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*The Arveson-Douglas conjecture for  
semi-submodules*

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(after talking to Soumitra Ghara, Surjit Kumar, Paramita Pramanick, and Kalyan Sinha)

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## *the Cowen-Douglas class*

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A **Hilbert module** over the polynomial ring  $\mathbb{C}[z] := \mathbb{C}[z_1, \dots, z_m]$  is a Hilbert space  $\mathcal{H}$  which is a  $\mathbb{C}[z]$ -module if for some  $C_p > 0$ ,

$$\|p \cdot f\| \leq C_p \|f\|, f \in \mathcal{H}, p \in \mathbb{C}[z].$$

The multiplication  $M_j$ ,  $1 \leq j \leq m$ , by the coordinate functions  $z_j$ :  $M_j f := z_j \cdot f$ , then defines a commutative tuple  $\mathbf{M} = (M_1, \dots, M_m)$  of linear bounded operators acting on  $\mathcal{H}$  and vice-versa.

A Hilbert module  $\mathcal{H}$  over the polynomial ring  $\mathbb{C}[z]$  is said to be in the **Cowen-Douglas class**  $B_n(\Omega)$ ,  $n \in \mathbb{N}$ , if

- $\dim \mathcal{H} / \mathfrak{m}_w \mathcal{H} = n < \infty$  for all  $w \in \Omega$ , where  $\mathfrak{m}_w$  is the maximal ideal in  $\mathbb{C}[z]$  at  $w$  and
- there exists a holomorphic choice of linearly independent vectors  $\{s_1(w), \dots, s_n(w)\}$  in  $\mathcal{H} / \mathfrak{m}_w \mathcal{H}$ .





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## *essentially $r$ - reductive modules*

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$$[p(T)^*, p(T)] \in \mathcal{I}_r(\mathcal{M}), \quad p \in \mathbb{C}[z].$$

- The Hardy and the Bergman modules  $H^2(\mathbb{D})$  and  $A^2(\mathbb{D})$  over the disc algebra  $\mathcal{A}(\mathbb{D})$  are essentially reductive.
- The Drury-Arveson module  $H_m^2$  is a module over the polynomial ring  $\mathbb{C}[z]$  which is essentially reductive, although, the module multiplication does not extend to the ball algebra.
- Apart from asking if a Hilbert module  $\mathcal{M}$  is essentially reductive, one may also ask which submodules and quotient modules are essentially reductive. It is likely to have an interesting answer if  $\mathcal{M}$  is essentially reductive.
- Neither the Hardy module nor the Bergman module over the algebra  $\mathcal{A}(\mathbb{D}^m)$ ,  $m > 1$ , is essentially reductive. However, some quotient modules of the Hardy module over  $\mathcal{A}(\mathbb{D}^m)$ ,  $m > 1$ , are essentially reductive while some are not.





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There is one other possibility that we haven't considered.

- The weighted Bergman modules over a bounded symmetric domain  $\Omega$  are reductive if and only if the rank of the domain  $\Omega$  is 1, Ghara, Kumar and Pramanick.
- However, like the case of the polydisc, these possess several quotient modules which are essentially reductive.

To be specific, take  $\Omega$  to be the unit ball in  $n \times n$  matrices. The Euclidean ball  $\mathbb{B}_n$  embeds into it.



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- Let  $\mathbf{z} \in \mathbb{B}_n$  map to the  $n \times n$  matrix whose first column is  $\mathbf{z}$  and each of the remaining  $(n - 1)$  columns is the zero vector of size  $n$ .
  - If we consider the submodule  $\mathcal{S}$  of functions vanishing on  $\mathbb{B}_n$  in the weighted Bergman module  $\mathcal{H}^{(\nu)}(\Omega)$ , then the corresponding quotient module  $\mathcal{Q}$  can be identified with the restriction of the functions in  $\mathcal{H}^{(\nu)}(\Omega)$  to the zero set, namely,  $\mathbb{B}_n$ .
  - In consequence, the quotient module is isomorphic to the weighted Bergman module  $\mathcal{H}^{(\nu)}(\mathbb{B}_n)$  and these are essentially reductive.
- Q.* What about a similar question involving semi-submodules?



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## example

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## Arveson-Douglas Conjecture

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**Lemma (Arveson).** Let  $\mathcal{M}$  be an essentially reductive Hilbert module over  $\mathbb{C}[z]$ ,  $\mathcal{N}$  be a submodule of  $\mathcal{M}$  and  $\mathcal{Q} = \mathcal{M} \ominus \mathcal{N}$ , be the corresponding quotient module. The submodule  $\mathcal{N}$  is essentially reductive if and only if so is  $\mathcal{Q}$ .

**Arveson-Douglas Conjecture.** Let  $\mathcal{M}$  be an essentially reductive Hilbert module over the algebra  $\mathbb{C}[z]$  consisting of holomorphic functions defined on  $\Omega \subseteq \mathbb{C}^m$ . If the ideal  $I$  is homogeneous, that is, generated by homogeneous polynomials, then the submodule  $[I]$  is  $r$ -essentially reductive for every  $r > \dim V(I)$ .

A positive solution to the Arveson-Douglas conjecture implies that

$$0 \rightarrow \mathcal{E}([I]) \rightarrow \mathcal{F}([I]) \rightarrow \mathcal{C}(V(I) \cap \partial \mathbb{B}_m) \rightarrow 0$$

is a short exact sequence. One of the main questions is to identify the  $K$ -homology class  $[\mathcal{F}([I])]$  defined by this extension. In the case that  $I = \{0\}$  and  $m = 1$ , the Toeplitz index theorem for  $\mathbb{T}$  gives the answer to this question.





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Let  $\mathcal{M}_1$  and  $\mathcal{M}_2$  be Hilbert spaces of holomorphic functions on  $\Omega$  so that they possess reproducing kernels  $K_1$  and  $K_2$ , respectively. Assume that the natural action of  $\mathbb{C}[z]$  on the Hilbert space  $\mathcal{M}_1$  is continuous, that is, the map  $(p, h) \rightarrow ph$  defines a bounded operator on  $M_p$  for  $p \in \mathbb{C}[z]$ . (We make no such assumption about the Hilbert space  $\mathcal{M}_2$ .) Now,  $\mathbb{C}[z]$  acts naturally on the Hilbert space tensor product  $\mathcal{M}_1 \otimes \mathcal{M}_2$  via the map

$$(p, (h \otimes k)) \rightarrow ph \otimes k, p \in \mathbb{C}[z], h \in \mathcal{M}_1, k \in \mathcal{M}_2.$$

The map  $h \otimes k \rightarrow hk$  identifies the Hilbert space  $\mathcal{M}_1 \otimes \mathcal{M}_2$  as a reproducing kernel Hilbert space of holomorphic functions on  $\Omega \times \Omega$ . The module action is then the point-wise multiplication  $(p, hk) \rightarrow (ph)k$ , where  $((ph)k)(z_1, z_2) = p(z_1)h(z_1)k(z_2)$ ,  $z_1, z_2 \in \Omega$ .



Let  $\mathcal{H}$  be the Hilbert module  $\mathcal{M}_1 \otimes \mathcal{M}_2$  over  $\mathbb{C}[z]$ . Let  $\Delta \subseteq \Omega \times \Omega$  be the diagonal subset  $\{(z, z) : z \in \Omega\}$  of  $\Omega \times \Omega$ . Let  $\mathcal{I}$  be the maximal submodule of functions in  $\mathcal{M}_1 \otimes \mathcal{M}_2$  which vanish on  $\Delta$ .

Thus

$$0 \rightarrow \mathcal{I} \xrightarrow{X} \mathcal{M}_1 \otimes \mathcal{M}_2 \xrightarrow{Y} \mathcal{Q} \rightarrow 0$$

is a short exact sequence, where  $\mathcal{Q} = (\mathcal{M}_1 \otimes \mathcal{M}_2) / \mathcal{I}$ ,  $X$  is the inclusion map and  $Y$  is the natural quotient map. One can appeal to an extension of an earlier result of Aronszajn to analyze the quotient module  $\mathcal{Q}$  when the given modules are reproducing kernel Hilbert spaces. The reproducing kernel of  $\mathcal{H}$  is then the pointwise product  $K_1(z, w)K_2(u, v)$  for  $z, w; u, v$  in  $\Omega$ . Set  $\mathcal{H}_{\text{res}} = \{f|_{\Delta} : f \in \mathcal{H}\}$  and  $\|f\|_{\Delta} = \inf\{\|g\| : g \in \mathcal{H}, g|_{\Delta} \equiv f|_{\Delta}\}$ .

- The quotient module is isomorphic to the module  $\mathcal{H}_{\text{res}}$  whose reproducing kernel is the pointwise product  $K_1(z, w)K_2(z, w)$ ,  $z, w \in \Omega$ .



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## another kernel!

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Suppose  $\Omega \subseteq \mathbb{C}^d$  is open connected and bounded. Let  $K : \Omega \times \Omega$  be a non-negative definite kernel. Then  $\tilde{K}$  defined by

$$\tilde{K}(z, w) = ((K^2 \partial_i \bar{\partial}_j \log K(z, w)))_{1 \leq i, j \leq d}$$

is a  $\mathbb{C}^{d \times d}$  valued non-negative definite kernel.

- We point out that  $\sum_{i,j} \partial_i \bar{\partial}_j \log K(w, w) dw_i \wedge d\bar{w}_j$  is the curvature of the metric  $K(w, w)$ .

To see that  $\tilde{K}$  defines a positive definite kernel on  $\Omega$ , set

$$\phi_i(w) := K_w \otimes \bar{\partial}_i K_w - \bar{\partial}_i K_w \otimes K_w, 1 \leq i \leq m$$

and note that each  $\phi : \Omega \rightarrow \mathcal{H}$  is holomorphic. A simple calculation then shows that

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- We point out that  $\sum_{i,j} \partial_i \bar{\partial}_j \log K(w, w) dw_i \wedge d\bar{w}_j$  is the curvature of the metric  $K(w, w)$ .

To see that  $\tilde{K}$  defines a positive definite kernel on  $\Omega$ , set

$$\phi_i(w) := K_w \otimes \bar{\partial}_i K_w - \bar{\partial}_i K_w \otimes K_w, 1 \leq i \leq m$$

and note that each  $\phi : \Omega \rightarrow \mathcal{H}$  is holomorphic. A simple calculation then shows that

$$\langle \phi_j(w), \phi_i(z) \rangle_{\mathcal{H} \otimes \mathcal{H}} = \tilde{K}(z, w).$$





## *what is the Hilbert module?*

---

How to describe the Hilbert space, or more importantly, the Hilbert module  $\mathcal{H}(\tilde{K})$ ? May be, it is a quotient of the Hilbert module  $\mathcal{H} \otimes \mathcal{H}$ ? If so, How do we identify the corresponding submodule?

Let  $\mathcal{H}_0$  be the subspace of  $\mathcal{H}(K) \otimes \mathcal{H}(K)$  given by  $\overline{\bigvee \{ \phi_i(w) : w \in \Omega, 1 \leq i \leq m \}}$ .

From this definition, it is not clear which functions belong to the subspace. We give an explicit description.

Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be the submodules defined by

$$\mathcal{H}_1 = \{ f \in \mathcal{H}(K) \otimes \mathcal{H}(K) : f|_{\Delta} = 0 \}$$

and

$$\mathcal{H}_2 = \{ f \in \mathcal{H}(K) \otimes \mathcal{H}(K) : f|_{\Delta} = \partial_1 f|_{\Delta} = \partial_2 f|_{\Delta} = \dots = \partial_m f|_{\Delta} = 0 \}.$$

We have

- $\mathcal{H}_{11} = \mathcal{H}_2^{\perp} \ominus \mathcal{H}_1^{\perp}$





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## example

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Let  $\mathcal{H}^{(\lambda)}$  be the Hilbert module over the disc algebra determined by the kernel  $K^{(\lambda)}(z, w) := (1 - z\bar{w})^{-2\lambda}$ . We identify the tensor product  $\mathcal{H}^{(\lambda, \mu)}(\mathbb{D}^2) := \mathcal{H}^{(\lambda)} \otimes \mathcal{H}^{(\mu)}$  as a vector subspace of  $Hol(\mathbb{D} \times \mathbb{D})$ .

Set

$$\mathcal{H}_k^{(\lambda, \mu)}(\mathbb{D}^2) := \{f \in \mathcal{H}^{(\lambda, \mu)}(\mathbb{D}^2) : f|_{\Delta} = (\partial_1 f)|_{\Delta} = \dots = (\partial_1^{k-1} f)|_{\Delta} = 0\},$$

where  $\Delta := \{(z, z) : z \in \mathbb{D}\}$ .

Set

$$\mathcal{Q}_k := \mathcal{H}_k^{(\lambda, \mu)}(\mathbb{D}^2) \ominus \mathcal{H}_{k+1}^{(\lambda, \mu)}(\mathbb{D}^2).$$

The reproducing kernel for  $\mathcal{Q}_1$  is  $K^{(\lambda+\mu)}$ , while that of  $\mathcal{Q}_2$  is  $K^{(\lambda+\mu+2)}$ .

- This is the Clebsch-Gordan formula!





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Thank You!

