

# Toeplitz and Asymptotic Toeplitz operators on $H^2(\mathbb{D}^n)$

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- To characterize Toeplitz operators on  $H^2(\mathbb{D}^n)$ .

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- To generalize some of the recent results of Chalendar and Ross to vector-valued Hardy space  $H_{\mathcal{E}}^2(\mathbb{D})$  and as well as quotient spaces of  $H^2(\mathbb{D}^n)$ .

# Notation

- Open unit polydisc  $\mathbb{D}^n = \{(z_1, \dots, z_n) \in \mathbb{C}^n : |z_i| < 1, i = 1, \dots, n\}$ .
- Distinguished boundary of  $\mathbb{D}^n$   
 $\mathbb{T}^n = \{(z_1, \dots, z_n) \in \mathbb{C}^n : |z_i| = 1, i = 1, \dots, n\}$ .

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 $\mathbb{T}^n = \{(z_1, \dots, z_n) \in \mathbb{C}^n : |z_i| = 1, i = 1, \dots, n\}$ .
- Hardy space  $H^2(\mathbb{D}) = \{f = \sum_{n=0}^{\infty} a_n z^n : \sum_{n=0}^{\infty} |a_n|^2 < \infty\}$ .
- Vector-valued Hardy space  
 $H_{\mathcal{E}}^2(\mathbb{D}) = \{f = \sum_{n=0}^{\infty} a_n z^n : a_n \in \mathcal{E} \text{ and } \sum_{n=0}^{\infty} \|a_n\|_{\mathcal{E}}^2 < \infty\}$ .
- $H^{\infty}(\mathbb{D}) = \{f = \sum_{n=0}^{\infty} a_n z^n : \sup_{n \geq 0} |a_n| < \infty\}$ .
- $M_z$  is the multiplication operator on  $H^2(\mathbb{D})$  by the coordinate function  $z$ .

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- Hardy space over polydisc  $H^2(\mathbb{D}^n) = \left\{ f = \sum_{k \in \mathbb{N}^n} a_k z^k : \sum_{k \in \mathbb{N}^n} |a_k|^2 < \infty \right\}$ ,  
where  $k = (k_1, \dots, k_n) \in \mathbb{N}^n$  and  $z^k = z_1^{k_1} \dots z_n^{k_n}$ .
- For  $j = 1, \dots, n$ ,  $M_{z_j}$  are the multiplication operators on  $H^2(\mathbb{D}^n)$  by the  $j^{\text{th}}$  coordinate functions  $z_j$ .

# Multiplication operator

- For  $\phi \in L^\infty(\mathbb{T})$ , define  $M_\phi : L^2(\mathbb{T}) \rightarrow L^2(\mathbb{T})$  by  $M_\phi f = \phi f$  for  $f \in L^2(\mathbb{T})$ .



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- The matrix of  $M_\phi$  with respect to the orthonormal basis  $\{e^{in\theta}\}_{n=-\infty}^\infty$  of  $L^2(\mathbb{T}) = H^2(\mathbb{D})^\perp \oplus H^2(\mathbb{D})$  is

$$M_\phi = \left[ \begin{array}{cccc|ccc} \ddots & \ddots & \ddots & & & & & & & \\ & \ddots & & \phi_0 & \phi_{-1} & \phi_{-2} & & & & \\ & \ddots & & \phi_1 & \phi_0 & \phi_{-1} & \phi_{-2} & & & \\ \hline & & & \phi_2 & \phi_1 & \phi_0 & \phi_{-1} & \phi_{-2} & & \\ & & & & \phi_2 & \phi_1 & \phi_0 & \phi_{-1} & \ddots & \\ & & & & & \phi_2 & \phi_1 & \phi_0 & \ddots & \\ & & & & & & & & \ddots & \ddots \end{array} \right]$$

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- Toeplitz operator with symbol  $\phi \in L^\infty(\mathbb{T})$  is the operator  $T_\phi$  defined by  $T_\phi f = P_{H^2(\mathbb{D})}(\phi f)$  for  $f \in H^2(\mathbb{D})$ .

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- A bounded linear operator  $T$  on  $H^2(\mathbb{D})$  is (uniformly) *asymptotically Toeplitz operator* if  $\{M_z^{*m} T M_z^m\}_{m \geq 1}$  converges in operator norm.

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- Feintuch (1989) gives a remarkable characterization of asymptotically Toeplitz operators: A bounded linear operator  $T$  on  $H^2(\mathbb{D})$  is asymptotically Toeplitz if and only if  $T = \text{compact} + \text{Toeplitz}$ .



- A closed subspace  $\mathcal{S}$  of  $\mathcal{H}$  is said to be invariant subspace of  $T \in \mathcal{B}(\mathcal{H})$  if  $T(\mathcal{S}) \subseteq \mathcal{S}$  and  $\mathcal{S}$  is said to be co-invariant subspace if  $T^*(\mathcal{S}) \subseteq \mathcal{S}$ .

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- An inner function is a bounded analytic function  $\psi$  on  $\mathbb{D}$  (that is,  $\psi \in H^\infty(\mathbb{D})$ ) such that  $|\psi(e^{i\theta})| = 1$  for almost everywhere on the unit circle.

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- $H_{\mathcal{B}(\mathcal{E})}^\infty(\mathbb{D})$ : the space of all operator valued bounded analytic functions on  $\mathbb{D}$ . A multiplier  $\Theta \in H_{\mathcal{B}(\mathcal{E})}^\infty(\mathbb{D})$  is said to be inner if  $M_\Theta$  is an isometry on  $H_{\mathcal{E}}^2(\mathbb{D})$ , where

$$(M_\Theta f)(w) = \Theta(w)f(w) \quad (f \in H_{\mathcal{E}}^2(\mathbb{D}), w \in \mathbb{D}).$$

## Model Space in $H^2(\mathbb{D})$

- (*Beurling Theorem (1948)*) Let  $\mathcal{S}$  be a non-zero shift invariant subspace of  $H^2(\mathbb{D})$ . Then  $\mathcal{S} = \theta H^2(\mathbb{D})$  for some inner function  $\theta \in H^\infty(\mathbb{D})$ .

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- For an inner function  $\theta$ , the model space  $K_\theta$  is defined as  $K_\theta = H^2(\mathbb{D}) \ominus \theta H^2(\mathbb{D})$ .  $K_\theta$  is finite dimensional if  $\theta$  is finite Blaschke product (that is,  $\theta(z) = \prod_{k=1}^n \frac{z-z_k}{1-\bar{z}_k z}$ ).

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Let

$$S_\theta = P_{\mathcal{K}_\theta} M_z|_{\mathcal{K}_\theta},$$

where  $P_{\mathcal{K}_\theta}$  denotes the orthogonal projection from  $H^2(\mathbb{D})$  onto  $\mathcal{K}_\theta$ .  $S_\theta$  is called a Jordan block.



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## Theorem (Chalendar and Ross (2016))

Let  $T \in \mathcal{B}(\mathcal{K}_\theta)$ . Then

- (i)  $S_\theta^* T S_\theta = T$  if and only if  $T = 0$
- (ii)  $\{S_\theta^{*m} T S_\theta^m\}_{m \geq 1}$  converges in norm if and only if  $T$  is compact.

# Model Operator and Model Space

- Let  $\mathcal{E}$  be a Hilbert space and  $\Theta \in H_{\mathcal{B}(\mathcal{E})}^{\infty}(\mathbb{D})$  be an inner multiplier. Then the *model operator*  $S_{\Theta}$  (see Garcia et al. (2016)) corresponding to  $\Theta$  is the compression of  $M_z$  on the *model space*  $\mathcal{K}_{\Theta} := H_{\mathcal{E}}^2(\mathbb{D}) \ominus \Theta H_{\mathcal{E}}^2(\mathbb{D})$ , that is,

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- Note that  $\mathcal{K}_{\Theta}^{\perp} = \Theta H_{\mathcal{E}}^2(\mathbb{D})$  is an  $M_z$ -invariant subspace of  $H_{\mathcal{E}}^2(\mathbb{D})$  and  $S_{\Theta}^* = M_z^*|_{\mathcal{K}_{\Theta}} \in \mathcal{B}(\mathcal{K}_{\Theta})$ .

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## Questions

- Characterize those  $T \in \mathcal{B}(\mathcal{K}_{\Theta})$  for which

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- Characterize those  $T \in \mathcal{B}(\mathcal{K}_{\Theta})$  for which

$$S_{\Theta}^{*m} T S_{\Theta}^m \rightarrow A,$$

in norm, for some  $A \in \mathcal{B}(\mathcal{K}_{\Theta})$ .

## Lemma 1 (Böttcher and Silbermann)

Let  $A$  be a compact operator on a Hilbert space  $\mathcal{H}$  and  $R^{*m} \rightarrow 0$  in strong operator topology as  $m \rightarrow \infty$ , then  $R^{*m}A \rightarrow 0$  in norm as  $m \rightarrow \infty$ .

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## Theorem 2

Let  $\mathcal{E}$  be a Hilbert space and  $T \in \mathcal{B}(H_{\mathcal{E}}^2(\mathbb{D}))$ . Then  $T$  is a Toeplitz operator if and only if  $M_z^* T M_z = T$ .

# Results on Vector-valued Hardy space

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## Theorem 3

Let  $T, A \in \mathcal{B}(H_{\mathbb{C}^p}^2(\mathbb{D}))$  and  $M_z^{*m} T M_z^m \rightarrow A$  in norm. Then  $A$  is a Toeplitz operator and  $(T - A)$  is compact. Conversely, if  $A$  is a Toeplitz operator and  $T - A$  is a compact operator, then  $T$  is asymptotically Toeplitz.



## Proposition 4

Let  $\Theta \in H_{\mathcal{B}(\mathcal{E})}^{\infty}(\mathbb{D})$  be an inner multiplier and  $T \in \mathcal{B}(\mathcal{K}_{\Theta})$ . Assume that  $\Theta(e^{i\theta})$  is invertible a.e. Then  $S_{\Theta}^* T S_{\Theta} = T$  if and only if  $T = 0$ .

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## Theorem 6

Let  $\Theta \in H_{\mathcal{B}(\mathbb{C}^p)}^{\infty}(\mathbb{D})$  be an inner multiplier and  $\Theta(e^{i\theta})$  is invertible a.e. and  $T \in \mathcal{B}(\mathcal{K}_{\Theta})$ . Then TFAE:

- (i)  $\{S_{\Theta}^{*m} T S_{\Theta}^m\}_{m \geq 1}$  converges in norm;
- (ii)  $S_{\Theta}^{*m} T S_{\Theta}^m \rightarrow 0$  in norm;
- (iii)  $T$  is a compact operator.

## Theorem 7

Let  $T \in \mathcal{B}(H^2(\mathbb{D}^n))$ . Then  $T$  is a Toeplitz operator if and only if  $M_{z_j}^* T M_{z_j} = T$  for all  $j = 1, \dots, n$ .

# Results on $H^2(\mathbb{D}^n)$

## Theorem 7

Let  $T \in \mathcal{B}(H^2(\mathbb{D}^n))$ . Then  $T$  is a Toeplitz operator if and only if  $M_{z_j}^* T M_{z_j} = T$  for all  $j = 1, \dots, n$ .

## Proof.

Let  $\varphi \in L^\infty(\mathbb{T}^n)$  and  $T = P_{H^2(\mathbb{D}^n)} M_\varphi|_{H^2(\mathbb{D}^n)}$ . Then for  $f, g \in H^2(\mathbb{D}^n)$  and  $j = 1, \dots, n$ , we have

$$\langle (M_{z_j}^* T M_{z_j})f, g \rangle_{H^2(\mathbb{D}^n)} = \langle \varphi e^{i\theta_j} f, e^{i\theta_j} g \rangle_{L^2(\mathbb{T}^n)} = \langle \varphi f, g \rangle_{L^2(\mathbb{T}^n)},$$

that is,

$$\langle (M_{z_j}^* T M_{z_j})f, g \rangle_{H^2(\mathbb{D}^n)} = \langle P_{H^2(\mathbb{D}^n)} M_\varphi|_{H^2(\mathbb{D}^n)} f, g \rangle_{H^2(\mathbb{D}^n)},$$

and therefore  $M_{z_j}^* T M_{z_j} = T$  for all  $j = 1, \dots, n$ . □

Conversely, for each  $k \in \mathbb{N}$ , define  $k_d \in \mathbb{N}^n$  by  $k_d = (k, \dots, k)$ . From  $M_{z_j}^* T M_{z_j} = T$ ,  $j = 1, \dots, n$ , we obtain that

$$M_z^{*k_d} T M_z^{k_d} = T \quad (k \in \mathbb{N}).$$

Setting

$$A_k = M_{e^{i\theta}}^{*k_d} T P_{H^2(\mathbb{D}^n)} M_{e^{i\theta}}^{k_d} \quad (k \geq 1),$$

we can prove that

$$\lim_{k \rightarrow \infty} \langle A_k f, g \rangle = \langle A_\infty f, g \rangle \quad (f, g \in L^2(\mathbb{T}^n))$$

and  $A_\infty M_{e^{i\theta_j}} = M_{e^{i\theta_j}} A_\infty$  for  $j = 1, \dots, n$ . Hence there exists  $\varphi$  in  $L^\infty(\mathbb{T}^n)$  such that  $A_\infty = M_\varphi$ . Using the above condition, we also have

$T = P_{H^2(\mathbb{D}^n)} A_\infty |_{H^2(\mathbb{D}^n)} = P_{H^2(\mathbb{D}^n)} M_\varphi |_{H^2(\mathbb{D}^n)}$ , that is,  $T$  is a Toeplitz operator.

## Theorem 8

A bounded linear operator  $T$  on  $H^2(\mathbb{D}^n)$  is compact if and only if  $M_{z_i}^{*m} T M_{z_j}^m \rightarrow 0$  in norm for all  $i, j \in \{1, \dots, n\}$ .

## Theorem 8

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Following Feintuch (1989) (and Barría and Halmos (1982)) one can now define asymptotic Toeplitz operator as follows:

## Definition 9

A bounded linear operator  $T$  on  $H^2(\mathbb{D}^n)$  is said to be asymptotic Toeplitz operator if there exists  $A \in \mathcal{B}(H^2(\mathbb{D}^n))$  such that  $M_{z_i}^{*m} T M_{z_i}^m \rightarrow A$  and  $M_{z_i}^{*m} (T - A) M_{z_j}^m \rightarrow 0$  as  $m \rightarrow \infty$  in norm,  $1 \leq i, j \leq n$ .



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## Theorem 10

Let  $T$  be a bounded linear operator on  $H^2(\mathbb{D}^n)$ . Then  $T$  is an asymptotic Toeplitz operator if and only if  $T$  is a compact perturbation of Toeplitz operator.

# Quotient spaces of $H^2(\mathbb{D}^n)$

Let  $\mathcal{Q}$  be a joint  $(M_{z_1}^*, \dots, M_{z_n}^*)$ -invariant subspace of  $H^2(\mathbb{D}^n)$  and

$$C_{z_i} = P_{\mathcal{Q}} M_{z_i} |_{\mathcal{Q}}, \quad i = 1, \dots, n.$$

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## Theorem 11

Let  $T, A \in \mathcal{B}(\mathcal{Q})$ ,  $C_{z_i}^{*m} T C_{z_i}^m \rightarrow A$  and  $C_{z_i}^{*m} (T - A) C_{z_j}^m \rightarrow 0$  in norm for all  $i, j = 1, \dots, n$ . Then  $T = A + K$ , where  $K \in \mathcal{B}(\mathcal{Q})$  is a compact operator and  $C_{z_i}^* A C_{z_i} = A$  for all  $i = 1, \dots, n$ .

# Quotient spaces of $H^2(\mathbb{D}^n)$

## Proposition 12

Let  $\Theta \in H^\infty(\mathbb{D}^n)$  be an inner function and  $\mathcal{Q} = H^2(\mathbb{D}^n)/\Theta H^2(\mathbb{D}^n)$  and  $A \in \mathcal{B}(\mathcal{Q})$ . Then  $C_{z_i}^* A C_{z_i} = A$  for all  $i = 1, \dots, n$ , if and only if  $A = 0$ .

# Quotient spaces of $H^2(\mathbb{D}^n)$

## Proposition 12

Let  $\Theta \in H^\infty(\mathbb{D}^n)$  be an inner function and  $\mathcal{Q} = H^2(\mathbb{D}^n)/\Theta H^2(\mathbb{D}^n)$  and  $A \in \mathcal{B}(\mathcal{Q})$ . Then  $C_{z_i}^* A C_{z_i} = A$  for all  $i = 1, \dots, n$ , if and only if  $A = 0$ .








Summing up the above two results, we have the following generalization of Chalendar and Ross.







## Theorem 13

Let  $\Theta \in H^\infty(\mathbb{D}^n)$  be an inner function, and  $T$  and  $A$  be bounded linear operators on  $\mathcal{Q} = H^2(\mathbb{D}^n)/\Theta H^2(\mathbb{D}^n)$ . Then TFAE:

- (i)  $C_{z_i}^{*m} T C_{z_i}^m \rightarrow A$  and  $C_{z_i}^{*m} (T - A) C_{z_j}^m \rightarrow 0$  in norm for all  $i, j = 1, \dots, n$ ;
- (ii)  $C_{z_i}^{*m} T C_{z_i}^m \rightarrow 0$  in norm for all  $i = 1, \dots, n$ ;
- (iii)  $T$  is compact.

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*Thank You*