

# Determinacy for the complex moment problem via positive definite extensions

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Joint work with J. Stochel and F.H. Szafraniec

# Introduction

Notations:

$\mathfrak{N} = \{(m, n) : m, n - \text{integers such that } m \geq 0, n \geq 0\}$ ,

$\mathfrak{N}_+ = \{(m, n) : m, n - \text{integers such that } m + n \geq 0\}$ .

Question: when a sequence  $\gamma = \{\gamma_{m,n}\}_{m,n \geq 0} \subset \mathbb{C}$  is a complex moment sequence? I.e. there exists a Borel measure  $\mu$  on  $\mathbb{C}$  such that

$$c_{m,n} = \int_{\mathbb{C}} z^m \bar{z}^n d\mu(z), \quad m, n \geq 0.$$

An 'iff' criterion:  $\text{PDE}(\gamma)$  is nonempty, where

$\text{PDE}(\gamma) = \{\tilde{\gamma} : \tilde{\gamma} \text{ is a positive definite extension of } \gamma \text{ on } \mathfrak{N}_+\}$

i.e.  $\tilde{\gamma} = \{\tilde{\gamma}_{m,n}\}_{m+n \geq 0} \subset \mathbb{C}$  satisfies  $\tilde{\gamma}|_{\mathfrak{N}} = \gamma$  and

$$\sum_{m+n \geq 0, p+q \geq 0} \lambda_{m,n} \bar{\lambda}_{p,q} \tilde{\gamma}_{m+q, n+p} \geq 0$$

for every finitely supported  $\{\lambda_{m,n}\}_{m+n \geq 0} \subset \mathbb{C}$ .

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for every finitely supported  $\{\lambda_{m,n}\}_{m+n \geq 0}^{\infty} \subset \mathbb{C}$ .

The main reason for this to work:

$\mathfrak{N}_+$  is semiperfect,

i.e. every positive definite complex function on this semigroup can be represented via Borel measures. More specifically: if  $\{\tilde{\gamma}_{m,n}\}_{m+n \geq 0}$  is positive definite on  $\mathfrak{N}_+$ , then there are Borel measures  $\mu_1$  on  $\mathbb{C}^*$  (without 0) and  $\mu_2$  on  $\mathbb{T}$  (the unit circle) such that

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# Main question

Is there any connection between the following two situations?

- 1  $\gamma$  is a determinate complex moment sequence on  $\mathfrak{N}$ , i.e. the representing measure for  $\gamma$  is unique,
- 2  $\text{PDE}(\gamma)$  is a singleton, i.e.  $\text{PDE}(\gamma) = \{\tilde{\gamma}\}$ .

Remark: if  $(\mu_1, \mu_2)$  is representing for  $\tilde{\gamma} \in \text{PDE}(\gamma)$ , then the measure  $\mu_1 + \mu_2(\mathbb{T})\delta_0$  is representing for  $\gamma$ .

The natural condition appearing when dealing with determinacy of  $\gamma$ : p.d. extension  $\tilde{\gamma} \in \text{PDE}(\gamma)$  is called *semideterminate* if for any two representing pairs of measures  $(\mu_1, \mu_2)$  and  $(\mu'_1, \mu'_2)$  for  $\tilde{\gamma}$  we have

$$\mu_1 = \mu'_1 \text{ and } \mu_2 \circ \varphi^{-1} = \mu'_2 \circ \varphi^{-1},$$

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If  $\gamma$  is determinate with the representing measure  $\mu$  such that  $\mu(\{0\}) \neq 0$ , then it follows that  $\text{PDE}(\gamma)$  is of cardinality continuum.

Reason: if  $(\mu_1, \mu_2)$  and  $(\mu_1, \mu'_2)$  are representing for  $\tilde{\gamma}$  and  $\tilde{\gamma}' \in \text{PDE}(\gamma)$ , then  $(\mu_1, \nu)$  with  $\nu$  – a convex combination of  $\mu_2$  and  $\mu'_2$  is also representing for some new extension in  $\text{PDE}(\gamma)$ .



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Determinacy implies uniqueness of p.d. extensions under an additional condition.

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*If  $\gamma$  is determinate on  $\mathfrak{N}$ ,  $\mu$  is representing for  $\gamma$  and  $\mu(\{0\}) = 0$ , then  $\text{PDE}(\gamma) = \{\tilde{\gamma}\}$ .*

A more refined version:

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*Let  $\gamma$  be a sequence defined on  $\mathfrak{N}$ . Then the following are equivalent:*

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## Example

*If  $\{s_n\}_{n=0}^{\infty}$  is an indeterminate Hamburger sequence (i.e. it has non-unique representing Borel measure on  $\mathbb{R}$ ) and  $\gamma_{m,n} = s_{m+n}$ , then  $\text{PDE}(\gamma)$  is of cardinality continuum. This is due to the fact, that every indeterminate Hamburger moment problem has a representing measure with atom at 0.*

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*If in turn  $\{s_n\}_{n=0}^{\infty}$  is a Herglotz moment sequence (i.e. representing measure is supported on the unit circle  $\mathbb{T}$ ), then  $\gamma_{m,n} = s_{m-n}$  is determinate with representing measure without atom at 0. Thus  $\text{PDE}(\gamma) = \{\tilde{\gamma}\}$ . Reason: a representing measure supported on compact subset is always determinate, besides  $0 \notin \mathbb{T}$  so there can be no atom at 0.*

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The only case left to consider when  $\gamma$  on  $\mathfrak{N}$  is indeterminate and none of its representing measures has atom at 0. Then the question of the cardinality  $\text{PDE}(\gamma)$  is not settled.

None of representing measures has atom at 0 – is it possible at all?

In case of Hamburger moment sequences - it is not.

It turns out that in the case of complex moment sequence the answer is in the affirmative. You may take line  $\mathbb{R} + i$  and define  $\gamma$  on  $\mathfrak{N}$  via

$$\gamma_{m,n} = \int_{\mathbb{C}} z^m \bar{z}^n d\mu(z), \quad m, n \geq 0,$$

with some indeterminate measure supported in  $\mathbb{R} + i$ . Now apply the proposition: if there is a representing measure supported in a real algebraic subset  $A$  of  $\mathbb{C}$ , then all other representing measures are also supported in  $A$ .

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A result similar to (3):

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If  $(\mu_1, \mu_2)$  and  $(\mu'_1, \mu'_2)$  are representing for  $\tilde{\gamma} \in \text{PDE}(\gamma)$ , then

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Going back to the example of the line  $\mathbb{R} + i$  we see that every Borel subset of this line is of the form  $\psi_P^{-1}(\sigma)$  with some Borel  $\sigma \subset \mathbb{T}$ . Here  $P(z, \bar{z}) = z - \bar{z} + 2$ .

Some other sets satisfy this condition, e.g.

$$P(x, y) = x^{2k} - (y - 1)^l,$$

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$$P(x, y) = x^{2k} - (y - 1)^l,$$

where  $l > 2k$  is odd (this may be written in variables  $z$  and  $\bar{z}$ ).

# Does uniqueness imply determinacy?

Assume that  $\text{PDE}(\gamma) = \{\tilde{\gamma}\}$ . Does it follow that  $\gamma$  is determinate?

A partial solution:

## Theorem

*Let  $P \in \mathbb{C}[z, \bar{z}]$  be a polynomial such that  $\emptyset \neq Z_P \neq \mathbb{C}$ . Let  $\gamma$  on  $\mathfrak{X}$  admit a representing measure supported in  $Z_P$ . Suppose condition (4) holds for  $\psi_P$ , i.e. every Borel subset  $\tau$  of  $Z_P$  is of the form  $\tau = \psi_P^{-1}(\sigma)$  with some Borel  $\sigma \subset \mathbb{T}$ . If  $\text{PDE}(\gamma) = \{\tilde{\gamma}\}$ , then  $\gamma$  is determinate.*

*(Recall that converse is true if there is no atom at 0.)*

So the condition (4) becomes even more important. It holds on occasions, but it seems to fail in most cases. e.g. for the hiperbola  $xy = 1$ , the parabola  $y = x^2 + 1$ , the unit circle itself,

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**PRESENTATION IS FINISHED!**



**THANK YOU FOR YOUR  
ATTENTION!**

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