the family of domains (either  $\{\mathcal{AC}(\sigma)\}$  or  $\{\mathbb{D}(E, \sigma)\}$ ) is a matricial family in the following sense.

### Definition

A family of sets  $\{\mathcal{U}(\sigma)\}_{\sigma \in N\text{Rep}(M)}$ , with  $\mathcal{U}(\sigma) \subseteq \mathcal{I}(E, \sigma)$ , satisfying  $\mathcal{U}(\sigma) \oplus \mathcal{U}(\tau) \subseteq \mathcal{U}(\sigma \oplus \tau)$  is called a *matricial family* of sets.

## Definition

Suppose  $\{\mathcal{U}(\sigma)\}_{\sigma \in N\text{Rep}(M)}$  is a matricial family of sets and suppose that for each  $\sigma \in N\text{Rep}(M)$ ,  $f_\sigma : \mathcal{U}(\sigma) \rightarrow B(H_\sigma)$  is a function. We say that  $f := \{f_\sigma\}_{\sigma \in N\text{Rep}(M)}$  is a *matricial family of functions* in case

$$Cf_\sigma(\mathfrak{z}) = f_\tau(\mathfrak{w})C \quad (1)$$

for every  $\mathfrak{z} \in \mathcal{U}(\sigma)$ , every  $\mathfrak{w} \in \mathcal{U}(\tau)$  and every  $C \in \mathcal{I}(\sigma \times \mathfrak{z}, \tau \times \mathfrak{w})$  (equivalently,  $C \in \mathcal{I}(\sigma, \tau)$  and  $C\mathfrak{z} = \mathfrak{w}(I_E \otimes C)$ ).

## Theorem

Suppose that  $f = \{f_\sigma\}_\sigma$  is a family of maps, with  $f_\sigma : \mathcal{AC}(E, \sigma) \rightarrow \mathcal{AC}(F, \sigma_0)$ . Then  $f$  is a matricial family of maps (that is, preserves intertwiners) if and only if there is an ultraweakly continuous homomorphism  $\alpha : H^\infty(F) \rightarrow H^\infty(E)$  such that for every  $\mathfrak{z} \in \mathcal{AC}(E, \sigma)$  and every  $X \in H^\infty(F)$ ,

$$\widehat{\alpha(X)}(\mathfrak{z}) = \widehat{X}(f_\sigma(\mathfrak{z})). \quad (2)$$

Thank You !